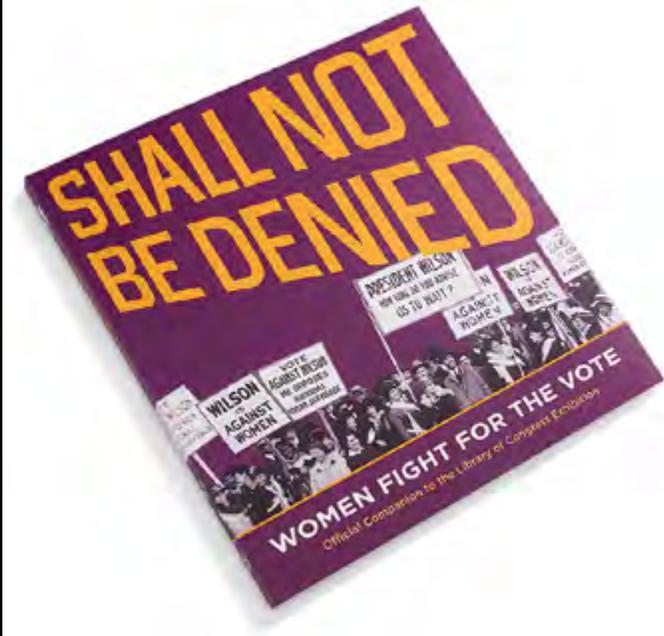
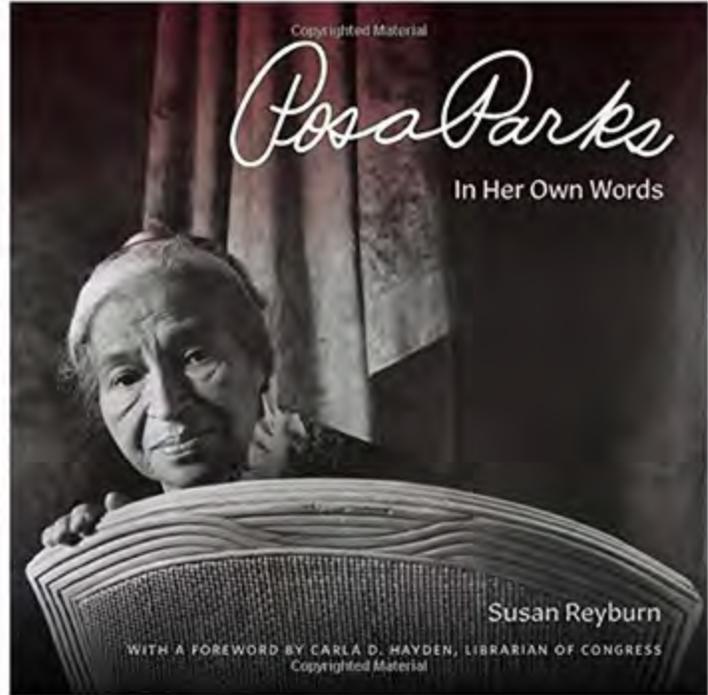


Obama/Smith '93



This edition of the Office of the Inspector General's Semiannual Report to Congress showcases two Library of Congress publications and exhibitions currently running at the Library's Thomas Jefferson Building, *Shall Not Be Denied: Women Fight For The Vote* and *Rosa Parks: In Her Own Words*. Until recently, Rosa Parks's personal papers were unavailable to the public. In this compelling new book from the Library of Congress, where the Parks Collection is housed, the civil rights icon is revealed for the first time in print through her private manuscripts and handwritten notes. *Rosa Parks: In Her Own Words* illumines her inner thoughts, her ongoing struggles, and how she came to be the person who stood up by sitting down.

THIS PAGE [ABOVE]: ROSA PARKS: IN HER OWN WORDS BOOK COVER AND EXHIBIT. SHALL NOT BE DENIED: WOMAN FIGHT FOR THE VOTE BOOK COVER AND EXHIBIT. COURTESY OF THE LIBRARY OF CONGRESS, LIBRARY OF CONGRESS LIFE, FLICKER.COM
 FRONT COVER [BACKGROUND]: ROSA PARKS / DONNA TEREK, '93., [DETROIT, MICH., 3-6-93].
 REPOSITORY: PRINTS & PHOTOGRAPHS DIVISION, LIBRARY OF CONGRESS.



March 31, 2020

MESSAGE FROM THE INSPECTOR GENERAL

The novel coronavirus has challenged every part of our lives from our personal health to the global economy. The Library of Congress has kept employee health and safety at the forefront of every decision, and its increased digital presence has helped it prepare for this moment.

In this period, Congress amended the *Library of Congress Inspector General Act of 2005* for the first time since its enactment, affording our office greater parity with Executive Branch inspectors general. The new law will bolster our ability to do our job with appropriate congressional oversight and a new level of independence. We are grateful to our oversight and appropriations committees for supporting this effort as well as to the Library for working with us through this process.

The Library of Congress has begun to realize the benefits of an integrated planning approach in fulfillment of its five-year strategic plan, *Enriching the Library Experience*, implemented in October 2018. To maintain progress though, the Library needs stronger program and project management. Efforts to strengthen planning and project management capabilities have already been underway in the Executive Branch as prescribed by the *Program Management Improvement Accountability Act of 2016* (the Act). We have noted that because of the significant nexus to strategic planning and implementation, the Office of Management and Budget (OMB) is combining its program and project management implementation with an agency's internal strategic review process. While the Library does not have to follow the Act or OMB guidance, we encourage it to consider leveraging OMB's efforts, implementation phases, and knowledge.

During this semiannual period, we reported on our audits of the Library's Rio de Janeiro Overseas Field Office, the Library's FY 2019 financial statements, and whether the Library's Financial Services Directorate substantially captured vendor discounts. The Library implemented thirty-six of our recommendations from prior semiannual periods. Sixteen of the recommendations are not identified here because they were in reports that were not released publicly.

A handwritten signature in blue ink, appearing to read "Kurt W. Hyde". The signature is stylized and fluid.

Kurt W. Hyde
Inspector General

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PORTRAITS OF LESSER KNOWN FACES IN THE SUFFRAGE MOVEMENT. ABOVE [TOP]: ADELINA "NINA" OTERO-WARREN, ZITKÁLA-ŠÁ (ALSO KNOWN AS GERTRUDE BONNIN), AND ANNA JULIA COOPER. [MIDDLE]: FANNIE BARRIER WILLIAMS, NANNIE HELEN BURROUGHS (LEFT), AND FRANCIS E.W. HARPER. [BOTTOM]: MILAGROS BENET DE NEWTON, SOFIA REYES DE VEYRA, AND HARRIET FORTEN PURVIS.

REPOSITORIES: MANUSCRIPT DIVISION, PRINTS & PHOTOGRAPHS DIVISION, AND RARE BOOK AND SPECIAL COLLECTIONS DIVISION, LIBRARY OF CONGRESS.

PROFILES

LIBRARY OF CONGRESS

The Library is the research and information arm of the United States' national legislature and the world's largest knowledge reserve. The Library's mission is to engage, inspire, and inform Congress and the American people with a universal and enduring source of knowledge and creativity. This mission is accomplished through the work of approximately 3,200 permanent employees.

Founded in 1800, the Library is also the nation's first federal cultural institution, holding more than 167 million physical items on approximately 838 miles of bookshelves. These items include books and other print materials, recordings, photographs, maps, sheet music, and manuscripts. The Library occupies three buildings on Capitol Hill and Taylor Street Annex in Washington, DC and the Packard Campus of the National Audio-Visual Conservation Center in Culpeper, Virginia. In addition, the Library operates six overseas offices and stores collections material in purpose-built facilities in Maryland.¹

The Library has six primary components:

- Office of the Librarian
- Chief Operating Officer Service Unit
- Office of the Chief Information Officer
- Library Collections and Services Group, which manages three traditional library operations:
 - Law Library
 - Library Services
 - National Library Service for the Blind and Print Disabled
- U.S. Copyright Office
- Congressional Research Service

The Office of the Librarian provides leadership and executive management to the Library, overseeing the implementation of the Library's mission. It includes the Principal Deputy Librarian, the Office of Communications and External Relations, and the Office of the Chief of Staff. The Office of the Chief of Staff includes two centers that focus on user engagement and fostering access to the Library's collections for research, teaching, and visitor education: the **Center for Exhibits and Interpretation** and the **Center for Learning, Literacy, and Engagement**.

¹ Additionally, the Library has materials stored (per interagency contracts) in Cabin Branch and Landover, Maryland and Pittsfield, Massachusetts as well as per contract in Fredericksburg, Virginia. The Library also has materials stored in its overseas offices.

The Chief Operating Officer Service Unit manages and administers the Library's non-information technology infrastructure functions and daily operations, including oversight of the Human Capital Directorate, the Financial Services Directorate, the Contracts and Grants Directorate, the Integrated Support Services Directorate, the Security and Emergency Preparedness Directorate, and Library Enterprises that operate on a cost recovery basis.

The Office of the Chief Information Officer has agency-wide responsibility over all aspects of managing Library digital strategy and IT strategies and resources. This includes development and implementation of the Library of Congress IT strategic plan, guiding Library leadership on digital futures, advising on the technology market, and serving as a solution broker for the agency. It also includes assessing, designing, developing, maintaining, standardizing, optimizing, and protecting the Library's IT resources. IT resources include all Library budgetary, personnel, equipment, and facilities resources and services that are primarily used for the management, operation, acquisition, disposition and transformation or other activity related to the lifecycle of IT. The Library's digital collections content is managed by the appropriate service unit with statutory or curatorial responsibilities for a given collection.

The Library Collections and Services Group (LCSG) oversees units responsible for acquiring, stewarding, describing, and serving Library collections and manages fellowships and internships. The LCSG includes: the **Law Library**, which assists Congress and the legislative process by providing comprehensive research on foreign, comparative, international, and U.S. law and other legal reference services; **Library Services**, which performs the traditional functions of a national library, such as acquisitions, cataloging, preservation, and reference services for both digital and conventional collections and operates the National Audio-Visual Conservation Center and the American Folklife Center, among other programs; the **National Library Service for the Blind and Print Disabled**, which is a national program that circulates books and magazines in braille and audio formats to people with temporary or permanent low vision, blindness, or a physical disability that prevents them from reading or holding the printed page; and the **John W. Kluge Center**, which brings together the world's best thinkers to contribute to the conversation about the challenges facing democracies in the 21st century through residential fellowships, lectures, and other research opportunities.

The U.S. Copyright Office administers the nation's copyright laws for the advancement of the public good, offers services and support to authors and users of creative works, and provides expert impartial assistance to Congress, the courts, and Executive branch agencies on questions of copyright law and policy.

The Congressional Research Service supports the legislative process by providing, exclusively to Congress, objective, confidential, and nonpartisan assessments of public policy issues and legislative options for addressing those issues.

OFFICE OF THE INSPECTOR GENERAL

The Library's Office of the Inspector General (OIG) was established in 1988 as a non-statutory office deriving its authority from the Librarian of Congress. OIG became statutory with the passage of the *Library of Congress Inspector General Act of 2005* (2 U.S.C. § 185), with a mandate to independently:

- conduct and supervise audits and investigations of fraud, waste, and abuse relating to the Library;
- lead, coordinate, and recommend policies to promote economy, efficiency, and effectiveness; and
- keep the Librarian of Congress and the Congress fully and currently informed about problems and deficiencies relating to the administration and operations of the Library.

At the end of 2019, the *Library of Congress Inspector General Act* was amended for the first time since its enactment, giving the office, increased parity with Executive Branch IGs, statutory law enforcement authority, and defined independence with respect to budget and hiring.

Through the Council of Inspectors General on Integrity and Efficiency (CIGIE), we are part of a community of Inspectors General, who collectively oversee operations with the Executive and Legislative branches. In the years to come, we look forward to continuing our efforts to provide independent and effective oversight, such as through our Semiannual Reports to Congress as required by the Inspector General Act.



ABIGAIL SCOTT DUNIWAY WAS THE AUTHOR OF TWENTY-TWO NOVELS AND PUBLISHER OF *THE NEW NORTHWEST*, A WEEKLY PORTLAND, OREGON, NEWSPAPER PROMOTING WOMEN'S RIGHTS. SHE WAS INSTRUMENTAL IN SECURING SUFFRAGE VICTORIES IN IDAHO, WASHINGTON AND EVENTUALLY HER HOME STATE OF OREGON, AFTER FOUR FAILED CAMPAIGNS WHOSE OPPONENTS INCLUDED HER BROTHER, EDITOR OF *THE OREGONIAN*.

LEFT: MULTNOMAH COUNTY CLERK JOHN B. COFFEY REGISTERS ABIGAIL SCOTT DUNIWAY AS FIRST WOMAN VOTER IN PORTLAND, FEBRUARY 14, 1913. NATIONAL WOMAN'S PARTY (NWP) RECORDS. OPPOSITE: MAYER, HENRY, *THE AWAKENING / HY MAYER*. UNITED STATES, 1915. NEW YORK: PUBLISHED BY PUCK PUBLISHING CORPORATION. REPOSITORY: MANUSCRIPT DIVISION, LIBRARY OF CONGRESS.



SUFFRAGE FOLLOWS LADY LIBERTY EASTWARD IN "THE AWAKENING." SUFFRAGE WINS IN WASHINGTON, CALIFORNIA, AND OREGON WERE FOLLOWED BY HARD-FOUGHT VICTORIES IN ARIZONA, KANSAS, NEVADA, AND MONTANA. BY THE END OF 1914, MORE THAN FOUR MILLION WOMEN HAD VOTING RIGHTS EQUAL TO MEN IN ELEVEN STATES, ALL IN THE WEST, LEAVING WOMEN ELSEWHERE STILL REACHING FOR THE LIGHT OF LIBERTY'S TORCH OF FREEDOM.

Every six months we provide Congress with this report detailing our independent oversight of the Library. The semiannual report presents information on:

- the Library's top management challenges;
- significant audits, investigations, and other activities of the OIG;
- OIG's review of legislation and regulations affecting the Library; and
- Library decisions on OIG recommendations and the status of implementation, along with any resulting monetary benefits.

The Audits Division conducts in-depth reviews that address the efficiency, effectiveness, and economy of the Library's programs, activities, and functions; provides information to responsible parties to improve public accountability; facilitates oversight and decision-making; and initiates corrective action as needed.

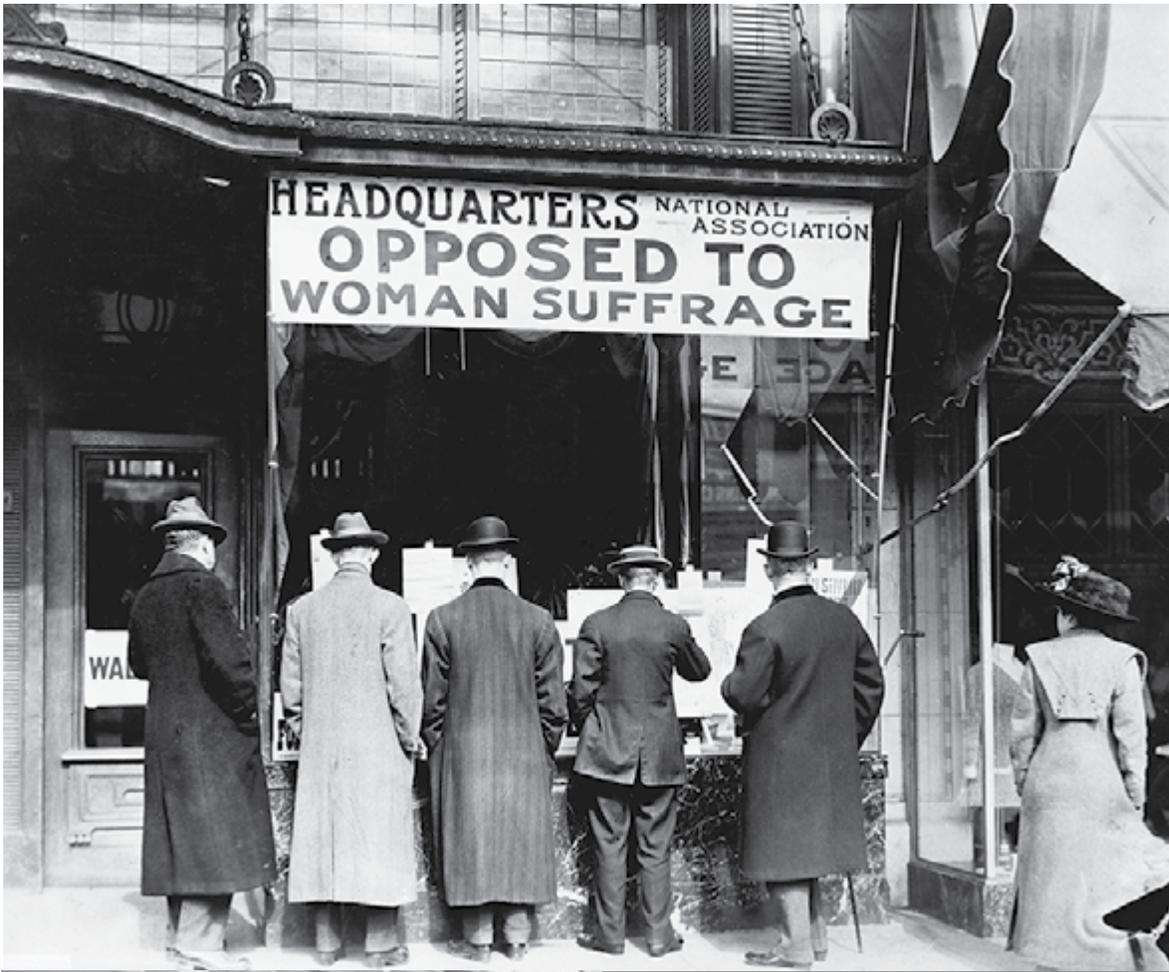
The Audits Division also contracts with an independent public accounting firm that examines whether financial statements fairly present financial positions, results of operations, and budgetary resources. The firm also assesses whether the Library and other entities have adequate financial reporting internal control systems that comply with applicable laws and regulations. OIG reports are available at www.loc.gov/about/oig.

The Investigations Division addresses alleged or suspected wrongdoing by agency employees, contractors, or others responsible for handling federal resources. Violations of Library regulations or fraud committed against the Library can result in administrative sanctions and criminal or civil prosecution. Contact information for the OIG Hotline is located on the inside back cover of this report.

TOP MANAGEMENT CHALLENGES

We have identified seven top management challenges for the Library:

- **Strategic Planning and Performance Management.** Many of the Library's management challenges over the years have flowed from a historic lack of proper strategic planning and performance management.
- **Digital Strategic Planning and Execution.** A more strategic approach to digital services and collections is necessary because of the pace of digital innovation and the need for the Library to act on many fronts to execute a timely and cost-effective digital transformation.
- **IT Modernization.** The key to the Library successfully developing a modern IT environment is the Office of the Chief Information Officer (OCIO) using a strategic approach to improve its IT governance, establishing accountability for IT investments, implementing well-designed, secure, and business-driven networks and computing facilities, and employing IT best practices.
- **Collection Services Workflow.** The Library needs to broaden its capability to perform end-to-end monitoring of its collections services workflow in order to better manage its arrears and number of items collected and stored. We identify Library Services' collections storage activities as being part of a supply chain of processes that include selection, digitization, preservation, and storage services, among other processes.
- **U.S. Copyright Office's Modernization Program.** In FY 2018, OCIO and the U.S. Copyright Office (USCO) initiated a joint effort to develop a future-state version of USCO's IT system as part of USCO's larger modernization program. The program poses a top management challenge because of the complexity, magnitude, and importance of the program to fulfilling USCO's mission.
- **Financial Management and Reporting.** A reliable system of internal controls over financial reporting is vital for an agency to accurately and reliably report on its financial transactions and position. We are monitoring the Financial Services Directorate's progress in strengthening its financial management and reporting processes.
- **Contracting.** An area of long-standing challenge, the Library needs to prioritize the importance of an effective organization-wide procurement function. We plan to complete an analysis for our September 2020 Semiannual Report to Congress.



MRS. SUFFERN WEARING A SASH AND CARRYING A SIGN THAT SAYS "HELP US TO WIN THE VOTE," SURROUNDED BY A CROWD OF MEN AND BOYS.

ABOVE: HARRIS & EWING, NATIONAL ANTI-SUFFRAGE ASSOCIATION, 1911.
LEFT: MRS. SUFFERN WITH A HOME-MADE BANNER IN THE PARADE, 1914.
REPOSITORY: PRINTS & PHOTOGRAPHS DIVISION, LIBRARY OF CONGRESS.

AUDITS, EVALUATIONS, AND REVIEWS

LIBRARY SHOULD COLLECT DISCOUNTS FOR EARLY PAYMENT ON VENDOR INVOICES ON GSA SCHEDULE CONTRACTS REPORT No. 2018-FN-105 JANUARY 2020

The Legislative Branch Financial Management System (Momentum) is the financial management system for the Library and other Legislative Branch agencies. In July 2017, the Library upgraded Momentum to version 7.4. A consequence of the migration was that Momentum no longer recognized early payment discounts offered on certain invoices. The Library corrected the system error in February 2018. In its review of the problem, the Financial Services Directorate (FSD) estimated that the lost/unprocessed early payment discounts amounted to approximately \$6,000.

We contracted with the independent public accounting firm of Cotton & Company LLP (Cotton) to conduct an audit to determine whether FSD substantially captured the discounts offered by the Library's vendors for early payment discounts during the period in which the discount function in Momentum did not operate. Cotton also assessed whether the Library earned discounts on contracts awarded to vendors through General Services Administration (GSA) schedules that included discount terms for early payment.

Cotton verified that FSD's estimate for lost early payment discounts was reasonable. In its review of contracts negotiated through the GSA schedules, Cotton also found that the Library did not take advantage of early payment discounts. As a result, for 38 contracts during fiscal years 2017-2018, the Library missed taking over \$221,000 in discounts on billed balances and could realize approximately \$231,000 of discounts on unbilled balances.

The Library agreed with all five of the audit report's recommendations to pursue collection of discounts on billed and unbilled balances and to improve its capturing of discounts for early payment of vendors' invoices.

AUDIT OF OVERSEAS FIELD OFFICES - RIO DE JANEIRO, BRAZIL REPORT No. 2018-PA-101 NOVEMBER 2019

We conducted an audit of the overseas field office in Rio de Janeiro, Brazil to evaluate compliance with Library policies and procedures in selected areas, including financial management, collection materials purchase order management, collection materials accountability, fixed asset accountability, information technology security, payroll, shipping, travel, and imprest fund control.

OIG made ten recommendations. In summary, we recommended that Library Services develop a mitigation plan to reduce the backlog of materials collected by the Field Office that need further cataloging. We recommended that the Director of Security and Emergency Preparedness intervene to ensure the safety and security of assets in the Field Office. We recommended that Library Services and the Field Office work collaboratively with the Office of the Chief Information Officer and other units as appropriate to resolve the audit's information technology-related findings. Finally, we recommended that the Field Office work collaboratively with FSD to address the Field Office's lack of a bank account as well as the risks and inefficiencies that exist as a result.

Library management agreed with all of our audit report's recommendations. We are not providing specific information about the findings because of the sensitive nature of the information contained in the report. The report was not issued for public release.

FY 2019 AUDIT OF THE LEGISLATIVE BRANCH FINANCIAL MANAGEMENT SYSTEM AND APPLICATION CONTROLS

REPORT No. 2019-FN-102

NOVEMBER 2019

OIG contracted with the independent public accounting firm of Kearney & Company (Kearney) to perform an audit of the Library's FY 2019 financial statements and assess the effectiveness of Momentum's general and application controls and related support systems. The objective was to provide timely identification of deficient controls and/or configurations. According to Kearney, the audit team based its testing procedures on guidance from the Government Accountability Office's (GAO) *Federal Information System Controls Audit Manual* (FISCAM) and in accordance with Generally Accepted Government Auditing Standards. Additionally, Kearney evaluated select Momentum controls for compliance with National Institute of Standards and Technology's Special Publication 800-53, Rev. 4, *Security and Privacy Controls for Federal Information Systems and Organizations*. Kearney's audit did not identify any material weaknesses or significant deficiencies.²

Kearney described the weaknesses it identified, that were not considered significant, in the audit's report. We are not providing specific information about the weaknesses because of the sensitive nature of the information contained in the report. The report was not issued for public release.

² American Institute of Certified Public Accountants (AICPA) defines a *material weakness* as a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the Library's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance. A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. See: AICPA's Statements on Standards No. 115, *Communicating Internal Control Related Matters Identified in an Audit*.

OTHER AUDITS DIVISION ACTIVITIES

STRENGTHENING PROGRAM AND PROJECT MANAGEMENT

In March 2020, we provided information to Library management about program and project management, following up on previous discussions about the Library's need to strengthen its capabilities in these areas. We noted that efforts to strengthen program and project management capabilities have already been underway in the Executive Branch as prescribed by the *Program Management Improvement Accountability Act of 2016* (the Act). Because of the significant nexus to strategic planning and implementation, the Office of Management and Budget (OMB) is combining its program and project management implementation with an agency's internal strategic review process. While the Library does not have to follow the Act or OMB guidance, we encourage it to consider leveraging OMB's efforts, implementation phases, and knowledge as the Library works to implement its five-year strategic plan.

ENACTMENT OF THE LEGISLATIVE BRANCH INSPECTORS GENERAL INDEPENDENCE ACT OF 2019

In December 2019, Congress passed the *Legislative Branch Inspectors General Independence Act of 2019*, as Title XVI, Division P, P.L. 116-94, the *Further Consolidated Appropriations Act, 2020*. Enactment of this law marked the first time the *Library of Congress Inspector General Act of 2005*, 2 U.S.C. § 185, has been amended. The new law makes the following three significant changes to the Library of Congress Inspector General (IG) Act and two other Legislative Branch IG Acts: 1) it harmonizes the Library of Congress IG Act with the IG Act of 1978, as amended, including provisions related to the removal of the IG, setting the IG's pay in statute, prohibiting bonuses for the IG, and codifying the IG's right to independent legal advice; 2) it increases the independence of OIG's hiring and budget processes; and 3) it confers statutory law enforcement authority on OIG special agent criminal investigators who meet and maintain specified requirements under the law. All of these changes conform with OIG's current practices, policies, and procedures. Statutory changes were necessary for consistency across Legislative Branch OIGs and to ensure independence in the future.

OPPOSITE [TOP]: THE NEW YORK TIMES, NOV. 4, 1912. [LOWER]: AMERICAN PRESS ASSOCIATION, COPYRIGHT CLAIMANT. YOUNGEST PARADER IN NEW YORK CITY SUFFRAGIST PARADE. NEW YORK, 1912.

REPOSITORIES: SERIAL AND GOVERNMENT PUBLICATIONS DIVISION AND PRINTS & PHOTOGRAPHS DIVISION, LIBRARY OF CONGRESS.



WOMAN SUFFRAGE PARADE BEARING IN THE PARADE ON FIFTH AVENUE A WEEK AGO PLACARDS CONTAINING THE SIGNATURES OF MORE THAN LAKESHORE WOMEN OF NEW YORK STATE WHO ASK THE MEN OF THE STATE TO GIVE THEM THE VOTE. (Special Photo Service.)



THE CORBAIR, FORMERLY OWNED BY J. P. MORGAN, PATROLING THE SEABOARD'S SHIPS FOR THE PROTECTION OF AMERICAN SHIPS. A British Destroyer. Also on the Lookout for Submarines. Can be seen in the distance.



BRITISH COASTAL ARMED RETURNING TO ITS HARBOR AFTER A PERIOD OF LOOKOUT DUTY FOR GERMAN SUBMARINES. (Associated & Underwood.)



AMERICANS TRAINED IN THE OPERATION AND USE OF A PAPER BALLOON IN WAR. HERE IS THE COLLEGIATE BALLOON SCHOOL, ROCKVILLE, CONN. From These Balloons, Gas, Torpedoes and Torpedoes Were in Supplies from Behind the Lines. One Which the Siege of Enemy Positions Is Given to the Victory Commanders. (Associated & Underwood.)



ADDITIONAL PHOTOGRAPHS OF THE WOMAN SUFFRAGE PARADE ON FIFTH AVENUE A WEEK AGO APPEAR ON PAGE FOUR

RESURRECTING SOME OF SUSAN B. ANTHONY'S EARLIER TACTICS AND GUIDED BY EXPERIENCE GAINED WORKING WITH THE BRITISH CAMPAIGN, AMERICAN SUFFRAGISTS HELD PARADES IN CALIFORNIA AND IOWA IN 1908 AND THE FIRST LARGE "MARCH" IN NEW YORK IN 1910. CHILDREN WERE INCLUDED, AS THEY WERE IN THIS NEW YORK PARADE IN MAY 1912, VISUALLY CHALLENGING THE STIGMA AND DEMONSTRATING THAT MOTHERS NEEDED THE VOTE. AS WAR RAGED IN EUROPE, SUFFRAGE ACTIVITIES OFTEN TOOK A BACKSEAT TO "NATIONAL SERVICE." NEW YORK SUFFRAGE LEADERS TOOK PAINS TO AVOID ALIENATING PATRIOTIC VOTERS AND FUELING OPPOSITION. WITH GENEROUS BACKING FROM WEALTHY, PRO-SUFFRAGE MEN, THEY MOUNTED AN AMBITIOUS DOOR-TO-DOOR CAMPAIGN AND STAGED A PARADE EMPHASIZING WOMEN'S WARTIME CONTRIBUTIONS WITH WIVES AND MOTHERS OF SERVICEMEN MARCHING WITH NURSES AND WOMEN MUNITIONS WORKERS.

REVIEW OF LEGISLATION AND REGULATIONS

TABLE 1: REVIEW OF LEGISLATION

REVIEWED	COMMENTS BY THE OFFICE OF THE INSPECTOR GENERAL
H.R. 1865, <i>Further Consolidated Appropriations Act, 2020</i> ; Division P, Title XVI, <i>Legislative Branch Inspectors General Independence Act of 2019</i>	OIG supported amendments that would harmonize the Library of Congress Inspector General Act with certain provisions of the Inspector General Act of 1978, as amended; increase our independence with respect to budget and hiring; and grant statutory law enforcement authority for our criminal investigators. These provisions were enacted as part of Public Law 116-94.

TABLE 2: REVIEW OF LIBRARY OF CONGRESS REGULATIONS (LCRs) AND DIRECTIVES (LCDs)

REVIEWED	COMMENTS BY THE OFFICE OF THE INSPECTOR GENERAL
LCR 5-440, <i>Distribution of Open Source Software</i>	OIG sought clarification on issues related to security of code, policy for modifications to code, code inventory, review of accounts and repositories, and other issues relating to clarity and general editing.



COVER OF PROGRAM FOR THE NATIONAL AMERICAN WOMEN'S SUFFRAGE ASSOCIATION (NAWSA) PROCESSION, SHOWING A WOMAN IN ELABORATE ATTIRE WITH CAPE, BLOWING A LONG HORN, FROM WHICH IS DRAPED A "VOTES FOR WOMEN" BANNER, ON DECORATED HORSE, WITH U.S. CAPITOL IN BACKGROUND.

ABOVE: DALE, BENJAMIN M. AND U.S. RECORDS LEAGUE OF WOMEN VOTERS. *OFFICIAL PROGRAM - WOMAN SUFFRAGE PROCESSION, WASHINGTON, D.C. MARCH 3, 1913.*

REPOSITORY: PRINTS & PHOTOGRAPHS DIVISION, LIBRARY OF CONGRESS.

INVESTIGATIONS DIVISION

As shown in Table 3,³ during this reporting period, our Investigations Division completed four investigative reports. We opened four investigations, closed five, and forwarded three to Library management for administrative action. Three complaints were opened, five closed, and two converted to investigations. With regard to the OIG Hotline, we converted two hotline communications to investigations, received 41 hotline communications total, and 13 hotline communications were referred to management.

TABLE 3: INVESTIGATIVE DATA

ACTIVITY	TOTAL
Investigative Reports Issued (selectively summarized in this report):	4
Referrals to the Department of Justice:	-
Referrals to State and Local Authorities:	-
Indictments/Criminal Informations Resulting from Prior Referral to Prosecuting Authorities:	1
Investigations Opened:	4
Investigations Closed:	5
Investigations Forwarded to Library Management for Administrative Action:	3
Complaints Opened:	3
Complaints Closed:	5
Complaints Converted to Investigations:	2
Hotline Communications Converted to Investigations:	2
Hotline Communications Received:	41
Hotline Referrals to Management:	13

SIGNIFICANT INVESTIGATIONS

EMPLOYEE MISCONDUCT, TIME AND ATTENDANCE IRREGULARITIES

The OIG Hotline received notification that a Library employee was leaving work early without taking adequate leave. OIG interviewed key witnesses, reviewed leave and other records, and identified approximately 708 hours of time unaccounted for from January 2018 through September 2019, wherein the employee misrepresented arrival or departure times. The 708 hours of unaccounted for time resulted in salary overpayments of over \$26,000 to the employee. An investigative report was issued and referred to Library management for action.

³ Data in this table were compiled from a review of the Investigations Division's database and files.

SUPERVISOR MISCONDUCT, ALLEGED MISTREATMENT OF EMPLOYEES

The OIG Hotline received notification of a possible violation of the Library's regulation, *Conduct in Official Positions*, by a Library supervisor. It was alleged that the supervisor was verbally abusive and hostile to colleagues and subordinates. OIG Agents interviewed numerous key witnesses who related that the supervisor exhibited hostile and unprofessional behavior that included threats of retaliation if employees reported him to their union, inappropriate comments to women including regarding their appearance and time in the Library's lactation room, inaccurate documentation of performance evaluations, and manipulation of staff, among other complaints.

OIG Agents interviewed the supervisor who explained that he realized some of his comments may have been perceived as discouraging union involvement while making people feel uncomfortable through his tone and comments, but he denied malicious intent. An investigative report was issued and referred to Library management for action.

SUPERVISOR MISCONDUCT, ALLEGED MISTREATMENT OF EMPLOYEES

The OIG Hotline received notification from a Library employee regarding searches of the employee's personal effects and workspace by her supervisor. OIG Agents interviewed several key witnesses and conducted a search of various Library records. Investigation revealed the supervisor conducted an unauthorized search of the employee's personal belongings. Additionally, the supervisor began taking steps to have the employee terminated after the employee reported the supervisor's conduct to the U.S. Capitol Police and the Library's OIG. A review of OIG records revealed the supervisor engaged in a similar pattern of alleged retaliatory action in 2017 against a former Not to Exceed (NTE) employee when he terminated her employment after she filed an Equal Employment Opportunity (EEO) complaint against him. OIG Agents interviewed the supervisor who made several admissions, including admitting to searching the employee's bag without authorization. The supervisor resigned while under investigation.



ABOVE [TOP]: HARRIS & EWING. WOMAN SUFFRAGE. MARCH ON CAPITOL. WASHINGTON D.C., 1916. [LOWER]: GERMAN ACTRESS HEDWIG REICHER WEARING COSTUME OF "COLUMBIA" WITH OTHER SUFFRAGE PAGEANT PARTICIPANTS STANDING IN BACKGROUND IN FRONT OF THE TREASURY BUILDING, MARCH 3, 1913, WASHINGTON, D.C.
REPOSITORIES: PRINTS & PHOTOGRAPHS DIVISION, LIBRARY OF CONGRESS.



Votes for Women Broadside

Number 2
Price, 2 Cents

Published by the WOMEN'S POLITICAL UNION
WHEN EVENTS DEMAND

January 28, 1911

"STAND BACK, LADIES!"

CONVICTS OUT-RANK WOMEN.

There has been a general belief, which we imagine, many helped to spread, that we live in this, if generally, with slaves and criminals. That is an error. The man who has lost his right to vote through being convicted of a crime, man, when freed from prison, is given the right of exercising citizenship and is restored to full citizen rights.

By a stroke of the pen Gov. White gave to thirty-one ex-convicts the power to vote.

Women are out-classed by criminals in the State of New York.

When our investigators visited the office of the Secretary of State to look up the names and residences of those newly made voters of women, every official who helped in the search expressed the deepest sympathy with the ex-convicts. They argued that these unfortunate ought to get back their full rights of citizenship, but they "wanted the protection of the ballot." The anti-suffrage men on Capitol Hill were amazed that we thought in 1911, for they had supposed our desire for information was prompted by a spirit of vindictiveness.

Not at all. We seek a man who has faced his punishment, and has succeeded in getting on his feet again after his fall, and is striving to be a decent citizen, if society, should we have to fight the battle of life with the stigma of dishonourment upon him.

How we hold with a conviction deep as the earth that women, law-abiding women, should also be freed from the stigma of political out-lawry.

With confidence we challenge every voter in the State of New York to give one sound reason why the four men who committed felonies on women should be made the political rulers of the victims of their lust.



Clockwise [from top]: HARRIS & EWING, SUFFRAGE PRISONERS LEAVING D.C. PRISON, WASHINGTON D.C., 1917; HARRIS & EWING, WOMAN SUFFRAGE JAIL CELL, [Between 1916 and 1918]; VOTES FOR WOMEN BROADSIDE. WOMEN'S POLITICAL UNION. NEW YORK CITY, NEW YORK, 1911.

REPOSITORIES: PRINTS & PHOTOGRAPHS DIVISION AND MANUSCRIPT DIVISION, LIBRARY OF CONGRESS.

EMPLOYEE MISCONDUCT, MISUSE OF LIBRARY COMPUTER

As reported in the March 2019 and September 2019 Semiannual Reports, pursuant to proactive efforts, OIG identified eight Library employees who were possibly misusing their Library-issued computers. OIG conducted investigations and determined that the employees had used their computers to visit inappropriate Internet sites. Eight investigative reports were issued and referred to Library management for action. Two employees were removed from Federal Service, one employee retired while under investigation, one employee received a 20-workday suspension, two employees received 10-workday suspensions, one employee received a written reprimand; adjudication on one employee is pending.

LIBRARY OF CONGRESS OIG ASSISTANCE TO NATIONAL ARCHIVES OIG

We assisted the National Archives and Records Administration's (NARA) OIG with an investigation into five World War II-era photographic prints from NARA's collection, which were discovered for sale at a public auction house. NARA OIG stopped the auction, obtained the prints, and determined that they were part of NARA's archival collection of original Dorothea Lange photographs. The investigation traced the documents to a private collector. In September 2018, NARA OIG obtained and, in conjunction with the Library's OIG, executed a Federal search warrant on the collector's residence, seizing hundreds of additional photographs.

The matter has since been declined by the United States Attorney's Office for criminal prosecution. NARA's subject matter experts evaluated all of the seized materials, re-assimilated the photographs missing from its collection, and restored items that were identified as belonging to non-NARA institutions (e.g., the Library of Congress). The estimated value of the Library's recovered photos is about \$20,000. The remainder of the seized items were returned to the private collector, who was determined to have been an innocent third party.

OTHER INVESTIGATIONS DIVISION ACTIVITIES

SEMIANNUAL LAW ENFORCEMENT CERTIFICATION

In accordance with the requirements of the *Library of Congress Inspector General Act*, the Inspector General hereby certifies that the Library of Congress Office of Inspector General has adequate internal safeguards and management procedures that comply with the Council of the Inspectors General on Integrity and Efficiency standards. All supporting documentation is maintained in our office.

UNIMPLEMENTED RECOMMENDATIONS

We obtained comments from Library management on the status of all open recommendations.⁴ OIG summarized the comments provided for recommendations made in our publicly released reports and provide them in tables 4A and 4B. The assertions made in the tables are the representations of Library management and not of the OIG. The status of recommendation updates have not been audited. OIG periodically performs follow-up audits, inspections and evaluations, and reviews to verify implementation.

TABLE 4A: SIGNIFICANT RECOMMENDATIONS FROM PREVIOUS SEMIANNUAL REPORTS FOR WHICH CORRECTIVE ACTION HAS NOT BEEN COMPLETED

SUBJECT	REPORT No. ISSUE DATE	OFFICE	REC. No.	SUMMARY AND STATUS OF RECOMMENDATION
Office of the Librarian / Office of the Chief Information Officer (OCIO)				
Maturity of System Development Life Cycle Processes and Procedures	2013-IT-105 February 2015	OCIO	4	Report summary: OIG evaluated the Library's System Development Life Cycle methodology for acquiring, designing, implementing, and maintaining IT systems. Establish budget methodology to track project development costs and measure variances against approved costs—OCIO is working closely with the Financial Services Directorate (FSD) and the Human Capital Directorate (HCD) to implement a WebTA Labor Module, which will serve as the authoritative source for labor time accounting. The Library has begun the procurement process for the WebTA module to include services for implementation. Once implemented, the Library plans to expand tracking project actuals for Library staff time beyond OCIO to the entire Library. FSD is drafting a memorandum outlining the requirement for tracking Information Technology (IT) Project actuals across the Library. OCIO is updating Library of Congress Directive 5-310.1, <i>Project Management Life Cycle</i> to reflect this new requirement. The estimated date of completion is the first quarter of Fiscal Year (FY) 2022.
Design of Library-wide Internal Controls for Tracking IT Investments	2014-IT-101 March 2015	OCIO	V.1.A	Report summary: OIG reviewed the Library's internal controls for tracking IT investments. Align current cost development processes for IT investments to coincide with requirements for Office of Management and Budget (OMB) reporting, such as the use of an earned value management system to track costs on high-risk projects, as discussed in <i>Capital Programming Guide, V.3.0, Supplement to OMB Circular A-11: Planning, Budgeting, and Acquisition of Capital Assets</i> —The FY 2020 IT Finance Plan has been distributed for review and comment. Plans to publish OCIO's planned staff hour estimates for each major IT Investment were postponed until the staff capacity planning process has matured. This has delayed capturing non-personnel costs on active project charters. OCIO intends to continue improving staff capacity planning and project chartering processes during the remainder of this fiscal year. The estimated date of completion is the fourth quarter of FY 2021.
Design of Library-wide Internal Controls for Tracking IT Investments	2014-IT-101 March 2015	OCIO	V.1.B	Implementation of these practices may require procedural changes used by the service units for reporting expenditures and systemic modifications to the Library's Legislative Branch Financial Management System (LBFMS) and the Library of Congress Budget System (LCBS) that are used for tracking costs—OCIO continues to work with FSD to conduct quality reviews of FY 2019 data. The estimated date of completion of improved financial tracking using a technology business management tool is the fourth quarter of FY 2021.

⁴ The status of recommendation updates are Library management's assertions and have not been audited.

UNIMPLEMENTED RECOMMENDATIONS

TABLE 4A: SIGNIFICANT RECOMMENDATIONS FROM PREVIOUS SEMIANNUAL REPORTS FOR WHICH CORRECTIVE ACTION HAS NOT BEEN COMPLETED

SUBJECT	REPORT No. ISSUE DATE	OFFICE	REC. No.	SUMMARY AND STATUS OF RECOMMENDATION
Design of Library-wide Internal Controls for Tracking IT Investments	2014-IT-101 March 2015	OCIO	V.2.C	Institute better tracking of IT investments through changes in LBFMS and LCBS—See the status update provided above for recommendation V.1.B for report no. 2014-IT-101. The estimated date of completion is the fourth quarter of FY 2021.
eDeposit and eCollections Strategy	2014-PA-101 April 2015	OCIO	2	Report summary: OIG evaluated the Library’s efforts to ingest and make available for use electronic works (born-digital content). Recommendation: The Librarian should require the Architecture Review Board to: ensure that the eCollections Strategy and related activities are sufficiently addressed in the Enterprise Architecture’s current or “as-is” environment, the target or “to-be” environment, and the roadmap leading from the as-is to the to-be environment; sufficiently address and reduce the risk of implementing duplicative, poorly integrated, and unnecessarily costly eCollection activities; and sufficiently address the need for “robust security” to prevent “loss, alteration, and unauthorized access” of eCollections items—The Library submitted documentation to close this recommendation. We will review the material for the next semiannual report.
Library Working through Agile Delivery Method Challenges for Copyright IT Modernization Project	2018-IT-107 August 2019	OCIO	1	Report summary: OIG reviewed the joint effort involving OCIO and the U.S. Copyright Office (USCO) to develop the future-state version of USCO’s Copyright Recordation system, which is part of the larger USCO IT modernization plan. Develop and implement guidance on tracking and resolving project health issues, on development projects that follow an agile, hybrid, or other similar methodology. In addition, OCIO should update its status dashboards to effectively convey project progress—OCIO has drafted an update to Library of Congress Directorate (LCD) 5-310.2 (Systems Development Life Cycle) to add language regarding OCIO’s use of hybrid development methodologies for IT projects and is awaiting publication. OCIO is working with industry experts in Agile Earned Value Management (EVM) to determine the best approach to implementing EVM at the Library. The Library has identified and is evaluating an EVM method that was used by another government agency. The estimated completion date is the first quarter of FY 2022.
Library Working through Agile Delivery Method Challenges for Copyright IT Modernization Project	2018-IT-107 August 2019	OCIO	2	Ensure that all relevant stakeholders understand the development methodology used, implement a stakeholder engagement plan, assess the risks associated with the project before beginning the project, and document best practices for governance and status meetings, including best practices relating to the size and content of the meetings— In January 2020, the Library completed documentation that provides guidance and templates for relevant stakeholders to provide an understanding of the development methodology used, including a stakeholder engagement plan, a communications plan, Project Management Life Cycle (PMLC) Initiation Phase guidance, Risk Management plan template, and Risk Register template. The OCIO Project Management Office (PMO) is working with the IT Governance team and the IT Liaisons to document best practices for governance boards and will be publishing those best practices as part of the PMLC. The Library submitted documentation to close this recommendation. We will review the material for the next semiannual report.

UNIMPLEMENTED RECOMMENDATIONS

TABLE 4A: SIGNIFICANT RECOMMENDATIONS FROM PREVIOUS SEMIANNUAL REPORTS FOR WHICH CORRECTIVE ACTION HAS NOT BEEN COMPLETED

SUBJECT	REPORT NO. ISSUE DATE	OFFICE	REC. No.	SUMMARY AND STATUS OF RECOMMENDATION
Library Working through Agile Delivery Method Challenges for Copyright IT Modernization Project	2018-IT-107 August 2019	OCIO	3	Work with and obtain concurrence from project owners and, where applicable, development governance boards regarding the format and content of program and project reports. Ensure that such content follows the guidelines published by PMI or other risk management standard-setting bodies—In January 2020, the Library completed documentation that includes a standard project reporting format for use by OCIO project managers. The PMO also recently developed a new program reporting format for OCIO service liaisons and program managers. Both the project and reporting formats follow the guidelines in PMBOK v6 by including key elements such as risk reporting and project scope, schedule and cost health. The Library submitted documentation to close this recommendation. We will review the material for the next semiannual report..
Library Working through Agile Delivery Method Challenges for Copyright IT Modernization Project	2018-IT-107 August 2019	OCIO	4	Develop and implement guidance (i.e., directives) for blending all System Development Life Cycle (SDLC) approaches based on the characteristics of individual projects, and that such directives include obtaining the business owners' concurrence regarding the SDLC approach at the start of each project. OCIO should also obtain FSD's concurrence regarding the SDLC approach as it relates to cost management—OCIO has drafted the update to LCD 5-310.2, <i>Systems Development Life Cycle</i> to add language regarding OCIO's use of hybrid development methodologies for IT projects and is awaiting publication. OCIO has researched cost materiality thresholds at similar federal institutions and is internally considering the most appropriate threshold for management decision. The project charter template has been updated to include the applicable SDLC approach, to which OCIO and the product/business owner agree and sign. The project charter template has been updated to include a FSD signature line, and the Chief, Financial Reports Office, has been invited to attend project meetings to obtain concurrence from FSD. The estimated completion date is the third quarter of FY 2020.
Library Working through Agile Delivery Method Challenges for Copyright IT Modernization Project	2018-IT-107 August 2019	OCIO	5	Prepare a checklist of all required elements for starting a project before beginning system development for the project, including such items as obtaining approval of the SDLC methodology and completing an agile suitability scorecard, and then obtaining the CIO or Deputy CIO's approval of the completed checklist before beginning the project—In January 2020, the Library completed the documentation to support the development and implementation of the project charter checklist. The Library now requires project charters include the checklist as part of the formal project charter review and sign-off. The Library submitted the Project Charter template to OIG for review to close the recommendation. We will review it for the next semiannual report.

OPPOSITE [CLOCKWISE FROM TOP LEFT]: LAUD WOMEN VOTERS: WILSON'S GREETING TO SUFFRAGISTS READ BY COLBY AT MEETING, FRONT PAGE, WASHINGTON POST, AUGUST 27, 1920; WARREN, WILLIAM STEPHEN. ATTEND TO THE BUSINESS OF CITIZENSHIP TODAY., CA. 1924, POSSIBLY DRAWN FOR THE CLEVELAND NEWS OR THE LEAGUE OF WOMEN VOTERS; GUSTIN, E. W., ARTIST. ELECTION DAY!, 1909.
REPOSITORY: SERIAL AND GOVERNMENT PUBLICATIONS DIVISION, PRINTS & PHOTOGRAPHS DIVISION, AND MANUSCRIPT DIVISION, LIBRARY OF CONGRESS.



AFTER RECEIVING THE GOOD NEWS FROM TENNESSEE ON AUGUST 18, 1920, ALICE PAUL [TOP] UNFURLED THE NWP'S COMPLETED RATIFICATION FLAG WITH 36 STARS. TO GENERATE PRESS INTEREST AND BOLSTER MEMBERS' SPIRITS, NWP OFFICIALS HAD SEWN A STAR ON THE FLAG EACH TIME A STATE VOTED FOR RATIFICATION. THEY CAPTIONED PUBLICITY PHOTOGRAPHS "THE BETSY ROSS OF SUFFRAGE," LINKING THE RATIFICATION CAMPAIGN TO THE NATION'S STRUGGLE FOR INDEPENDENCE. KEY COORDINATOR OF THE SUFFRAGE MOVEMENT AND SKILLFUL POLITICAL STRATEGIST, CARRIE CHAPMAN CATT [BELOW] REVITALIZED THE NAWSA AND PLAYED A LEADING ROLE IN ITS SUCCESSFUL CAMPAIGN TO WIN VOTING RIGHTS FOR WOMEN.

AN ADDRESS
to the
CONGRESS OF THE UNITED STATES
by
Carrie Chapman Catt
President of the National American Woman Suffrage Association



ABOVE [CLOCKWISE FROM TOP LEFT]: NATIONAL PHOTO CO. WHEN TENNESSEE THE 36TH STATE RATIFIED, AUG 18, 1920, ALICE PAUL, NATIONAL CHAIRMAN OF THE WOMAN'S PARTY, UNFURLED THE RATIFICATION BANNER FROM SUFFRAGE HEADQUARTERS. NWP RECORDS; ALICE PAUL, FULL-LENGTH PORTRAIT, STANDING, FACING LEFT, RAISING GLASS WITH RIGHT HAND, 1920; CARRIE CHAPMAN CATT, "AN ADDRESS TO THE CONGRESS OF THE UNITED STATES." NATIONAL WOMAN SUFFRAGE PUBLISHING COMPANY, INC., 1917; CATT, CARRIE CHAPMAN. CARRIE CHAPMAN CATT PAPERS: MISCELLANY; PHOTOGRAPHS. - 1920, 1890, NAWSA RECORDS.
REPOSITORIES: MANUSCRIPT DIVISION AND PRINTS & PHOTOGRAPHS DIVISION, LIBRARY OF CONGRESS.

UNIMPLEMENTED RECOMMENDATIONS

TABLE 4A: SIGNIFICANT RECOMMENDATIONS FROM PREVIOUS SEMIANNUAL REPORTS FOR WHICH CORRECTIVE ACTION HAS NOT BEEN COMPLETED

SUBJECT	REPORT No. ISSUE DATE	OFFICE	REC. No.	SUMMARY AND STATUS OF RECOMMENDATION
Library Working through Agile Delivery Method Challenges for Copyright IT Modernization Project	2018-IT-107 August 2019	OCIO	6	Map the current methodology used in developing the Recordation Modernization Initiative (RMI) Minimum Viable Product (MVP) to the methodology required in LCD 5-310.2, ensure that it identifies and implements appropriate risk mitigation steps for any substantive deviation from the required methodology, and obtain the FSD and Register of Copyright's concurrence with regard to the mapping and any mitigation steps—OCIO created a new Agile Suitability Scorecard which aligns with Project Management Institute best practice, establishing a hybrid SDLC approach as an option. OCIO has drafted an update to LCD 5-310.2, <i>Systems Development Life Cycle</i> to add language regarding OCIO's use of hybrid development methodologies for IT projects which include a definition of a hybrid scrum/waterfall approach to software development. As part of the update to the Agile at the Library Confluence site, OCIO updated guidance for use by teams that have determined a hybrid approach is appropriate. The estimated date of completion is the third quarter of FY 2020.
Library Working through Agile Delivery Method Challenges for Copyright IT Modernization Project	2018-IT-107 August 2019	OCIO	7	Clearly define the purpose of an MVP in the Library's instance of agile development and develop a process for ensuring that the Library incorporates the agreed-upon definition into its SDLC processes. OCIO should coordinate with Contracts and Grants Directorate (CGD) for issues related to contract management and with FSD for issues related to cost management. The Library should then codify the process in its policies—OCIO updated the Agile at the Library Confluence site to include the definition of MVP along with guidance on how it should be developed for appropriate projects. OCIO is working with CGD and the Office of General Counsel (OGC) to incorporate this MVP definition into draft guidance for the development of agile contracting practices. The estimated date of completion is the third quarter of FY 2020.
Library Working through Agile Delivery Method Challenges for Copyright IT Modernization Project	2018-IT-107 August 2019	OCIO	8	OCIO, in conjunction with OGC and CGD, develop guidance to align key activities and responsibilities defined in application development contracts with Project Management Institute's Agile Practice Guide, or develop risk mitigation strategies for instances in which the Library chooses to deviate from agile best practices. These key activities and responsibilities may include items such as maintenance of the product roadmap—The Agile Contracts Working Group continues to enhance the draft guidance for the development of agile contracting practices. This guidance includes templates and examples to support in the creation of standard contract language on the Library's Agile IT efforts. OCIO continues to collaborate with CGD to finalize acceptance of the contract guidance. The estimated date of completion is the third quarter of FY 2020.
Library Working through Agile Delivery Method Challenges for Copyright IT Modernization Project	2018-IT-107 August 2019	OCIO	9	Update the Agile at the Library Confluence site and any other relevant guidance to be consistent with The Scrum Guide and Project Management Institute guidance—OCIO updated the Agile at the Library Confluence site to align with industry best practice and consistent with The Scrum Guide and Project Management Institute guidance. The new guidance provides playbooks on various Agile topics that can be used by agile team members and other key stakeholders within Copyright to inform and guide Agile development within the Copyright IT Modernization effort. The Library submitted the Agile at the Library link to OIG for review to close the recommendation. We will review it for the next semiannual report.

UNIMPLEMENTED RECOMMENDATIONS

TABLE 4A: SIGNIFICANT RECOMMENDATIONS FROM PREVIOUS SEMIANNUAL REPORTS FOR WHICH CORRECTIVE ACTION HAS NOT BEEN COMPLETED

SUBJECT	REPORT No. ISSUE DATE	OFFICE	REC. No.	SUMMARY AND STATUS OF RECOMMENDATION
Library Working through Agile Delivery Method Challenges for Copyright IT Modernization Project	2018-IT-107 August 2019	OCIO	10	Develop a checklist and supervisory controls to ensure that it uses updated guidance from the Agile at the Library Confluence site and the appropriate implementation methodologies on development projects—In January 2020, the Library completed the documentation to support the development and implementation of the project charter checklist to ensure that key elements needed to guide project execution are developed as part of the chartering process. These key elements include a project classification worksheet which determines the PMLC deliverables to be developed based on the risk and complexity of the project; and an agile suitability scorecard to determine whether the project should follow agile, waterfall or a hybrid development approach. The Library submitted documentation to close the recommendation. We will review the material for the next semiannual report.
Library Working through Agile Delivery Method Challenges for Copyright IT Modernization Project	2018-IT-107 August 2019	OCIO	11	Develop quality control mechanisms for ensuring that it updates templates for project management deliverables, such as the risk register, in a timely manner during ongoing projects, as well as for ensuring that project teams keep project management deliverables current throughout the project—To ensure templates are updated and promulgated in a timely manner, all project management process, tool and template changes are announced at the monthly Library-wide PM collaborative meeting. Any training for new or updated tools or templates is also provided during the PM collaborative meeting. PMO leverages the monthly IPR meetings and supervisory quality reviews to ensure that project deliverables comply with the latest project management processes and templates. The Library submitted documentation to close the recommendation. We will review the material for the next semiannual report.
Library Working through Agile Delivery Method Challenges for Copyright IT Modernization Project	2018-IT-107 August 2019	OCIO	12	Develop contingency plans for each of the risks identified in the risk register and obtain appropriate management approval for the plans—In January 2020, the Library completed the risk management spreadsheet template to include a column for capturing contingency plans for every risk identified for an individual IT Project. Project managers are required to work with internal OCIO teams to identify the contingency plan along with the risk mitigation plan when a risk is identified. Project managers are also required to update the risk mitigation and contingency plans throughout the project life cycle as deemed appropriate. Project risks and associated mitigation and contingency plans, as documented in the risk register, are discussed with both OCIO and Service Unit management during the monthly project review meetings. The Library submitted documentation to close the recommendation. We will review the material for the next semiannual report.

UNIMPLEMENTED RECOMMENDATIONS

TABLE 4A: SIGNIFICANT RECOMMENDATIONS FROM PREVIOUS SEMIANNUAL REPORTS FOR WHICH CORRECTIVE ACTION HAS NOT BEEN COMPLETED

SUBJECT	REPORT No. ISSUE DATE	OFFICE	REC. No.	SUMMARY AND STATUS OF RECOMMENDATION
Office of the Librarian / Chief Operating Officer (COO)				
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	1	Report summary: OIG audited the internal controls of the Library's Gift Shop. The Gift Shop should comprehensively review and redesign the financial and accounting processes and systems. It should implement a financial reporting system for Gift Shop operations that includes an automated trial balance based on double entry accounting, and one that produces a full complement of financial statements (i.e., balance sheet, profit and loss (P&L) statement, and cash flow statement) that is compliant with generally accepted accounting principles on a monthly, quarterly, and annual basis—The Shop updated its standard operating procedures. FSD defined acceptable interim reports, which are sent monthly. A statement of work has been sent to CGD and the solicitation for a GAAP-compliant financial system is estimated for July 2020. OIG will reevaluate the recommendation when the system has been implemented.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	2	The Gift Shop should develop and implement GAAP-compliant and accurate perpetual inventory information, including cost of goods sold and gross margin reporting on the manual P&L statement—The Shop is providing inventory information reports through Microsoft Dynamics AX. OIG will review the P&L statements to determine whether to close the recommendation for the next semiannual report.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	3	The Gift Shop should reconcile monthly financial results and selected accounts between the Business Enterprises' Financial and Accounting and Momentum financial systems—The Shop is reconciling monthly financial results and providing reports through Microsoft Dynamics. OIG will review the reconciliation to determine whether to close the recommendation for the next semiannual report.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	13	The Gift Shop should prepare and communicate to senior management and Gift Shop management an annual merchandise plan documenting goals, inventory levels, themes, major events, primary vendors, and how funds will be allocated between merchandise categories and vendors. Also, ensure that yearly or more frequent proper analysis is done comparing actual to plan—The Shop has provided senior management with plans in FY 2019 and FY 2020. The plans include buy plan and merchandising plan. Exhibit efforts are included and further documented. OIG will review the reconciliation to determine whether to close the recommendation for the next semiannual report.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	18	The Gift Shop should re-evaluate the sales return process to ensure it contains sufficient management controls and audit trails, and permits easy and efficient research methods—The Shop established standard operating procedures to document, track, and audit the return process. A statement of work has been sent to CGD for a new system; the solicitation is estimated for July 2020. The estimated date of completion is the fourth quarter of FY 2021.

UNIMPLEMENTED RECOMMENDATIONS

TABLE 4A: SIGNIFICANT RECOMMENDATIONS FROM PREVIOUS SEMIANNUAL REPORTS FOR WHICH CORRECTIVE ACTION HAS NOT BEEN COMPLETED

SUBJECT	REPORT No. ISSUE DATE	OFFICE	REC. No.	SUMMARY AND STATUS OF RECOMMENDATION
FEDLINK - Hotline Complaint Regarding the Timeliness of Processing Customer Orders	2018-SP-104 May 2019	COO	1	<p>Report summary: OIG evaluated a hotline complaint regarding the Federal Library and Information Network (FEDLINK) and two of its customers. FEDLINK serves federal libraries and information centers as a purchasing, training, and resource-sharing consortium.</p> <p>FEDLINK should create a formal process for tracking, reviewing, and resolving issues from customers and vendors. Implementing a formal process for centrally documenting customer issues could benefit FEDLINK, as it would allow FEDLINK to quickly identify and resolve systemic issues, thereby improving the services that FEDLINK provides to its customers. Implementing a formal process for tracking customer service issues related to contracts would also assist in ensuring that FEDLINK sufficiently documents issues to enable it to resolve the issues regardless of possible employee turnover. A formalized process would also assist in ensuring that all customer issues received are available to the FEDLINK personnel assigned to the relevant contract, regardless of the process by which the issue was submitted—A formal process for tracking, reviewing, and resolving issues with customers and vendors is addressed in a requisition submitted to CGD. The estimated date of completion is the second quarter of FY 2021.</p>
Library Services (LS)				
eDeposit and eCollections Strategy	2014-PA-101 April 2015	LS	1	To become more cost efficient and to ensure that eCollection activities are meeting the Library's strategic business objectives, the Library needs an overarching, transformative eCollections Strategy for collecting electronic works that does the following: groups programs, projects, and other IT work together to facilitate effective portfolio management of activities related to collecting electronic works, including born-digital works; identifies the Library's organizational priorities related to these programs and projects and other IT work, makes investment decisions, and allocates resources accordingly; and focuses on meeting common requirements that span across the Library's service units—The Library initiated a comparison of directional plans with standard project management guidance; however, this work was not completed because of other priorities related to COVID-19. The estimated date of completion is the third quarter of FY 2020.
eDeposit and eCollections Strategy	2014-PA-101 April 2015	LS	4	The Librarian should take the following steps to implement better governance and accountability in order to ensure timely implementation of the Librarian's vision to acquire digital works: create a mechanism for the Librarian and the Librarian's immediate leadership team to receive executive-level reports on a regular basis on eCollection activities, mandate their review, and take timely action as necessary to ensure that such activities stay in-line with the Librarian's vision and with senior leadership's cost, schedule, and performance expectations; provide greater clarity on the role of the Executive Committee (EC) in monitoring and overseeing cross-cutting IT programs; and ensure the EC's consistent involvement, support, and oversight of the eDeposit Program and the eSerials Project—See the status update provided above for recommendation 1 for report no. 2014-PA-101.

UNIMPLEMENTED RECOMMENDATIONS

TABLE 4A: SIGNIFICANT RECOMMENDATIONS FROM PREVIOUS SEMIANNUAL REPORTS FOR WHICH CORRECTIVE ACTION HAS NOT BEEN COMPLETED

SUBJECT	REPORT No. ISSUE DATE	OFFICE	REC. No.	SUMMARY AND STATUS OF RECOMMENDATION
eDeposit and eCollections Strategy	2014-PA-101 April 2015	LS	5	The Information Technology Steering Committee (ITSC) does not have the necessary data to align IT goals, objectives, and priorities with the strategic needs and plans of the Library. The Librarian should do the following to correct this: direct the Chief Financial Officer (CFO) to provide information on the full universe of IT investments budgeted in each fiscal year for eCollection activities to the ITSC on an ongoing basis, as well as provide actual year-to-year costs for budget versus actual comparisons; require ITSC to formulate approval and monitoring criteria that align with the Library’s organizational priorities as stated in an eCollections Strategy and associated enterprise architecture, as well as with common requirements spanning the Library’s service units for ingesting and protecting electronic works; and require the chair of the ITSC to report regularly to the Librarian, a designee, and/or the EC about ITSC decisions and oversight issues related to the schedule, cost, and performance of eCollection activities—See the status update provided for recommendation 1 for report no. 2014-PA-101.
eDeposit and eCollections Strategy	2014-PA-101 April 2015	LS	6	To improve the organizational and financial management of its eCollection activities, the Librarian needs to require that service units: adopt and implement Library-wide best practices for standardizing program and project management to increase the likelihood of delivering effective digital transformations on time and on budget; and collect, track, and use quantitative data demonstrating variances in project delivery and investment targets to inform management oversight and reporting, including budget, planning, and investment decision-making going forward. This information should be used as part of the Library’s performance management process—See the status update provided for recommendation 1 for report no. 2014-PA-101.
eDeposit and eCollections Strategy	2014-PA-101 April 2015	LS	7	For all IT investments, the Librarian should: (1) require service units and sponsors of significant IT investments (regardless of funding source) to complete a business case document that demonstrates how each IT project would meet organizational needs; outlines benefits, estimated costs, and risks, including the results of a cost-benefit analysis; and establishes a preliminary schedule for implementation; (2) require the business case document to be submitted to the ITSC for review during an early phase of product development and require the business case to be periodically reviewed and verified by ITSC with respect to the business need(s) being supported; (3) direct the CFO to develop the capability to fully project, capture, and track the actual costs of IT-related activities, including payroll costs; and (4) require the Strategic Planning Office or another unit to develop the capability for the Librarian and her immediate leadership team to monitor significant IT investments across the Library’s various planning, budgeting, program/project management, and financial accounting systems to reveal inefficiencies and ineffectiveness in order to address problems in a timely manner—The Library informed OIG that only item (3) remains outstanding. After completing a planned WebTA upgrade, the Library will implement a new module to track the payroll costs of IT projects. The estimated date of completion is fourth quarter of FY 2020.

UNIMPLEMENTED RECOMMENDATIONS

TABLE 4A: SIGNIFICANT RECOMMENDATIONS FROM PREVIOUS SEMIANNUAL REPORTS FOR WHICH CORRECTIVE ACTION HAS NOT BEEN COMPLETED

SUBJECT	REPORT No. ISSUE DATE	OFFICE	REC. No.	SUMMARY AND STATUS OF RECOMMENDATION
Library Services Needs to Strengthen Its Performance Measurement of the Collection Services Workflow	2018-SP-101 August 2019	LS	1	Develop and implement performance measures for the collection services workflow that measure desired outcomes aligned with the first goal of the Library's new strategic plan to expand user access and the goal's first objective to increase the discoverability and availability of collection materials, including measures for analog and electronic collection materials of cycle time and the age of LS's inventory of unprocessed materials—To address the recommendation, LS is in the process of hiring a staff person to initiate and complete a biennial report on the amount of time collections in the special formats arrearage have spent in arrears; it anticipates the new staff person to start by May 1, 2020. The estimated date of completion is the fourth quarter of FY 2023.
Library Services Needs to Strengthen Its Performance Measurement of the Collection Services Workflow	2018-SP-101 August 2019	LS	2	Utilize baseline and trend data in measuring progress in fulfillment of the first goal of the Library's new strategic plan to expand user access and the goal's first objective to increase the discoverability and availability of collection materials—LS's work will be iterative and dependent on interim and final results from 2018-SP-101 recommendation 3. LS will begin in FY 2020 by using historic arrearage data from the LC annual report to demonstrate reduction in the special formats arrearage. The service unit will develop or discover additional baseline or trend data as progress on recommendation 3 yields new opportunities and information. The estimated date of completion is the fourth quarter of FY 2023.
Library Services Needs to Strengthen Its Performance Measurement of the Collection Services Workflow	2018-SP-101 August 2019	LS	3	Broaden LS's capability to perform end-to-end monitoring of its collection services activities, such as by mapping business processes that are key to meeting user needs, as part of an effort to identify, analyze, and respond to risks related to achieving the Library's strategic goals and objectives. Mapping activities should include the use of IT systems and/or software programs—LS has begun testing a methodology for monitoring large-quantity item-level collection processing flows (i.e., books). A full test of this process is planned for the third quarter of FY 2020. A pilot for manuscript materials is planned for the fourth quarter. These pilots will inform and guide expansion of the monitoring in FY 2021. The estimated date of completion is an, as of yet, unspecified quarter in FY 2022.
Library Services Needs to Strengthen Its Performance Measurement of the Collection Services Workflow	2018-SP-101 August 2019	LS	4	Create a more precise definition of "arrears" that LS applies consistently across all of its areas to help ensure that it has a complete and accurate inventory of unprocessed <i>analog</i> collection materials—LS initiated efforts in the second quarter that were derailed by the COVID-19 situation; they will restart in the third quarter. The estimated date of completion is an, as of yet, unspecified quarter in FY 2021.
Library Services Needs to Strengthen Its Performance Measurement of the Collection Services Workflow	2018-SP-101 August 2019	LS	5	Create a complete and accurate inventory of unprocessed <i>electronic</i> collection materials—A Library Services team continues to develop a framework for a complete, accurate, and maintainable inventory of processed and unprocessed digital collection materials. Piloting of this inventory process is underway for eBooks, eSerials, and Web Archives. Development of a framework for digital special collection items is ongoing. The estimated date of completion is an, as of yet, unspecified quarter in FY 2022.

UNIMPLEMENTED RECOMMENDATIONS

TABLE 4A: SIGNIFICANT RECOMMENDATIONS FROM PREVIOUS SEMIANNUAL REPORTS FOR WHICH CORRECTIVE ACTION HAS NOT BEEN COMPLETED

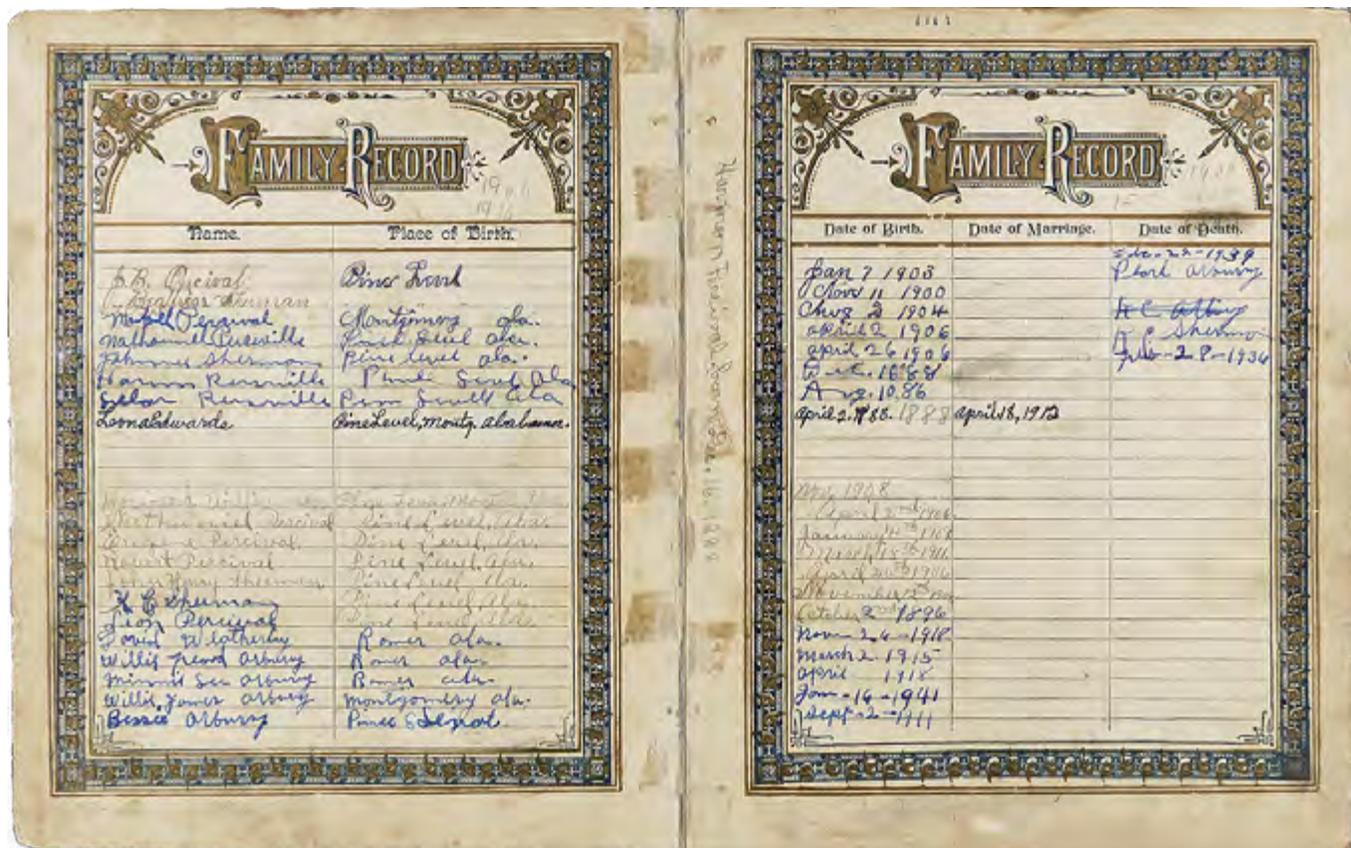
SUBJECT	REPORT No. ISSUE DATE	OFFICE	REC. No.	SUMMARY AND STATUS OF RECOMMENDATION
Library Services Needs to Strengthen Its Performance Measurement of the Collection Services Workflow	2018-SP-101 August 2019	LS	6	Use complete and accurate data to establish an outcome-oriented target for reducing the size of its inventory of unprocessed <i>analog</i> collection materials (e.g., using a ratio of unprocessed analog materials to analog collection materials overall) and use the target to measure performance—Once LS has addressed recommendation four and developed a measurable and maintainable definition of arrearage, LS will apply it consistently across all divisions and all analog formats held by the service unit. LS will use the data gained from this exercise to establish an outcome-oriented target for reducing the size of its inventory of unprocessed analog collection materials and use the target to measure performance. In FY 2020, LS will begin the effort by including an outcome oriented target for the special format arrearage as one of its FY 2020 performance measures. Also, LS will conduct a survey of divisions not participating in the special formats arrearage program to obtain numbers of work on hand items, and numbers of any other items that are not work on hand but not included in the current arrearage data. The estimated date of completion is an, as of yet, unspecified quarter in FY 2021.
Library Services Needs to Strengthen Its Performance Measurement of the Collection Services Workflow	2018-SP-101 August 2019	LS	7	Use complete and accurate data to establish plans to set an outcome-oriented target for reducing the size of its inventory of unprocessed <i>electronic</i> collection materials (e.g., using a ratio of unprocessed electronic materials to electronic collection materials overall)—Once LS has addressed recommendation five and created a complete, accurate, and maintainable inventory of unprocessed digital collection materials, it will use the data to establish plans to set an outcome-oriented target for reducing the size of that inventory of unprocessed digital collection materials. This effort will be helped by the reporting of eSerials and eNewspapers digital acquisitions received via USCO starting in FY 2021. Counting efforts will be expanded to all born digital formats in subsequent years. The estimated date of completion is an, as of yet, unspecified quarter in FY 2022.
Library Services Needs to Strengthen Its Performance Measurement of the Collection Services Workflow	2018-SP-101 August 2019	LS	8	Establish outcome-oriented measures focused on LS's performance related to meeting user needs associated with the collection services workflow—LS initiated efforts in the second quarter that were derailed by the COVID-19 situation; they will restart in the third quarter. LS will begin in FY 2020 by increasing the percentage of outcome and customer-need performance measures (as compared to FY 2019). LS will develop additional measures as progress on 2018-SP-101 recommendations 1, 2, and 3 yield new opportunities and information. The estimated date of completion is an, as of yet, unspecified quarter in FY 2023.
Library Services Needs to Strengthen Its Performance Measurement of the Collection Services Workflow	2018-SP-101 August 2019	LS	9	Adopt a cross-organizational approach to performance measurement related to the collection services workflow that facilitates collaboration across LS's organizational units—LS initiated efforts in the second quarter that were derailed by the COVID-19 situation; they will restart in the third quarter. LS reports that its work will be iterative and dependent on interim and final results from 2018-SP-101 recommendations 1, 2, and 3. While LS performance measures will continue to identify ownership/accountability for reaching performance goal targets at the Directorate level with the information obtained through recommendation 3, LS will seek opportunities to highlight critical paths, critical systems, dependencies and effects within and between its performance measures. The estimated date of completion is an, as of yet, unspecified quarter of FY 2023.

UNIMPLEMENTED RECOMMENDATIONS

TABLE 4A: SIGNIFICANT RECOMMENDATIONS FROM PREVIOUS SEMIANNUAL REPORTS FOR WHICH CORRECTIVE ACTION HAS NOT BEEN COMPLETED

SUBJECT	REPORT No. ISSUE DATE	OFFICE	REC. No.	SUMMARY AND STATUS OF RECOMMENDATION
Library Services Needs to Strengthen Its Performance Measurement of the Collection Services Workflow	2018-SP-101 August 2019	LS	10	Track the allocation of resources associated with outcome-based performance measures for all stages of the collection services workflow for analog and electronic collection materials—LS will begin to track the allocation of resources associated with outcome-based performance measures for all stages of the collection services workflow for analog and electronic collection materials. LS reports that this work will be iterative and is dependent on interim and final results from 2018-SP-101 recommendations 1, 2, 3, and 9. LS will begin in FY 2021 by linking budget and staffing requests to the LS Directional Plan (cross walking to the LC Strategic Plan). While this will not demonstrate allocation of resources associated with specific performance measures, it will connect resources with LS Directional/ LC Strategic Plan goals and objectives. LS will develop additional measures as progress on recommendations 1, 2, and 3 yield new opportunities and information. The estimated date of completion is an, as of yet, unspecified quarter of FY 2023.
Library Services Needs to Strengthen Its Performance Measurement of the Collection Services Workflow	2018-SP-101 August 2019	LS	11	Establish capacity utilization performance measures that track the effectiveness of its collection services workflow—LS reports that its work will be iterative and is dependent on interim and final results from 2018-SP-101 recommendations 1, 2, 3, 9 and 10. The estimated date of completion is an, as of yet, unspecified quarter of FY 2023.





THIS BIBLE REGISTER PARTIALLY CHARTS ROSA'S MATERNAL LINEAGE. ACCORDINGLY, HER GREAT GRANDFATHER JAMES PERCIVAL, AN INDENTURED SERVANT OF SCOT-IRISH DESCENT, MARRIED MARY JANE NOBLES, AN ENSLAVED AFRICAN. THEIR DAUGHTER ROSE MARRIED SYLVESTER EDWARDS, THE SON OF WHITE PLANTER JOHN EDWARDS AND HIS ENSLAVED HOUSEKEEPER AND SEAMSTRESS. THEY HAD THREE DAUGHTERS: FANNIE, BESSIE, AND LEONA EDWARDS, ROSA'S MOTHER.

ABOVE [TOP]: HOLY BIBLE, WITH A REGISTER OF FAMILY BIRTHS, MARRIAGES, AND DEATHS. C. H. ROBINSON AND CO., 1900. ROSA PARKS PAPERS. [LOWER]: ROSA PARKS EXHIBIT, THOMAS JEFFERSON BUILDING, LIBRARY OF CONGRESS. COURTESY OF THE LIBRARY OF CONGRESS, LIBRARY OF CONGRESS LIFE, FLICKER.COM. OPPOSITE [LEFT]: PORTRAIT OF ROSA PARKS' MOTHER, LEONA EDWARDS MCCAULEY (SEATED), WITH COUSIN, BEATRICE BROOKS; [MIDDLE]: JAMES MCCAULEY, ROSA PARKS' FATHER; AND [RIGHT]: SYLVESTER J. MCCAULEY, ROSA PARKS' BROTHER, IN HIS ARMY AIR CORPS UNIFORM. REPOSITORIES: MANUSCRIPT DIVISION AND PRINTS & PHOTOGRAPHS DIVISION, LIBRARY OF CONGRESS.

IMPLEMENTED AND CLOSED RECOMMENDATIONS⁵

TABLE 4B: SIGNIFICANT RECOMMENDATIONS FROM PREVIOUS SEMIANNUAL REPORTS WHICH WERE IMPLEMENTED OR CLOSED DURING THIS PERIOD

SUBJECT	REPORT No. ISSUE DATE	OFFICE	REC. No.	SUMMARY OF RECOMMENDATION AND ACTION
Office of the Librarian / Chief Operating Officer (COO)				
FEDLINK - Hotline Complaint Regarding the Timeliness of Processing Customer Orders	2018-SP-104 May 2019	COO	2	FEDLINK should draft a document to send to customers when they enter into a serial subscription contract; this document should include a notification that customers are responsible for alerting FEDLINK if they do not receive their serial subscriptions—Serials customers are now notified in writing that they are responsible for alerting FEDLINK if they do not receive their serial subscriptions. A Customer Responsibility statement regarding delivery is now posted to the FEDLINK website.
FEDLINK - Hotline Complaint Regarding the Timeliness of Processing Customer Orders	2018-SP-104 May 2019	COO	3	FEDLINK should increase customer awareness of FEDLINK's procurement action lead time in order to help ensure that customers understand the importance of submitting documentation and funding in a timely manner, as well as ensuring that customers are aware of the timeline for contract award—Information has been added to the FEDLINK website, interagency agreement documents, and newsletters.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	4	The Gift Shop should conduct reconciliations between key general ledger control accounts and related subsidiary accounts (e.g., inventory, accounts payable) on the F&A system when implemented—The Shop is reconciling key general ledger control accounts and providing reports through Microsoft Dynamics AX.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	5	The Gift Shop should establish Business Enterprises' F&A compliance with all Library policies and directives for financial reporting and internal control compliance—The Shop's Retail, Operations and Finance staff have completed all necessary FSD training for their roles and in compliance with policies and directives.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	6	In conjunction with recommendation one, the Gift Shop should design proper and comprehensive internal control processes, and completely document internal business processes, transaction workflows, and the system of internal controls for the Gift Shop—The Shop updated standard operating procedures to document internal controls and processes.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	7	The Gift Shop should establish a continuing dialog between the Financial Reporting Office and Business Enterprises' F&A to bolster knowledge of each other's financial reporting and operations, and to improve Business Enterprises' GAAP and internal control compliance—The Shop and FSD established required reporting for oversight. Reports are sent monthly. Periodic in person meetings occur, as needed.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	8	The Gift Shop should establish, document, and track agreed upon financial reporting ratios to enhance management analysis of the Gift Shop operating results and performance goals—The Shop worked with FSD and established acceptable key performance indicators and financial reports.

⁵ The status of recommendation updates are Library management's assertions and have not been audited.

IMPLEMENTED AND CLOSED RECOMMENDATIONS

TABLE 4B: SIGNIFICANT RECOMMENDATIONS FROM PREVIOUS SEMIANNUAL REPORTS WHICH WERE IMPLEMENTED OR CLOSED DURING THIS PERIOD

SUBJECT	REPORT NO. ISSUE DATE	OFFICE	REC. NO.	SUMMARY OF RECOMMENDATION AND ACTION
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	9	The Gift Shop should investigate and correct the condition causing the DAX system to malfunction where it changes SKU cost in the stock ledger as part of inventory count update—The Shop's system contractor identified, tested and validated root cause of costing errors prior to FY 2019 year-end physical inventory in September.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	10	The Gift Shop should determine an accurate source of accounts payable information, from either Momentum or DAX—The Shop worked with FSD and agreed upon acceptable accounts payable documentation. Reports are provided monthly.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	11	The Gift Shop should develop an accurate and reliable accounts payable aging report and monitoring process—The Shop worked with FSD and agreed upon acceptable accounts payable aging documentation. Reports are provided monthly.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	12	The Gift Shop should enhance standard operating procedure documentation to cover internal accounts payable business processes and the related system of internal controls—The Shop (and Finance staff) updated standard operating procedures for financial, in-store, procurement and receiving operations.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	14	The Gift Shop should prepare clearly written and disseminated inventory plans that include inventory cutoffs, use of floor plans, method of recording inventory counts, procedures for conducting verification counts, compilation and input of inventory counts, review of unusual inventory variances (over/under), test checking item number valuations, and final updating of inventory records—An annual plan includes monthly and category targets which are monitored monthly and reevaluated quarterly. Physical inventory procedures were documented as part of the standard operating procedures. Planogram software (Smart Draw) was procured in January 2020. Cycle counts are also conducted monthly.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	15	The Gift Shop should document in its Handbook the detailed instructions for approving the disposal of old age and damaged goods—The Shop's revised standard operating procedures include procedures for managing damages and old age inventory.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	16	The Gift Shop should document in the Handbook the (a) authorized individuals who can make inventory adjustments; (b) authorized periods for making adjustments; (c) officials responsible for verifying validity and accuracy of adjustments; and (d) required documentation retained to support the adjustments—The Shop's revised standard operating procedures document inventory and cycle count procedures.



ON DECEMBER 1, 1955, ROSA PARKS WAS ARRESTED WHEN SHE REFUSED TO SURRENDER HER SEAT ON A MONTGOMERY, ALABAMA, BUS TO A WHITE PASSENGER. THE ARREST LED TO THE MONTGOMERY BUS BOYCOTT, A SEMINAL EVENT IN THE U.S. CIVIL RIGHTS MOVEMENT AND A DEFINING MOMENT IN PARKS' LONG CAREER AS AN ACTIVIST. ROSA DID NOT WIN HER CASE, WHICH WENT TO TRIAL IN THE RECORDER'S COURT OF THE CITY OF MONTGOMERY ON DECEMBER 15.

No. 41464 The City of Montgomery Recorder's Court

Rosa Parks Dec 5 1955

The defendant appeared in open court in her proper person; the case was heard, and the defendant was found guilty and fined 10.00 dollars and cost, and in default of payment of the fine and costs was sentenced to hard labor for the City 14 days

Appealed

I CERTIFY, That the foregoing is a correct transcript from the docket of the case in the RECORDER'S COURT, which with the original papers in case, I herewith transmit to your Honorable Court.

Judge Frank M. Johnson Clerk of Recorder's Court



PARK'S COURT RECORD SHOWS THAT FOUR DAYS AFTER HER ARREST, SHE APPEARED BEFORE A JUDGE WHO FOUND HER GUILTY OF VIOLATING CITY LAW AND FINED HER TEN DOLLARS. THAT MORNING, THE BOYCOTT HAD BEGUN, AND PARKS WAS GRATIFIED AND THRILLED TO SEE THE BUSES PASSING BY WITH VIRTUALLY NO PASSENGERS.

E.D. NIXON, PRESIDENT OF THE LOCAL NAACP CHAPTER, ESCORTS PARKS INTO THE COURTHOUSE IN MONTGOMERY ON MARCH 19, 1956, FOR MARTIN LUTHER KING JR.'S TRIAL AFTER A GRAND JURY INDICTED HER, KING, AND MANY OTHERS FOR INTERFERING WITH BUSINESS OR, AS PARKS DESCRIBED IT, FOR HAVING "PUT THE BUS COMPANY OUT OF BUSINESS FOR QUITE A WHILE."

ABOVE [CLOCKWISE FROM TOP LEFT]: ROSA PARKS BEING FINGERPRINTED BY DEPUTY SHERIFF D.H. LACKEY AFTER BEING ARRESTED FOR BOYCOTTING PUBLIC TRANSPORTATION, MONTGOMERY, ALABAMA, FEBRUARY, 1956; E. D. NIXON ESCORTING ROSA PARKS TO THE COURTHOUSE IN MONTGOMERY, ALABAMA, WHERE SHE WAS TRIED FOR HER ROLE IN THE BUS BOYCOTT. ALABAMA MONTGOMERY, 1956; TRANSCRIPT OF ROSA PARKS' TRIAL, DECEMBER 5, 1956, FRANK JOHNSON PAPERS; PARKS, ROSA. ROSA PARKS PAPERS: EVENTS, 2005.

REPOSITORIES: MANUSCRIPT DIVISION AND PRINTS & PHOTOGRAPHS DIVISION, LIBRARY OF CONGRESS.

MARTIN LUTHER KING JR., PRESIDENT OF THE MONTGOMERY IMPROVEMENT ASSOCIATION, AND RALPH ABERNATHY RIDE THE FIRST DESEGREGATED BUS IN MONTGOMERY ON DECEMBER 21, 1956, AFTER THE 381-DAY BOYCOTT. PARKS WAS TAKING CARE OF HER ILL MOTHER THAT MORNING AND MISSED THE INAUGURAL RIDE OF A NEW ERA.



FIRST TIME IN BALTIMORE!

HEAR!— MRS. ROSA PARKS

Whose arrest, because she refused to be segregated, led to the Bus Boycott in Montgomery, Alabama.



BALTIMORE BRANCH N.A.A.C.P.
KICK-OFF MASS MEETING

SUNDAY, SEPTEMBER 23, 1956 - 3 P.M.

SHARP STREET METHODIST CHURCH
Dolphin and Etting Streets
Music by Famous **BALTIMORE CHORALE**
under direction of Gerald Burkes Wilson

RENEW YOUR MEMBERSHIP TODAY!
And Get One More!

Good Music Admission Free

Mrs. Lillie M. Jackson, President Dr. Charles Watts, Treasurer

For Collection Period Beginning Oct. 1, 1956 and Ending on Feb. 1, 1957

POLL TAX RECEIPT

COUNTY OF MONTGOMERY, ALA. 1-21-1957 No. 2425

RECEIVED OF Rosa Louise Parks Male Female Dollars (\$ 1.50)

For Poll Taxes at \$1.50 per year for the following years: 1936 1937 ()

District No. _____ Precinct No. This Year 27 Precinct No. Last Year _____ Color Red

Commissioned By John Dravis State Comptroller. Tax Collector

Rosa Park's poll tax receipt. She was finally registered to vote in 1945, at age thirty-two, on her third attempt, but was still required to pay eleven years' worth of poll taxes, retroactive to the voting age of twenty-one.

NAACP FLYER ADVERTISING A LECTURE BY ROSA PARKS, SEPTEMBER 23, 1956.

ABOVE [CLOCKWISE FROM TOP RIGHT]: WITHERS, ERNEST C, MARTIN LUTHER KING, JR., AND RALPH ABERNATHY RIDING ON THE FIRST DESEGREGATED BUS, MONTGOMERY, ALABAMA, 1956; PARKS, ROSA. ROSA PARKS PAPERS: MISCELLANY; RECEIPTS; POLL TAX, 1957; NAACP FLYER, SEPTEMBER 23, 1956. REPOSITORIES: MANUSCRIPT DIVISION AND PRINTS & PHOTOGRAPHS DIVISION, LIBRARY OF CONGRESS.

IMPLEMENTED AND CLOSED RECOMMENDATIONS

TABLE 4B: SIGNIFICANT RECOMMENDATIONS FROM PREVIOUS SEMIANNUAL REPORTS WHICH WERE IMPLEMENTED OR CLOSED DURING THIS PERIOD

SUBJECT	REPORT No. ISSUE DATE	OFFICE	REC. No.	SUMMARY OF RECOMMENDATION AND ACTION
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	17	Library and Gift Shop management should assess whether it needs to invest in a fully functioning point-of-sale financial reporting system, particularly considering the expansion of the new visitor experience that could drive greater traffic to the Gift Shop—The Shop conducted market research in summer 2019 and received over 10 vendor responses. CGD posted two requests for proposals to secure a fully functioning financial reporting system for all Business Enterprise businesses. Neither identified a viable contractor or solution. A statement of work has been sent to CGD for a new system and the solicitation is estimated for July 2020.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	19	The Gift Shop should determine whether DAX can be reprogrammed to provide separate reporting and identification of voids, price checks, even and uneven exchanges, and refunds—The current system contractor evaluated segregating these functions. The contractor reported that the system is not configured/designed in this manner. A statement of work has been sent to CGD for a new system and the solicitation is estimated for July 2020.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	20	The Gift Shop should determine whether DAX can be reprogrammed to sufficiently increase the transaction numbers available before reusing them at the point-of-sale, to allow for a proper audit trail—The current system contractor evaluated expanding the number range. It did not recommend doing so since unique transactions could be identified within a fiscal year based on the actual number transactions/year. A statement of work has been sent to CGD for new system and the solicitation is estimated for July 2020.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	21	The Gift Shop should eliminate segregation of duties issues regarding Gift Shop buyer involvement in the merchandise checking in process and the stockroom manager's involvement in performing the inventory interim spot-check process—The Shop addressed non-compliance of buying staff with this policy and strengthened inventory receiving procedures. The Shop Supervisor conducts weekly spot-checks of receipts, which is documented in the revised Handbook.
Comprehensive Redesign Needed of Gift Shop Financial Management and Accounting	2017-PA-102 April 2019	COO	22	The Gift Shop should document internal business processes, transaction workflows, and the related system of internal controls—The Shop's revised standard operating procedures document business processes, workflows and internal controls.

OPPOSITE [LEFT]: MASCHHOFF, J., ROSA PARKS SEATED IN THE FRONT OF A PUBLIC BUS, LIKELY A STAGED PHOTOGRAPH REPRESENTING THE END OF SEGREGATED BUSES AND HER ROLE IN THE MONTGOMERY BUS BOYCOTT FROM TO 1956, CA. 1956. [RIGHT]: PARKS, ROSA. ROSA PARKS PAPERS: WRITINGS, NOTES, AND STATEMENTS, 1956-1998; DRAFTS OF EARLY WRITINGS; ACCOUNTS OF HER ARREST AND THE SUBSEQUENT BOYCOTT, AS WELL AS GENERAL REFLECTIONS ON RACE RELATIONS IN THE SOUTH, 1956, UNDATED; FOLDER 2. -1998, 1956.

REPOSITORIES: PRINTS & PHOTOGRAPHS DIVISION AND MANUSCRIPT DIVISION, LIBRARY OF CONGRESS.

IMPLEMENTED AND CLOSED RECOMMENDATIONS

TABLE 4B: SIGNIFICANT RECOMMENDATIONS FROM PREVIOUS SEMI-ANNUAL REPORTS WHICH WERE IMPLEMENTED OR CLOSED DURING THIS PERIOD

SUBJECT	REPORT No. ISSUE DATE	OFFICE	REC. No.	SUMMARY OF RECOMMENDATION AND ACTION
Library Services (LS)				
Library Services Needs to Strengthen Its Performance Measurement of the Collection Services Workflow	2018-SP-101 August 2019	LS	12	<p>Establish a Library-level priority in LS's directional plan to measure the effectiveness of the collections storage workflow, as described in the second, third, and fourth findings of OIG's report, in fulfillment of the Library's user access goal (and others as appropriate) to include:</p> <ul style="list-style-type: none"> • Defining the overall initiative, and linking it to the Library's and Library Services' goals and objectives; • Articulating the desired longer term impact of the work; • Determining the time span of the initiative and its key components; • Setting output and outcome measures, and targets for those measures, to track progress of the work over time; and • Identifying ownership/accountability for reaching targets—LS established the initiative in its directional plan.



I had been pushed around for all my life ~~been~~ and felt at this moment that I couldn't take it anymore. When I asked the policeman why we ~~was~~ had to be pushed around? He said he didn't know. "The law is the law. You are under arrest." ~~And~~ I ~~swat~~ I didn't resist.

UNIMPLEMENTED FINANCIAL STATEMENTS RECOMMENDATIONS

The objective of the financial statements audit is to obtain reasonable assurance that the Library's financial statements are free of material misstatements. OIG summarized the Library's FY 2018 financial statements audit recommendations and the Library's corrective action plan in table 4C.⁶ Details on recommendations are provided for the auditor's publicly released reports, but not for management letter comments distributed internally to Library management. The implementation of recommendations will be evaluated during the Library's FY 2019 financial statements audit.

TABLE 4C: FY 2018 FINANCIAL STATEMENTS RECOMMENDATIONS MADE BY THE INDEPENDENT PUBLIC ACCOUNTANT

SUBJECT	REPORT NO. ISSUE DATE	REC. No.	SUMMARY OF RECOMMENDATION AND ACTION
Internal Control over Financial Reporting Reportable Findings			
Office of the Librarian / Financial Services Directorate			
Complex Financial Reporting Process	2018-FN-101 April 2019	2018-F06-1	Perform an analysis of current financial reporting processes to identify any complex processes that could be simplified or eliminated, to include an analysis on how transactions are reported in the financial reporting system—The Library completed a contract to review the chart of accounts in the Legislative Branch Financial Management System. The Library will issue a contract to revise internal and external financial reports; the contractor will provide an analysis of methods to simplify processes. The Library will also hire a contractor to analyze current financial reporting processes with an aim to streamline and gain efficiencies in accordance with Government best practices. Depending on resource reallocation, the target for awarding a contract is July 31, 2019, and completion by February 28, 2020.
Complex Financial Reporting Process	2018-FN-101 April 2019	2018-F06-2	Once financial reporting processes are reviewed and simplified, where possible, document all steps needed to report financial transactions in desk guides or procedures documents—The Financial Reports Office (FRO) documented procedures as needed. Workflows will be included in the contract mentioned for recommendation I.1 above.
Complex Financial Reporting Process	2018-FN-101 April 2019	2018-F06-3	Perform an assessment of who should be performing the processes, such that procedures are pushed down to the lowest level feasible to allow for a timely detailed review below the management level, where possible—FSD is contracting to perform an assessment of tasks to streamline processes and determine appropriate levels for review. A competency assessment is under way in FSD with results due by mid-summer. FRO continues to review processes and document standard operating procedures (SOPs) and desk guides. It is also identifying skill gaps and positions needed. Depending on resource reallocation, the estimated date of completion is the fourth quarter of FY 2019.
Complex Financial Reporting Process	2018-FN-101 April 2019	2018-F06-4	Develop and establish high-level analytical procedures at the supervisor and manager level to facilitate the identification and correction of errors in financial reporting processes—See the status of recommendation update provided for recommendation I.3. FRO is also researching tools needed to monitor operations on an ongoing basis.
Improper Reporting of Investments	2018-FN-101 April 2019	2018-F05-1	Establish and document procedures to record corrections, including prior-period corrections, in accordance with United States Standard General Ledger guidance. The Library should use these procedures to ensure that corrections posted to the financial management system are properly reflected on the Balance Sheets, Statement of Changes in Net Position, and Statement of Budgetary Resources, as well as that current period results are not impacted by prior-period adjustments—The Library will hire an outside accounting firm to review investment process and general ledger posting models for investment with recommendation for future state. The Library will also compare best practices with other federal agencies and consult with an investment manager on potential software tools available to streamline processes. The estimated date of completion is the fourth quarter of FY 2019.

⁶ OIG contracted with an independent certified public accounting firm to complete the Library's FY 2018 financial statements audit.

UNIMPLEMENTED FINANCIAL STATEMENTS RECOMMENDATIONS

TABLE 4C: FY 2018 FINANCIAL STATEMENTS RECOMMENDATIONS MADE BY THE INDEPENDENT PUBLIC ACCOUNTANT

SUBJECT	REPORT NO. ISSUE DATE	REC. No.	SUMMARY OF RECOMMENDATION AND ACTION
Improper Reporting of Investments	2018-FN-101 April 2019	2018-F05-2	Establish and document procedures to perform a quarterly reconciliation of non-Treasury investments, to include reconciling all investment, realized and unrealized gain and loss accounts. As part of the quarterly reconciliation, Library personnel should compare the market value of investment per statements received from the financial institutions with the investment balance recorded in the financial system. Any difference noted in the market value should be recorded as an adjustment to the balance of Market Adjustment-Investments account and the unrealized gain or loss accounts. The Library should also use this reconciliation to ensure the appropriate historical cost and current market value amounts are recorded in memo accounts used to create the investment footnote that accompanies the financial statements, as well as to ensure that current period realized and unrealized gains and losses are properly reported on the financial statements—See the status of recommendation update provided for recommendation I.5. The estimated date of completion is the second quarter of FY 2019.

He was a mad man. Furious
His fury was directed at himself
for being a financial failure.
Not having provided the material
comforts necessary for a well-
appointed home.

He was angry with the driver for
causing my arrest. He mentioned so
often the fact that Col people were
sitting on this same seat, the
next day and all the other days
where I was arrested for not
getting up. He was also very angry
with me for refusing to give up
the seat and at least getting off
the bus. So many times he said he
would have gotten off the bus. He
said I had a "goat head."

Finally he was angry with the
Negroes of the community for not taking
mass action earlier in the Claudette
Colvin case in particular. There were
many good opportunities for mass action
long before Dec 1, 1955.



SHORTLY AFTER HER ACT OF DEFIANCE, PARKS DESCRIBED AND SPECULATED ON THE EXPLOSIVE REACTION OF HER HUSBAND, RAYMOND, TO HER ARREST.

LEFT: PARKS, ROSA. ROSA PARKS PAPERS: WRITINGS, NOTES, AND STATEMENTS, 1956-1998; DRAFTS OF EARLY WRITINGS; ACCOUNTS OF HER ARREST AND THE SUBSEQUENT BOYCOTT, AS WELL AS GENERAL REFLECTIONS ON RACE RELATIONS IN THE SOUTH, 1956.

ABOVE: RAYMOND PARKS STANDING, SECOND FROM LEFT, WITH THREE MEN, IN FRONT OF THE ATLAS BARBER & BEAUTY SHOP, MONTGOMERY, ALABAMA, WHERE HE WORKED AS A BARBER, CA. 1955. REPOSITORIES: MANUSCRIPT DIVISION AND PRINTS & PHOTOGRAPHS DIVISION, LIBRARY OF CONGRESS.

UNIMPLEMENTED FINANCIAL STATEMENTS RECOMMENDATIONS

TABLE 4C: FY 2018 FINANCIAL STATEMENTS RECOMMENDATIONS MADE BY THE INDEPENDENT PUBLIC ACCOUNTANT

REC NO.	REPORT NO. ISSUE DATE	SUMMARY OF RECOMMENDATION AND ACTION
Management Letter Comments (Internal control deficiencies not required to be included in the audit report)		
Office of the Librarian / Financial Services Directorate		
1	2018-FN-101 May 2019	Not for public release.
2	2018-FN-101 May 2019	Not for public release.
7	2018-FN-101 May 2019	Not for public release.
8	2018-FN-101 May 2019	Not for public release.
Office of the Librarian / Office of the Chief Information Officer		
3	2018-FN-102 May 2019	Not for public release.
4	2018-FN-102 May 2019	Not for public release.
5	2018-FN-102 May 2019	Not for public release.
6	2018-FN-102 May 2019	Not for public release.
9	2018-FN-102 May 2019	Not for public release.
10	2018-FN-102 May 2019	Not for public release.
11	2018-FN-102 May 2019	Not for public release.
12	2018-FN-102 May 2019	Not for public release.
13	2018-FN-102 May 2019	Not for public release.
14	2018-FN-102 May 2019	Not for public release.
Financial Services Directorate / U.S. Copyright Office		
1	2018-FN-103 April 2019	Not for public release.
2.1-2.2	2018-FN-103 April 2019	Not for public release.
3.1-3.3	2018-FN-103 April 2019	Not for public release.
4	2018-FN-103 April 2019	Not for public release.
6.1-6.3	2018-FN-103 April 2019	Not for public release.

OPPOSITE [CLOCKWISE FROM TOP LEFT]: ROSA PARKS, *THE STRUGGLE CONTINUES*, N.D. AUTOGRAPH MANUSCRIPT; PARKS, ROSA. *ROSA PARKS PAPERS: SUBJECT FILE*; MONTGOMERY BUS BOYCOTT; MONTGOMERY FAIR DATE BOOK, USED AS A NOTEBOOK BY PARKS, 1955 to 1956. REPOSITORIES: MANUSCRIPT DIVISION AND PRINTS & PHOTOGRAPHS DIVISION, LIBRARY OF CONGRESS.



CLOCKWISE [FROM TOP LEFT]: AERIAL VIEW SHOWS THOUSANDS OF WALKERS CROSSING BRIDGE DURING THE MARCH FROM SELMA TO MONTGOMERY, ALABAMA IN MARCH OF 1965; A COPY OF AN *EBONY* MAGAZINE (MAY 1965) COVER SHOWING ROSA PARKS, RALPH ABERNATHY, MRS. JUANITA ABERNATHY, DR. RALPH BUNCHE, MARTIN LUTHER KING, JR., CORETTA SCOTT KING AND OTHERS DURING THE SELMA TO MONTGOMERY MARCH, ALABAMA, 1965; ROSA PARKS INTRODUCED NEW YORK REP. SHIRLEY CHISHOLM, THE FIRST BLACK WOMAN ELECTED TO CONGRESS. SHE SUPPORTED CHISHOLM'S LONG-SHOT CANDIDACY; AND IN 1964 ROSA PARKS VOLUNTEERED ON JOHN CONYERS' CAMPAIGN TO REPRESENT MICHIGAN'S FIRST ROSA CONGRESSIONAL DISTRICT. AFTER HE WON, HE HIRED ROSA IN MARCH 1965 TO WORK IN HIS DETROIT OFFICE AS A RECEPTIONIST AND ADMINISTRATIVE ASSISTANT. SHE RETIRED IN 1988.

REPORTING REQUIREMENTS

TABLE 5: REPORTING REQUIREMENTS UNDER THE INSPECTOR GENERAL ACT OF 1978, AS AMENDED,⁷ AND THE LIBRARY OF CONGRESS INSPECTOR GENERAL ACT OF 2005, AS AMENDED

IG ACT SECTION	OIG REPORTING REQUIREMENTS	PAGE NUMBER
4(a)(2)	Review of legislation and regulations.	11
5(a)(1)	Significant problems, abuses, and deficiencies.	7-16
5(a)(2)	Recommendations for corrective action.	17-32, 37-40
5(a)(3)	Significant outstanding recommendations.	17-32, 37-40
5(a)(4)	Matters referred to prosecutorial authorities.	12
5(a)(5)/6(c)(2)	Information or assistance unreasonably refused or not provided.	N/A
5(a)(6)	Listing of completed audit, inspection and evaluation, and investigative reports.	7-16
5(a)(7)	Summary of significant reports.	7-16
5(a)(8)	Statistical table pertaining to questioned costs for audits, inspections and evaluations, and investigations.	43
5(a)(9)	Statistical table pertaining to funds recommended to be put to better use for audits, inspections and evaluations, and investigations.	43
5(a)(10)	Prior OIG reports unresolved, uncommented upon, desired timetable for achieving a management decision.	N/A
5(a)(11)	Significant revised management decisions.	N/A
5(a)(12)	Management decision disagreements.	N/A
5(a)(14)	Information regarding peer reviews involving the OIG.	44
5(a)(15)	List of any outstanding recommendations from any peer review conducted by another OIG.	N/A
5(a)(16)	List of any peer reviews conducted by the IG of another OIG during reporting period.	44
5(a)(17)	Statistical tables pertaining to OIG investigations.	12
5(a)(18)	Description of the metrics for OIG investigative table.	12
5(a)(19)	Reports involving senior Government employees where allegations of misconduct were substantiated, including the facts and circumstances of the investigation and status and disposition of the matter.	N/A
5(a)(20)	Instance of whistle blower retaliation.	N/A
5(a)(21)	Attempted agency interference with OIG independence, including budget constraints designed to limit OIG capabilities; and incidents where agency has resisted, objected, or significantly delayed access to information.	N/A
5(a)(22)	Inspections, evaluations, audits, and investigations of senior Government employees undisclosed to the public.	N/A

OPPOSITE [FROM TOP LEFT]: SLEET, MONETA, JR, 50,000 MARCH ON MONTGOMERY. ALABAMA SELMA, 1965; AERIAL VIEW OF MARCHERS CROSSING BRIDGE DURING THE MARCH FROM SELMA TO MONTGOMERY, ALABAMA IN. ALABAMA SELMA, 1965; ROSA PARKS AND HONORABLE CONGRESSWOMAN SHIRLEY CHISHOLM, CA. 1968; ROSA PARKS AND U.S. CONGRESSMAN JOHN CONYERS, JR., PICKETING IN FRONT OF GENERAL MOTORS CORPORATE HEADQUARTERS, DETROIT MICHIGAN, 1986.
 REPOSITORIES: SERIAL AND GOVERNMENT PUBLICATIONS DIVISION, MANUSCRIPT DIVISION, AND PRINTS & PHOTOGRAPHS DIVISION, LIBRARY OF CONGRESS.

⁷ Section 5 (except (a)(13)) of the *Inspector General Act of 1978*, as amended, 5 U.S.C App, applies to the Library's OIG through the *Library of Congress Inspector General Act of 2005*, as amended, 2 U.S.C § 185. Section 5 states, "Each Inspector General shall, not later than April 30 and October 31 of each year, prepare semiannual reports summarizing the activities of the Office during the immediately preceding six-month periods ending March 31 and September 30."

TABLE 6: FYs 2002–PRESENT FUNDS QUESTIONED OR PUT TO BETTER USE⁸

FUNDS QUESTIONED AND PUT TO BETTER USE	FUNDS QUESTIONED AND PUT TO BETTER USE TO OIG DISCRETIONARY BUDGET
\$79,206,085	1.90:1

TABLE 7: FY 2019 AUDITS WITH RECOMMENDATIONS FOR BETTER USE OF FUNDS

REPORTING PERIOD	NUMBER OF AUDIT REPORTS	TOTAL FUNDS PUT TO BETTER USE
No management decision was made by the start of the period:	-	-
Issued during the period:	-	-
In need of management decision during the period:	-	-
Management decision made during the period:		
Value of recommendations agreed to by management:	-	-
Value of recommendations not agreed to by management:	-	-
No management decision made by the end of the period:		
Less than six months old:	-	-
More than six months old:	-	-

TABLE 8: FY 2019 AUDITS WITH QUESTIONED COSTS

REPORTING PERIOD	NUMBER OF AUDIT REPORTS	TOTAL QUESTIONED COSTS	UNSUPPORTED COSTS
No management decision made by the start of the period:	-	-	-
Issued during the period:	-	-	-
In need of management decision during the period:	-	-	-
Management decision made during the period:			
Value of recommendations agreed to by management:	-	-	-
Value of recommendations not agreed to by management:	-	-	-
No management decision made by the end of the period:			
Less than six months old:	-	-	-
More than six months old:	-	-	-



LEFT: CONGRESSIONAL GOLD MEDAL, 1999; ROSA PARKS PAPERS; PARKS, ROSA. ROSA PARKS PAPERS: MEDALS, 1999. OPPOSITE: PHOTO COURTESY OF THE ARCHITECT OF THE CAPITOL, AOC, [HTTPS://WWW.FLICKR.COM/PHOTOS/USCAPITOL/8509693081](https://www.flickr.com/photos/uscapitol/8509693081). REPOSITORIES: MANUSCRIPT DIVISION, LIBRARY OF CONGRESS AND ARCHITECT OF THE CAPITOL.

⁸Total budget minus (1) unreimbursed cost of mandatory financial statement audits, including the cost of OIG staff to oversee financial statement activity, and (2) any unobligated funds returned to the Library for resource reallocation.

PEER REVIEW REPORTING

OIG's audit division undergoes external peer reviews at least once every three years. OIG was most recently the subject of a Council of the Inspectors General on Integrity and Efficiency (CIGIE) peer review for compliance with audit standards by the Architect of the Capitol OIG for the period ending March 31, 2019. The Architect of the Capitol OIG concluded that OIG's system of quality control was suitably designed and complied with to provide reasonable assurance of conforming with applicable professional standards in all material respects. Accordingly, the Architect of the Capitol OIG provided a "pass" rating, and no recommendations were made; this is the highest available rating. There were no outstanding recommendations from a previous peer review.

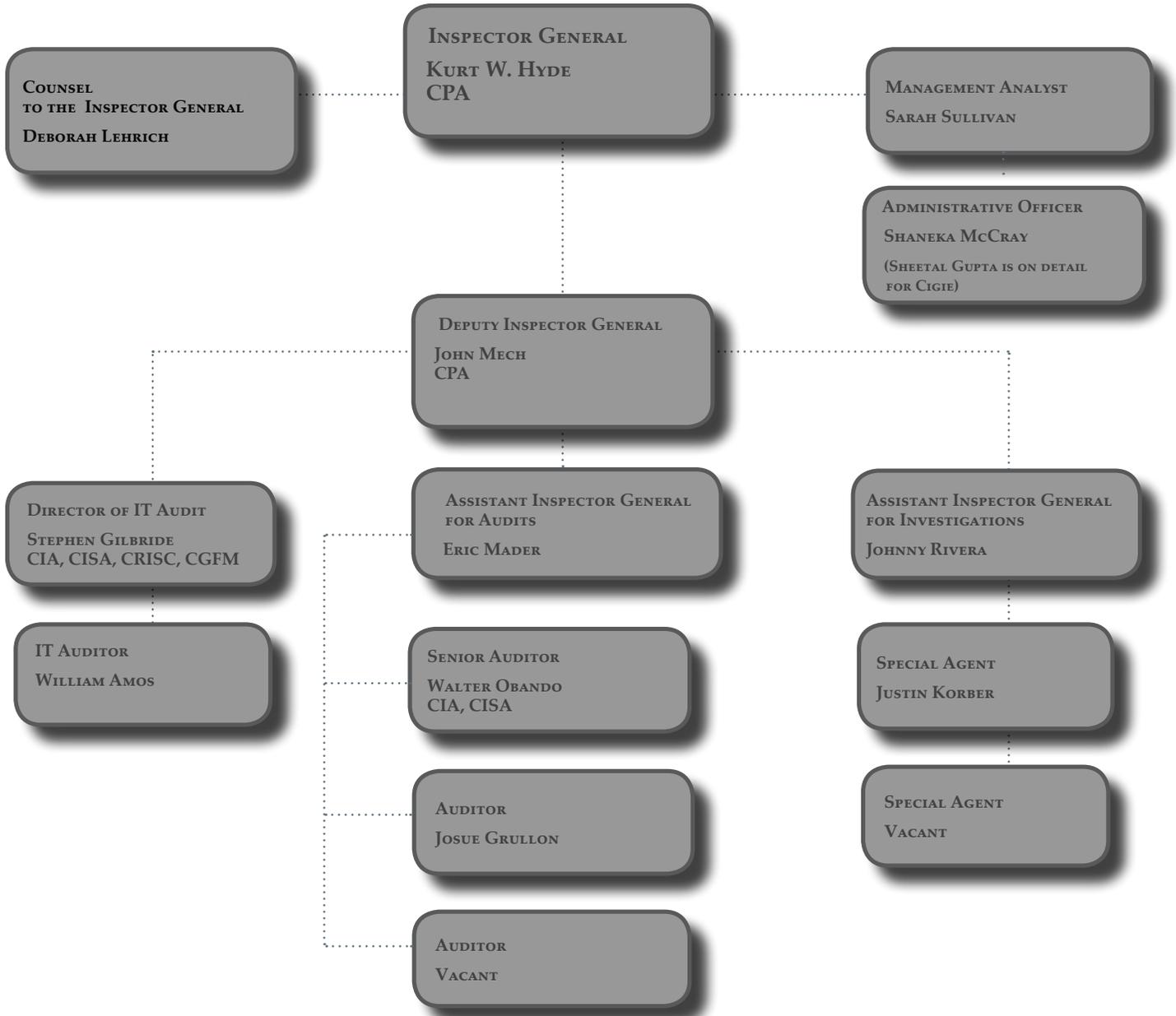
OIG was also the subject of its first CIGIE peer review for compliance with standards for inspections and evaluations by the Securities and Exchange Commission OIG; the review was for the period ending May 1, 2018. The team focused on OIG's policies and procedures. The review determined that OIG generally met the standards under review, and no recommendations were made.

OIG completed its most recent peer review of the Federal Housing Finance Agency OIG in September 2019 for the period ending March 31, 2019. OIG issued a "pass" rating, did not make any recommendations in the peer review, and does not have any outstanding recommendations from past peer reviews that we conducted of other audit organizations.



ON JUNE 16, 1999, IN THE ROTUNDA OF THE U.S. CAPITOL BEFORE THOUSAND SPECTATORS, PARKS RECEIVED THE CONGRESSIONAL GOLD MEDAL, THE HIGHEST CIVILIAN AWARD GRANTED BY CONGRESS. UPON HER DEATH ON OCTOBER 24, 2005, ROSA PARKS BECAME THE FIRST WOMAN AND THE SECOND AFRICAN-AMERICAN TO LIE IN HONOR IN THE ROTUNDA OF THE U.S. CAPITOL.

OFFICE OF THE INSPECTOR GENERAL – ORGANIZATIONAL CHART



OFFICE OF THE INSPECTOR GENERAL HOTLINE

HELP PROMOTE INTEGRITY, ECONOMY, AND EFFICIENCY

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