

Persons and Families (Chapters 9 and 10)

Prepared by Jessalyn Zoom, Library of Congress With assistance by Hideyuki Morimoto, Columbia University Libraries, Shi Deng, UC San Diego Library, and CJK NACO Project reviewers

September 2017

Reviewed and updated by Hideyuki Morimoto, September 2023

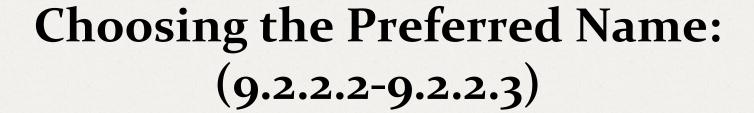


### ODiscuss:

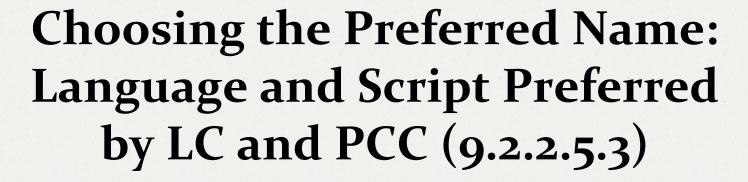
- OAuthority work in challenging areas
- O Issues pertaining to CJK language materials
- O Questions raised among the CJK NACO review group



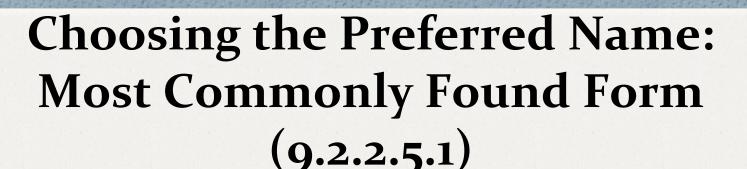
- O Scope of persons (and family names)
- O Choosing forms for preferred names
- O Different language forms
- O Names found in a non-preferred script
- Non-Latin Script Reference Guidelines and Recording Non-Latin Script
- O Recording Non-MARC-8 Character in Authority Record
- Undifferentiated personal names
- O Pseudonyms
- O AAP for a person and attributes of persons
- O Variant access points
- O Family names
- O Relationship designators between agents



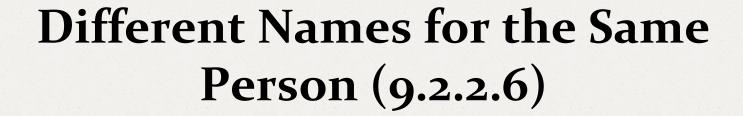
- OChoose a preferred name by which the person is commonly known from (in order of preference):
  - O the preferred sources of information in manifestations associated with the person;
  - O other formal statements appearing in manifestations associated with the person;
  - O or other sources including reference sources



- Authorized access points in authority and bibliographic records should be in the Latin script
- Record non-Latin forms as variant access points in authority records



- O Choose the most commonly found form as the preferred name; if no predominant form, choose the latest form as the preferred name. In case of doubt about which is the latest form, choose the fuller or fullest form; record the other forms as variant names – optional
- Note: person's preference trumps cataloging decisions



- O If a person is know by more than one name, choose the name by which the person is clearly most commonly known according to the following order of preference:
  - O The name that appears most frequently in manifestations associated with the person
  - O The name that appears most frequently in reference sources
  - O The latest name

Note: Instructions on a person who has changed his/her name, see 9.2.2.7; if a person has more than one identity, see 9.2.2.8

### **Choosing the Preferred Name Examples**

```
100 1 Zhang, Lihong, #d 1971-
      Lishu Xian (China) #2 naf #0 http://id.loc.gov/authorities/names/nr98016898
370
#1 http://id.loc.gov/rwo/agents/nr98016898
372
      Chinese literature #2 lcsh #0
http://id.loc.gov/authorities/subjects/sh85024323
      Jilin shi fan da xue #2 naf #0 http://id.loc.gov/authorities/names/n81082041
#1 http://id.loc.gov/rwo/agents/n81082041
      College teachers #2 lcsh #0 http://id.loc.gov/authorities/subjects/sh85028378
400 1 张丽红, #d 1971-
Non-Latin script reference not evaluated.
      Man zu shuo bu de Saman nü shen shen hua yan jiu, 2016: #b title page (张丽红
670
=Zhang Lihong) postscript (a teacher who's teaching of classical Chinese
literature; Jilin shi fan da xue )
      Bai du bai ke web site, viewed June 7, 2017 #b (born in 1971; native of Lishu
670
Xian) ‡u
http://baike.baidu.com/item/%E7%BA%A2%E5%B1%B1%E7%8E%89%E5%99%A8%E9%80%A0%E5%9E%8B%E
88898BA8E689C8AF8E789A8848E68968878E588C8968E98988908E988788A
```

100	1	Sun, Chun, †d 1956-
400	1	孙淳, ‡d 1956-
667		Non-Latin script reference not evaluated.
670		Ruan Lingyu, 2005: ‡b credits (孙淳 = Sun Chun; actor)
670		Wei ji bai ke WWW site, Nov. 9, 2009: ‡b Sun Chun page (孙淳 = Sun Chun; Chun Sun [in rom.]; b. July 4,
		1956 in Jinan, Shandong; grad. from Shanghai xi ju xue yuan, 1982; actor) ‡u http://zh.wikipedia.org/wiki/%
		E5%AD%99%E6%B7%B3

# Choosing the Most Commonly Found Form Example

```
046
      \pm f 1954 \pm 2 edt f
100 1 Ho, Khai Leong, #d 1954-
      Kuala Lumpur (Malaysia) #2 naf #0
370
http://id.loc.gov/authorities/names/n79011077 #1
http://id.loc.gov/rwo/agents/n79011077
      chi #0 http://id.loc.gov/vocabulary/languages/chi
377
400 1 Khai, Leong Ho, #d 1954-
400 1 Leong, Ho Khai, ‡d 1954-
400 1 He, Qiliang, #d 1954-
400 1 何启良, #d 1954-
667 Machine-derived non-Latin script reference project.
Non-Latin script reference not evaluated.
     Indigenizing the state, 1988: +b t.p. (Khai Leong Ho) vita (b. 1954)
670
670
      His The Malaysian Chinese guilds and associations ... 1992: +b t.p.
(Ho Khai Leong) t.p. verso (Dr. Ho Khai Leong; lecturer, Dept. of Political
Science, Natl. Univ. of Singapore)
      Malaixiya Hua ren li shi yu ren wu zheng zhi pian, 2003: #b t.p. (He
670
Qiliang) cover p. 4 (He Khai Leong)
       Zheng zhi dong yuan yu guan liao can yu, 1995: +b t.p. (何启良 = He
670
Qiliang) front flap (b. 1954, Kuala Lumpur, Malaysia; native of Guangdong,
China; Ph.D in politics, Ohio State Univ., 1988; prof. of National
University of Singapore)
      LC database, Nov. 4, 2011 +b (hdg.: Ho, Khai Leong, 1954-; He,
Qiliang, 1954-; 10 usages: Ho Khai Leong; 5 usages: He Qiliang)
```



```
100 1 Fan Jiang, Xinxi, #d 1934-
       Taoyuan County (Taiwan) #2 naf #0
370
http://id.loc.gov/authorities/names/n81053490 #1
http://id.loc.gov/rwo/agents/n81053490
      Folk music--Taiwan #2 lcsh

Puppet plays #2 lcsh #0 http://id.loc.gov/authorities/subjects/sh89001225
372
372
374
       Singers #2 lcsh #0 http://id.loc.gov/authorities/subjects/sh85122826
374
       Entertainers #2 lcsh #0 http://id.loc.gov/authorities/subjects/sh85044098
377
       chi =0 http://id.loc.gov/vocabulary/languages/chi
400 1 范姜新熹, +d 1934-
      Non-Latin script reference not evaluated
667
       Tao wei liu fang ba yin qing, 2012 +b t.p. (范姜新熹 = Fan Jiang Xinxi)
670
670
       Taiwan yin yue qun xiang zi liao ku WWW site, October 24, 2013 #b Fan Jiang
Xinxi page (范姜新熹 = Fan Jiang Xinxi; born 1934 in Taoyuan Xian, Taiwan; Taiwan
Hakka bayin singer and puppet theater performer) +u
http://musiciantw.ncfta.gov.tw/en/profile.aspx?id=M053
```

100	1	Fanjiang, Hongqin, ‡d 1949-
400	1	Fan Jiang, Hongqin, ‡d 1949-
400	1	范姜灴欽, ‡d 1949-
667		Machine-derived non-Latin script reference project.
667		Non-Latin script reference not evaluated.
670		Taiwan Kejia min jian chuan shuo zhi yan jiu, 2005: ‡b t.p. (Fanjiang Hongqin) back cover (native of Taoyuan Xian; b. 1949; MA, Dong Wu da xue Zhongguo wen xue yan jiu suo)

10



https://zh.wikipedia.org/wiki/%E8%8C%83%E5%A7%9C%E5%A7%93

范姜姓[编辑]

维基百科,自由的百科全书

跳转至: 导航、搜索

范姜姓是台灣獨有姓氏, 是范姓的分支。

https://zh.wikipedia.org/wiki/Category:%E9%9B%99%E5%A7%93%E5% 90%88%E4%B8%80

Category:雙姓合一

维基百科,自由的百科全书

跳转至: 导航、搜索

… > … > 系譜學 > 姓氏 > 依語言分類姓氏 > 漢字姓氏 > 雙姓合一此页面分类的主条目是雙姓合一。

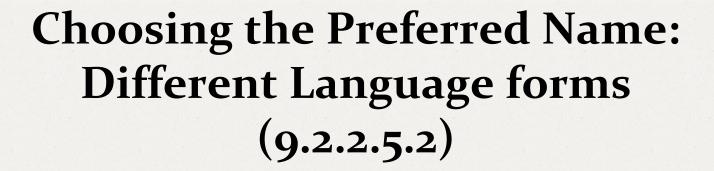
子分类

本分类有以下4个子分类, 共有4个子分类。

F▶ 范姜姓 (2个页面)



O Choose the latest name as a preferred name if a person has changed his or her name. Choose the earlier name if it is better known.



O Choose as a preferred name the form that corresponds to the language of most of the manifestations



```
100 1 Xu-Lackner, Yan
      +m xu@konfuzius-institut.de +v ChAT WWW site, May 15, 2013 +u
371
http://chat.dmc.dit.ie/projectpartner5.html
      Chinese language #2 lcsh #0
http://id.loc.gov/authorities/subjects/sh85024301
       Friedrich-Alexander-Universitä t Erlangen-Nü rnberg #2 naf #0
http://id.loc.gov/authorities/names/n80023633 #1
http://id.loc.gov/rwo/agents/n80023633
      College teachers #2 lcsh #0
374
http://id.loc.gov/authorities/subjects/sh85028378
377
      ger #0 http://id.loc.gov/vocabulary/languages/ger
      chi #0 http://id.loc.gov/vocabulary/languages/chi
377
400 1 Lackner, Yan Xu-
400 1 Xu, Yan +c (College teacher)
400 1 徐艷 +c (College teacher)
667
      Non-Latin script reference not evaluated
      Die Bü cher des letzten Kaiserreichs +b t.p. (Yan Xu-Lackner; 徐艷 = Xu
670
Yan)
670
      ChAT WWW site, May 15, 2013 +b project partners CI-GER page (Yan Xu-
Lackner; senior lecturer and coordinator for Chinese language teaching at the
University of Erlangen-Nuremberg (FAU); founder, HSK Centre at the FAU, 2003;
director, Confucius Institute at the FAU since 2006) #u
http://chat.dmc.dit.ie/projectpartner5.html
```



Lien, Matthew, ‡d 1965-
Maxiu Lianen, ‡d 1965-
馬修・連恩, ‡d 1965-
Non-Latin script reference not evaluated.
Orchid Island, p2010: ‡b label (Matthew Lien) Chinese insert (馬修・連恩 = Maxiu Lianen)
English insert (composer, arranger, album producer)
Wikipedia, May 6, 2011 ‡b Matthew Lien page (Matthew Carl Lien, b. May 10, 1965 in San Diego, California; Yukong, Canada based world music singer-songwriter and producer) ‡u <a href="http://en.wikipedia.org/wiki/Matthew_Lien">http://en.wikipedia.org/wiki/Matthew_Lien</a>
( )



## Chinese names containing a non-Chinese given name (9.2.2.4, F.3)

100	1	Ou, Dingping, ‡d 1954-
400	1	Qu, Dingping, ‡d 1954-
400	1	區丁平, ‡d 1954-
400	1	区丁平, ‡d 1954-
400	1	Au, Ting-Ping, ‡d 1954-
400	1	Au, Tony Ting-Ping, ‡d 1954-
667		Non-Latin script references not evaluated.
670		Hua cheng, 2009: ‡b credits (區丁平 = Ou Dingping = Qu Dingping; director)
670		Wikipedia, Jan. 4, 2010: ‡b Tony Au page (Tony Au; Tony Au Ting-Ping; 区丁平, 區丁平, variant
		pronunciations: Ou Dingping, Qu Dingping; b. 1954; director, artist) ‡u
		http://en.wikipedia.org/wiki/Tony_Au



- O If a name of person is found in a script that differs from a preferred script of the agency creating the data, transliterate the name according the ALA-LC Romanization Table
- O If more than one non-preferred script is found, transliterate it according to the scheme for the original language of most of the works
- O If a name of person is found only in a transliterated form in manifestations associated with the person, choose that form as a preferred name
- O If more than one transliterated form is found in manifestations associated with the person, choose the form that occurs most frequently



- O Record a transliterated form of the name in authorized access points (AAP) by following ALA-LC Romanization Tables. <a href="http://www.loc.gov/catdir/cpso/roman.htm">http://www.loc.gov/catdir/cpso/roman.htm</a>
- ClassWeb Plus--Cataloging documentation (via subscription)
- Non-Latin forms that appear on the source may be recorded as variant access points in authority records (4XX)

# One Transliterated Form (Non-Preferred Form) Found

```
100 1 Kim, Chi-ho, #d 1977-
370
      #c Korea (South) #2 naf #0
http://id.loc.gov/authorities/names/n79126802 #1
http://id.loc.gov/rwo/agents/n79126802
      Art, Asian #2 lcsh #0
372
http://id.loc.gov/authorities/subjects/sh85007549
      Waseda Daigaku. Aizu Yaichi Kinen Hakubutsukan +2 naf +0
373
http://id.loc.gov/authorities/names/no2001054503 #1
http://id.loc.gov/rwo/agents/no2001054503
      Museum curators \pm 2 lcsh \pm 0
374
http://id.loc.gov/authorities/subjects/sh85088712
      jpn #0 http://id.loc.gov/vocabulary/languages/jpn
377
400 1 +w nne +a Kimu, Jiho, +d 1977-
400 1 Kim, Jiho, #d 1977-
400 1 金 志虎, +d 1977-
400 1 김 지호, #d 1977-
Non-Latin script references not evaluated
      Taimadera no rekishi to shinkō , 2015: +b t.p. (金 志虎 = 김 지호 = Kim
670
Chi-ho [Kor reading]) colophon (Kimu Jiho; r; copr.: Kim Jiho [in rom.]; b.
1977, South Korea; doctor in literature, Waseda Daigaku, 2013; curator,
Waseda Daigaku Aizu Yaichi Kinen Hakubutsukan; spec., history of Asian art)
```

### Aboriginal Names, Chinese Minority Ethnic Names Examples

```
046
      #f 1950-01-19 #2 edtf
100 0 Sigingaowa, #d 1950-
400 0 斯琴高娃, #d 1950-
400 0 Цэцэнгуа, #d 1950-
400 0 T'S'et's'engua, #d 1950-
400 0 Сэцэн Гуа, +d 1950-
400 0 Sigin Gaowa, #d 1950-
400 0 Secengou-a, #d 1950-
400 0 Sėt´s`ėn Gua, ‡d 1950-
667
      Non-Latin script references not evaluated.
      Gui xin si jian [VR], 1999: +b credits (斯琴高娃 = Sigingaowa, cast)
670
670
      Wikipedia, March 24, 2014 +b (Sigin Gaowa; birth name T'S'et's'enqua,
also Secengou-a)
      Wei ji bai ke WWW site, Dec. 11, 2018 +b (斯琴高娃 = Sigingaowa;
670
Cyrillic: Сэцэн Гуа; born January 19, 1950, Zhongquo Guangdong Sheng
Guangzhou Shi; actress; Mongolian; native of Nei Menggu Zhaowuda Meng
Ningcheng; was naturalized as a Swiss citizen in 1986) +u
https://zh.wikipedia.org/wiki/%E6%96%AF%E7%90%B4%E9%AB%98%E5%A8%83
```

100	0	Basangduoji
400	0	巴桑多吉
667		Non-Latin script references not evaluated.
670		Han Zang fan yi jiao cheng, 2006: ‡b t.p. (巴桑多吉 = Basangduoji)

## Aboriginal Names, Chinese Minority Ethnic Names Examples

100	0	Dane: +d 1000	
100	0	Panai, ‡d 1969-	
400	0	Banai, ‡d 1969-	
400	1	Ke, Meidai, ‡d 1969-	
670		Her Ni wa wa [SR], p2000: ‡b disc label (Banai; Panai [in rom.]) insert (the romanized, aborigina Panai is used in the program notes written in Chinese; Ke Meidai, b. 1969 in Taiwan)	l form
675		Zhongguo yin yue zai xian (MTVTop.net); ‡a Chinese Wikipedia	
100	0	Banai Mulu	
400	0	巴奈・母路	
400	0	Panay Mulu	
400	0	Banai, Mulu	
400	1	Mulu, Banai	
667		Machine-derived non-Latin script reference project.	
667		Non-Latin script reference not evaluated.	
670		Ling lu shang de yin yue, 2004: ‡b t.p. (Banai Mulu)	
670		Yuan yin xi ling, 2002: ‡b t.p. (巴奈・母路 = Banai Mulu)	
670		Guo li dong hua da xue min zu wen hua xi WWW site, Jan. 11, 2012 ‡b Banai Mulu page (巴奈・母路 =	
		Banai Mulu; Panay Mulu [in rom.]; Ph.D. in music, Fujian shi fan da xue; faculty, Taiwan Dong hua da	21
		xue min zu wen hua xi) †u http://www.ci.ndhu.edu.tw/faculty/panayart/	



- O ALA-LC Romanization Table for Tibetan last revised in May 2015
- O Create NAR in Tibetan which the author primarily writes in (including NAR for works and expressions)
- O Add Chinese forms in 4xx fields
- O Many Tibetans have the same name. Thoroughly examine the NAR when the Chinese form found in 4xx of a NAR to ensure it is the same person



100	0	Zhaxiwangdu
400	0	扎西旺都
667		Non-Latin script reference not evaluated.
670		Xizang li shi dang an gong wen xuan, 2006: ‡b title page (扎西旺都 = Zhaxiwangdu)
100	0	Bkra-śis-dbań-'dus
400	0	Dbań-'dus
400	0	Cha-hsi-wang-tui
400	0	Wang-tui
400	0	Wangdui
400	0	Dar-mdo Bkra-śis-dbań-'dus
400	0	Bkra-śis-dbań-'dus, ‡c Dar-mdo
400	0	旺堆
667		Machine-derived non-Latin script reference project.
667		Non-Latin script reference not evaluated.
670		His Sum Rtags gsal 'grel blo gsar buń ba'i dga' tshal, 1979: ‡b t.p. (Bkra-śis-dbań-'dus) colophon (Cha-hsi-wang-tui)
670		His Bod Gańs can pa'i gso ba rig pa'i 1983: ‡b t.p. (Dbań-'dus) colophon (Wang-tui)
670	Ш	Bod kyi lo rgyus yig tshags dań gźuń yig phyogs bsdus 1989: ‡b t.p. (Bkra-śis-dbań-'dus) p. 1, 1st gr. (Dar-mdo Bkra-śis-dbań'dus)

### **Authors Who Write in Latin Script**

O Persons who bear names derived from a non-Latin script but who write in a Latin script language should not be treated under this instruction.

```
100 1 Yip, Wai-lim
       Guangdong Sheng (China) #2 naf #0 http://id.loc.gov/authorities/names/n81018335 #1
370
http://id.loc.gov/rwo/agents/n81018335
      Poets #2 lcsh #0 http://id.loc.gov/authorities/subjects/sh85103733
374
374
      Translators #2 lcsh #0 http://id.loc.gov/authorities/subjects/sh85136974
    Critics #2 lcsh #0 http://id.loc.gov/authorities/subjects/sh85034154
374
      chi = 0 http://id.loc.gov/vocabulary/languages/chi
377
400 1 Yeh, Wei-lien
400 1 Ye, Weilian
400 0 Yeweilian
400 1 葉維廉
400 1 叶维廉
      Machine-derived non-Latin script reference project.
667
667
      Non-Latin script references not evaluated.
      Non-Latin script references reviewed in NACO CJK Funnel References Project.
667
      Author's Ezra Pound's Cathay, 1969.
670
670
      Ye Weilian wen ji, 2002- : #b v. 1, t.p. (Ye Weilian; also Yeweilian) about author
(b. 1937, Guangdong Zhongshan ren; graduated from National Taiwan Univ.; M.A. from Iowa
Univ. in 1964 and Ph.D. from Princeton Univ. in 1967)
      Wikipedia WWW site, Feb. 14, 2011 +b (Wai-lim Yip, also known as Yeh Wei-lien (Wade-
Giles) and Ye Weilian (pinyin) (Chinese: 葉維廉); b. June 20, 1937; Chinese poet,
translator, critic, editor, and professor of Chinese and comparative literature; National
Taiwan University (BA, 1959); National Taiwan Normal University (MA, 1961); University of
Iowa (MFA, 1964); Princeton University (PhD in comparative literature, 1967))
```



- O For Chinese, Japanese, Korean, Cyrillic: if a person is likely to appear in general English-language reference sources, search Academic American Encyclopedia, The Encyclopedia Americana, and the New Encyclopaedia Britannica. If the name is found in all three sources in a single form, use that form.
- O If the form varies in these three sources, use the form found in New Encyclopaedia Britannica.

# Well-Established Form of Name in Reference Sources Example

```
100 1 Lee, Ang, #d 1954-
      Pingtung County (Taiwan) #2 naf #0
370
http://id.loc.gov/authorities/names/n82080416 #1
http://id.loc.gov/rwo/agents/n82080416
       #f New York (N.Y.) #2 naf #0
370
http://id.loc.gov/authorities/names/n79007751 #1
http://id.loc.gov/rwo/agents/n79007751
       eng #0 http://id.loc.gov/vocabulary/languages/eng
377
       chi #0 http://id.loc.gov/vocabulary/languages/chi
377
400 1 Li, An, #d 1954-
400 1 李安, #d 1954-
667
      Machine-derived non-Latin script reference project.
      Non-Latin script reference not evaluated.
667
       Hsi yen, 1993: #b t.p. (Li An) cover p. 4 (b. 1954 in P'ing-
670
tung; screenwriter and movie director)
       Two films by Ang Lee, 1994: +b CIP info. sheet (film director)
670
       Communication from British Library, 8 February 2001: +b (Ang
670
Lee lives outside New York City; has always worked in English, in the
U.S.)
670
       Encyclopedia Americana online website, viewed Sept. 7, 2017 +b
(Ang Lee, (born October 23, 1954, P'ing-tung county, Taiwan), Taiwan-
born film director who transitioned from directing Chinese films to
major English-language productions)
```

### Well-Established Form of Name in Reference Sources Example

- 100 1 Moon, Jae-In, #d 1953-
- Kŏ je-gun (Korea) +2 naf +0 http://id.loc.gov/authorities/names/n82153851 +1 http://id.loc.gov/rwo/agents/n82153851

- kor ±0 http://id.loc.gov/vocabulary/languages/kor
- 400 1 +w nne +a Mun, Chae-in, +d 1952-
- 400 1 문 재인, #d 1953-
- 400 1 文 在寅, #d 1953-
- Non-Latin script references not evaluated
- 670 Mun Chae-in ŭ i unmyŏ ng, 2011: +b t.p. (문 재인 = Mun Chae-in) front flap (b. 1952 in Kyŏ ngnam Kŏ je; grad., Kyŏ nghŭ i Taehakkyo Pŏ ptae; pyŏ nhosa; isajang, No Mu-hyŏ n Chaedan)
- 670 Mun Chae-in ŭ i sŏ jae, 2017: +b t.p. (문 재인 = Mun Chae-in; Moon Jae-in [in rom.])
- Britannica academic, May 22, 2017: +b List of presidents of South Korea (Moon Jae-In, 2017-)
- 670 Washington post, May 10, 2017 +b (Moon Jae-in is the 19th President of South Korea; in office, May 10, 2017-)
- New York times, May 9, 2017 +b (Moon Jae-in; b. Jan. 1953; human rights lawyer; elected president of South Korea, Tuesday [May 9, 2017]; to take office, Wednesday [May 10, 2017])



### O Guideline:

http://www.loc.gov/catdir/cpso/nonlatingeneral.html

- O Non-Latin scripts are allowed in 4XX and 670 fields Note: Although Non-Latin Script Data in Name Authority Records: Frequently Asked Questions stated "Non-Latin scripts ... in selected note fields—667 (Nonpublic general note), 670 (Source data found), and 675 (Source data not found)", Connexion Client allows non-Latin script references under neither field 667 nor 675 any longer.
- O OCLC's Pre-population Project
- Adding non-Latin script data is optional

### Non-Latin Script Reference Example

```
008 130716n■ azannaabn
                                 ■b aaa
100 0 Usugumo, +c Empress dowager of Japan (Fictitious character)
      #c Fictitious characters #2 lcsh #0
368
http://id.loc.gov/authorities/subjects/sh99004183
400 0 Fujitsubo, #c Empress, consort of Kiritsubo, Emperor of Japan (Fictitious
character)
400 0 Lady Fujitsubo ‡c (Fictitious character)
400 0 薄雲, +c Empress dowager of Japan (Fictitious character)
400 0 藤壺, +c Empress, consort of Kiritsubo, Emperor of Japan (Fictitious
character)
667
      Non-Latin script references not evaluated
      Genji monogatari ni okeru "Fujitsubo monogatari" no hyō qen to kaishaku,
670
2012: #b t.p. (藤壺 = Fujitsubo)
      Daijisen online, July 16, 2013 +b (藤壺 = Fujitsubo; r; fictitious character
670
in: Murasaki Shikibu, 978?- Genji monogatari)
      Wikipedia, July 16, 2013 +b (Lady Fujitsubo)
670
      Nihon kakū denshō jinmei jiten, Apr. 23, 2014 +b (藤壺中宮 = Fujitsubo no
670
Chū qū; r; fictitious character in: Murasaki Shikibu, 978?- Genji monogatari;
consort of Emperor Kiritsubo; after Emperor Kiritsubo's demise, called 薄雲女院 =
Usugumo no Nyoin)
```



- O Adhere to the PCC guideline on undifferentiated authority records
- O Stop coding oo8/32 Name using "b" as undifferentiated NAR
- O Remove all irrelevant and incorrect 4XX non-Latin script references
- O Update and convert the NAR to RDA with additional data elements if possible
- Same apply with explicitly coded undifferentiated authorities
- O Do not delete or change:
  - O 667 Machine-derived non-Latin script reference project.
  - O 667 Non-Latin script reference not evaluated.
  - O 667 Non-Latin script references not evaluated.



100	1	Li, Dianfu
400	1	Li, Tien-fu ‡w nne
400	1	Rī, Dienfū
400	1	Li, Dian Fu
400	1	Yi, Chŏn-bok
400	1	李殿富
400	1	李殿福
667		Machine-derived non-Latin script reference project.
667		Non-Latin script references not evaluated.
670		His Po-hai kuo, 1987: ‡b t.p. (Li Tien-fu) 李殿福
670		His Kōkuri, Bokkai no kōko to rekishi, 1991: ‡b t.p. (Li Tien-fu; Rī Dienfū [in kana]) colophon (copr.: Li Dian Fu; b. 1934; ch., Kitsurinshō Bunbutsu Kōko Kenkyūjo) 李殿福
670		Tung-pei k'ao ku yen chiu, 1994 (1995 printing) +b v. 2,t.p. (Li Tien-fu) vita (b. 4/8/1934) 李殿福
670		Chungguk nae ŭi Koguryŏ yujŏk, 1994: ‡b t.p. (Yi Chŏn-bok [in Kor. r.]) 李殿福 31

008 890301nc azannaabn ■b aaa 005 20210819064609.0 010 n 88238573 040 DLC +b eng +e rda +c DLC +d DLC +d DLC-R +d OCoLC +d ... 046  $\pm f 1934 - 04 - 08 \pm 2 \text{ edtf}$ 100 1 Li, Dianfu 400 1 +w nne +a Li, Tien-fu 400 1 Rī, Dienfū 400 1 Li, Dian Fu 400 1 Yi, Chŏ n-bok 400 1 李殿福 Machine-derived non-Latin script reference project. Non-Latin script reference not evaluated. 667 Non-Latin script references reviewed in NACO CJK Funnel References Project. 670 His Po-hai kuo, 1987: +b t.p. (Li Tien-fu) 670 His Kō kuri, Bokkai no kō ko to rekishi, 1991: +b t.p. (Li Tien-fu; Rī Dienfū [in kana]) colophon (copr.: Li Dian Fu; b. 1934; ch., Kitsurinshō Bunbutsu Kō ko Kenkyū jo) 670 Tung-pei k'ao ku yen chiu, 1994 (1995 printing) +b v. 2, t.p. (Li Tien-fu) vita (b. 4/8/1934) 670 Chungguk nae ŭ i Koguryŏ yujŏ k, 1994: +b t.p. (Yi Chŏ nbok [in Kor. r.])



- O Optionally recording non-Latin form of proper noun in the 670 field CJK NACO Best Practice Document <a href="https://www.loc.gov/aba/pcc/naco/CJK.html">https://www.loc.gov/aba/pcc/naco/CJK.html</a>
  - O Transliterate proper nouns including agent's affiliations, place names, etc. in 670\$b according to ALA-LC Romanization Tables (*DCM Z1*)
  - Optionally record in both original script and romanized form of a proper noun in the 670 field if considered important for identification or clarification
  - O Optionally record a proper noun in English form if the English form is found in national authority file or commonly identified (e.g. appear on its official website)

## 670 Example to Also Include Original Script of Proper Noun

670## Gaoxiong Shi ai yue wen hua yi shu ji ji hui website, Feb. 7, 2017: \$b Gaoxiong Shi jia xiang yue tuan page (高雄市交響樂團 = Gaoxiong Shi jiao xiang yue tuan; Kaohsiung Symphony Orchestra; KSO; founded in 1981 as 高雄市管絃樂團 = Gaoxiong Shi guan xian yue tuan [no access point found in OCLC]; changed name to 高雄市實驗交響樂團 = Gaoxiong Shi shi yan jiao xiang yue tuan in 1991; changed to current name in 2000; affliated with 財團法人高雄市愛樂文化藝術基金會 = Cai tuan fa ren Gaoxiong Shi ai yue wen hua yi shu ji jin hui [no access point found in OCLC] since 2009) \$u

http://kpcaf.khcc.gov.tw/index.php?temp=kso&lang=ch



670## Whoplus, Sept. 20, 2012 \$b (浅賀ふさ = Asaka Fusa, Asaga Fusa; r; b. Feb. 17, 1894, Handa-shi, Aichi-ken; d. Mar. 3, 1986; completed graduate program in education, **Harvard University**; medical case worker; spec., medical social welfare)

On reference source: 学歴 ハーバード大学大学院教育学部修了



- O Currently, authority records continue to be limited to the MARC-8 character set
- O The recommended practices are:
  - O When a valid alternative is specified in LC's CJK Compatibility Database

(https://www.loc.gov/ils/cjk\_search/cjk\_cpso.html), enter the alternative in the NAR

#### NAR:

400#1山崎庸男, \$d 1944-670## ... \$b title page (山崎庸男 = Yamazaki Nobuo; ...)

#### On source (title page): 山崎庸男 LC's CJK Compatibility Database

mi, Danasasi
Unknown
﨑
FA11
崎
5D0E
213C21



O When a valid alternative is specified in LC's CJK Compatibility Database (<a href="https://www.loc.gov/ils/cjk search/cjk cpso.html">https://www.loc.gov/ils/cjk search/cjk cpso.html</a>) presented as "=", it should be used instead for the character in the 4XX non-Latin reference. Optionally, add a 4XX non-Latin reference with bracketed Romanization for the non-MARC-8 character. In addition, enter the Romanization of the non-MARC-8 character within brackets based on ALA-LC Romanization Tables and its Unicode in 670. The Unicode of the character can be copied from the field "UTF-16 Variant" of the LC's CJK Compatibility Database search result page and entered as: U+[code of UTF-16 Variant].

#### NAR:

1001# Tsurumi, Masayoshi, \$d 1944-

4001# = 見誠良, \$d 1944-

670## Kin'yū no gurōbarizēshon, 1988: \$b v. 1, t.p. ([**Tsuru**]見誠良 =Tsurumi Masayoshi; [Unicode for the character [Tsuru] is U+974F]) colophon (r; prof., Hōsei Daigaku Keizai Gakubu)

Optional: 400 1# [Tsuru] 見誠良, \$d 1944-

On source: Author's name: 靍見誠良 "靍" is a non-MARC-8 character

#### LC's CJK Compatibility Table:

IME	Unknown
Invalid_Char	靍
UTF-16 Variant	974F
Romanization	
Hangul	
Valid Alternative	=
UTF 16	
EACC	
Memo:	



O When a valid alternative is not available in LC's CJK Compatibility Database, enter the transliteration of the non-MARC-8 character within brackets based on ALA-LC Romanization Tables, and optionally record the Unicode of the character in 670.

NAR: 1001# Shang, Zhitan

4001# 商志=

670## Xianggang kao gu lun ji, 2000: \$b t.p. (商志[tan] = Shang Zhitan; [Unicode for the character [tan] is U+2985D])

Optional: 400 1# 商志[tan]

Resource: Author's name: 商志醰. "醰"is a non-MARC-8 character



#### General

- O Do not use code "b" in an RDA name authority record; all personal name authority records coded RDA should be differentiated
- O Do not add a new identity to an existing personal name authority record coded oo8/32 "b"
- O Instead, apply RDA 9.19.1 to create a unique authorized access point for the person <a href="https://www.loc.gov/aba/publications/FreeDCM/DCM/Z01.pdf">https://www.loc.gov/aba/publications/FreeDCM/DCM/Z01.pdf</a>

# Undifferentiated Personal Name (DCM Z1)

#### Maintenance

When information is found to distinguish a person included in an existing undifferentiated NAR:

- O Create a new NAR for that person, add an indication that the person was formerly on an undifferentiated record (667 note)
- O Transfer information pertaining to that person from the undifferentiated NAR to the new NAR
- O If more than one identity remains in the undifferentiated NAR, and there is not sufficient info. in the NAR to create new NARs for each name, leave the NAR coded AACR2



In order to facilitate machine processing of authority records (e.g., matching, linking), when only one identity is left on an undifferentiated personal name authority record, take the following steps:



If a differentiated NAR has <u>not</u> been created for the last identity:

- O Add a 667 field to the undifferentiated NAR:
  - 667 ## \$a Last identity on undifferentiated record; reported for deletion.
- O Report the undifferentiated NAR for deletion to <a href="mailto:naco@loc.gov">naco@loc.gov</a>; LC will create a new replacement NAR, add a 667 note to the new NAR, and delete the old record



If a differentiated NAR <u>has</u> been created for the last identity:

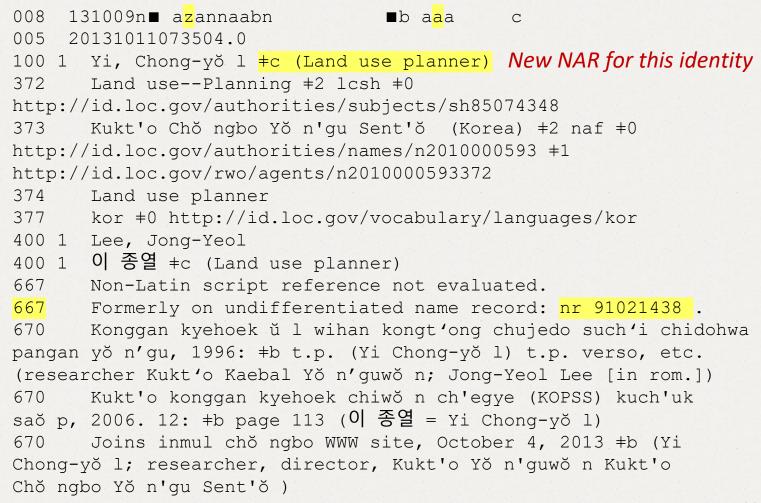
O Add that information in the 667 note on the undifferentiated record to assure that a duplicate NAR will not be created:

667 ## \$a Last identity on undifferentiated record; reported for deletion in favor of [LCCN of NAR].

O Report the undifferentiated NAR for deletion to <a href="maco@loc.gov">naco@loc.gov</a>; LC will delete the NAR and add the LCCN of the deleted NAR in field 010 subfield \$z of the newly created NAR

	<u>Na</u>	ame b <u>Rules</u> c
100 1	Yi, Chong-yŏl	T. 1.00 1314D
400 1	Lee, Jong-Yeol	Undifferentiated NAR
400 1	李鍾烈	
400 1	리종렬	
400 1	이종렬	
400 1	이종열	
667	Machine-derived non-Latin script reference project	THIS 1XX FIELD CANNOT BE USED UNDER RDA UNTIL THIS
667	Non-Latin script references not evaluated.	UNDIFFERENTIATED RECORD HAS BEEN HANDLED FOLLOWING THE GUIDELINES IN DCM Z1 008/32
670	[Author of Yŏksa kŭrigo chongmal]	
670	His Yŏksa kŭrigo chongmal, 1988 (1990 printing):	b v. 1, t.p. (Yi Chong-yŏl) colophon (grad., Ch'onghoe Sinhagwŏn)
670	[Added entry of Chŏngch'aekhak wŏllon]	
670	Pak, S.B. Chŏngch'aekhak wŏllon, 1993: ‡b t.p. (Y Taehagwŏn; asst. prof., Kyŏngbuk Sanŏp Taehakk	i Chong-yŏl) colophon (gard., Sŏul Taehakkyo Haengjŏng Taehagwŏn; chŏ yo Haengjŏng Hakkwa)
<mark>670</mark>	[Joint author of Konggan kyehoek ŭl wihan kongt'o	ng chujedo such'i chidohwa pangan yŏn'gu]
<mark>670</mark>	Konggan kyehoek ŭl wihan kongt'ong chujedo sucl Yeol Lee [in rom.])	nʻi chidohwa pangan yŏn'gu, 1996: ‡b t.p. (Yi Chong-yŏl) t.p. verso, etc. (res
670	[Author of Piyu wa inji]	
670	Piyu wa inji, 2003: ‡b t.p. (Yi Chong-yŏl) front flap (	b. Kyŏngnam Kŏchʻang; kugŏhak paksa, Kyŏngbuktae Taeahgwŏn; lectuer
675	Pukhan inmyŏng sajŏn; ‡a Han'guk inmyŏng, 1986 ‡a Internet, URL:db.Chosun.com, March 17,1004;	s; ‡a Insarok, 1975; ‡a Han'gukhak inmyŏng, 1983; ‡a Kidokkyo saæ sajŏn; ‡a Kuk, Kongnip Taehak Kyowŏn myŏngbu, 1996.

100	1	Yi, Chong-yŏl	Name	b	This NAR remains					
400	1	李 鍾烈			coded as AACR2 "c"					
400	1	리 종렬	Rules	С	coucu us michz					
400	1	이 종렬								
400	1	이 종열								
667		THIS 1XX FIELD CANNO UNDIFFERENTIATED R GUIDELINES IN DCM Z	RECORD HAS							
667		Machine-derived non-Latin script reference project.								
667		Non-Latin script references not evaluated.								
670		[Author of Yŏksa kŭrigo chongmal]								
670		His Yŏksa kŭrigo chongr (grad., Ch'onghoe Sinhag	•	) printing): ‡b v.	1, t.p. (Yi Chong-yŏl) colophon					
670		[Author of Piyu wa inji]								
670		Piyu wa inji, 2003: ‡b t.p. paksa, Kyŏngbuktae Tae			ŏngnam Kŏchʻang; kugŏhak e)					
675		inmyŏng, 1983; ‡a Kidok	kyo sae sajŏn;	‡a Taehak yg.,	nsarok, 1975; ‡a Han'gukhak 1989, 1991, 1998-1999; ‡a Kongnip Taehak Kyowŏn					



R	<u>8N</u> 55	560	32							
<u>Type</u> z			z	Upd status	a	Enc Ivl	n	Source		
	Romai	1	•	Ref status	b	Mod rec		Name use	а	
	Govt a	<u>gn</u>	•	Auth status	a	Subj	a	Subj use	а	
	<u>Series</u>		n	<u>Auth/ref</u>	а	Geo subd	n	<u>Ser use</u>	b	
	<u>Ser nu</u>	<u>m</u>	n	<u>Name</u>	b	Subdiv tp	n	Rules	С	
				Undiffe	renti	ated NAR				
	010			nr2001031714						
	040		DLC-R +b eng +c DLC-R +d OCoLC +d DLC +d HkUST							
	100	1		Ding, Chen						
•	400	1		丁忱						
	400 1 丁琛 → Machine added variants from OCLC pre-						<b>-</b>			
	400	1		丁辰 popu			reo j	rom o ele pre		
	400	1		丁晨						
	667			Machine-derived no	n-Latin	script referenc	e pro	oject.		
	667			Non-Latin script refe	rences	not evaluated.				
•	[Author of Zhongguo chuan tong wen hua yao lue]									
•	670			Zhongguo chuan to	ng wen	hua yao lue, 1	993:	+b t.p. (丁忱 = Ding	Chen)	
•	670			[Editor of Qin li Zho	ngguo	gong chan dan	g de	90 nian,]		
	670			Qin li Zhongguo gor	ng char	n dang de 90 ni	an, 2	011: <b>‡</b> b t.p. (丁晨 =	Ding Chen)	

```
008
   140310n∎ a<mark>z</mark>annaabn
                                  ■b a<mark>a</mark>a c
010 no2014031465
046 \pm f 1939 \pm 2 \text{ edtf}
                                      New NAR for this identity
100 1 Ding, Chen, #d 1939-
400 1 丁忱, +d 1939-
Formerly on undifferentiated name record:
nr2001031714
Non-Latin script reference not evaluated.
270 Zhongquo chuan tong wen hua yao lü e, 1993: +b t.p.
(丁忱 = Ding Chen)
       Chang yu dian, 2012: +b t.p. (丁忱 = Ding Chen)
670
front flap (native of Hubei Hanyang; b. 1939; prof., Wuhan
da xue wen xue yuan; grad., Hua zhong shi fan xue yuan
Zhong wen xi, 1963; M.A., Wuhan da xue; Ph.D. in
literature, Wuhan da xue, 1984; authored: Zhongguo chuan
tong wen hua yao lü e, etc.)
```

```
008
   140310n∎ a<mark>z</mark>annaabn
                               ■b a<mark>a</mark>a
005 20140328205707.0
010
      no2014031466 \pm z nr2001031714
040 HkUST +b eng +e rda +c HkUST +d DLC
100 1 Ding, Chen
http://id.loc.gov/authorities/names/n79091151 #1
http://id.loc.gov/rwo/agents/n79091151
377 chi = 0
http://id.loc.gov/vocabulary/languages/chi
400 1 丁晨
Formerly on undifferentiated name record:
nr2001031714
Non-Latin script reference not evaluated.
Qin li Zhongguo gong chan dang de 90 nian,
2011: +b t.p. (丁晨 = Ding Chen)
```

<u>ARN</u>

5556032

Rec sta	at	С	Entered		20010807	Rep	laced	201403110737	28.0	1
Type		z	Upd status	а	Enc l	<u>vl</u>	n	Source		
Roman	1		Ref status	b	Mod	rec		Name use	a	
Govt a	gn		Auth status	а	<u>Subj</u>		a	Subj use	а	
Series		n	<u>Auth/ref</u>	а	Geo:	subd	n	<u>Ser use</u>	b	
Ser nu	m	n	<u>Name</u>	b	Subd	iv tp	n	Rules	С	
								Undiffe	ere	ntiated
010			nr2001031714					NAR, to	o b	e deleted
040			DLC-R +b eng +c DLC-R +d OCoLC +d DLC +d HkUST							
100	1		Ding, Chen							
400	1		T₩→Machine added variants from OCLC pre-							
400	1		T辰—population project.							
667			Last identity on undi no2014031466.	ffer	entiated reco	rd; re	ported	d for deletion in fav	or o	of
667			Machine-derived no	n-L	atin script ref	erenc	e proj	ject.		
667			Non-Latin script refe	rer	nces not evalu	uated				
			Qin li Zhongguo gong chan dang de 90 nian, 2011: +b t.p. (丁晨 = Ding Chen)							

Ser num	n <u>Name</u> b <u>Subdiv tp</u> n <u>Rules</u> c
010	n 89134375 Original undifferentiated NAR
040	DLC #b eng #c DLC #d DLC #d OCoLC #d DLC-R #d OCoLC #d DLC
100 1	Xu, Yixin
400 1	Hsü, I-hsin ‡w nne
400 1	徐一新
400 1	徐以新
400 1	許翼心
400 1	许翼心
400 1	須一心
667	THIS 1XX FIELD CANNOT BE USED UNDER RDA UNTIL THIS UNDIFFERENTIATED RECORD HAS BEEN HANDLED FOLLOWING THE GUIDELINES IN DCM Z1 008/32
667	Machine-derived non-Latin script reference project.
667	Non-Latin script references not evaluated.
670	[Author of Hei Shan-tien yü Pai Liu-hsing]
670	His Hei Shan-tien yü Pai Liu-hsing, 1987: ‡b t.p. (Hsü I-hsin)
670	[Contributor of Xianggang wen hua li shi ming ren zhuan lue]
670	Xianggang wen hua li shi ming ren zhuan lue, 1999- : ‡b v. 1, colophon (Xu Yixin)

```
800
    160315n∎ azannaabn
                                  ■b aaa
005 20160823101140.0
010 no2016034850
                                  New NAR for identity #1
046 \pm f 1937 \pm 2 \text{ edtf}
1001 Xu, Yixin, #d 1937-
4001 許翼心, #d 1937-
4001 许翼心, #d 1937-
667 Formerly on undifferentiated name record: n
89134375
667 Non-Latin script references not evaluated.
670 Xianggang wen hua li shi ming ren zhuan lü e, 1999-
: +b v. 1, colophon (許翼心 = Xu Yixin)
670 Xianggang wen xue de li shi guan cha, 2014: +b t.p.
(许翼心 = Xu Yixin) front flap (b. 1937, Guangdong
Shanwei; grad., Zhongshan da xue Zhong wen xi; taught at
Ji nan da xue Zhong wen xi since 1979; transferred to
Guangdong Sheng she hui ke xue yuan for establishing Wen
xue yan jiu suo in 1985; director and researcher, Gang
Tai yu hai wai Hua wen yan jiu shi; chief editor,
Xianggang wen hua li shi ming ren zhuan lü e, etc.)
```

```
008 160314n∎ a<mark>z</mark>annaabn
                                    ■b a<mark>a</mark>a
010 no2016034274
046 \pm f 1946 \pm 2 \text{ edtf}
                                   New NAR for identity #2
1001 Xu, Yixin, #d 1946-
4001 须一心, +d 1946-
4001 須一心, +d 1946-
667 Formerly on undifferentiated name record: n
89134375
667 Non-Latin script references not evaluated.
670 Hei Shandian yu Bai Liuxing, 1987: +b t.p. (
须一心 = Xu Yixin)
670 Bai du bai ke WWW site, Feb. 18, 2016: +b (
须一心 = Xu Yixin; pen name: 亦欣 = Yixin; male; b.
1946; native of Jiangsu Wuxi; grad., Nanjing da
xue Zhong wen xi, 1991; joined Zhongguo zuo jia
xie hui in 1992; authored: Hei Shandian yu Bai
Liuxing, etc.)
```

Ser num	n	<u>Name</u>	b	Subdiv tp	n	Rules	С
010	n 891343 DLC +b er		l DLC +d O	CoLC +d DL	C-R #	d OCoLC +d DLC	‡d
HkUST 100 1 400 1	Xu, Yixir +w nne +a	ı . Hsü , I-hs	in		,,,	erentiated NAR ed for deletion	
<mark>667</mark> in fav		tity on und 16034274 an			d; re	port for deleti	Lon
667		FIELD CANNO					TN
	008/32	THEORD INTE			WINO		TIV
667 667		lerived non- n script ref			-	project.	



- O Create a new NAR representing only one person
- O Add a 667 field to the new NAR as is done for persons formerly on undifferentiated name record
- O Transfer the 670 pertaining to that person from the "undifferentiated" NAR to the new NAR
- O In the "undifferentiated" NAR, do not change the oo8/10 value, nor to add or remove \$e "rda" in the o40 field
- O If the "undifferentiated" NAR still contains multiple identities:
  - O Add a 667 field to the undifferentiated NAR: 667 Record contains multiple identities; reported to LC for resolution, [date].
  - O Report the undifferentiated NAR for deletion to <a href="mailto:naco@loc.gov">naco@loc.gov</a>; LC will create new NARs for each remaining identity, delete the "undifferentiated" NAR and add the LCCN of the deleted NAR in 010 subfield \$z of the last newly created NAR.
- O If the "undifferentiated" NAR now contains only one identity:
  - O Add that information in the 667 note on the "undifferentiated" record: 667 Last identity on undifferentiated record; reported for deletion.
  - O Report the undifferentiated NAR for deletion to <a href="mailto:naco@loc.gov">naco@loc.gov</a>; LC will create new replacement NAR and delete the old NAR. In the new NAR, LC will add a 667 note and add the LCCN of the deleted NAR in 010 subfield \$z.

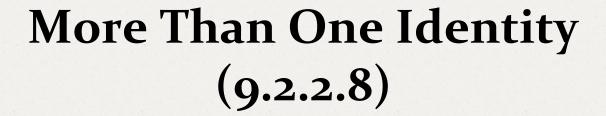
## Kabuki Actors Example for Discussion

<u>Ser num</u> n <u>Name</u> a <u>Subdiv tp</u> n <u>Rules</u> c

010		n 97861466
040		NN-PD +b eng +c NN-PD +d OCoLC
100	1	Ichikawa, Ebizo
400	1	Ebizo Ichikawa
667		Name of several Kabuki actors, of whom some of the most famous are:
667		Ebizo Ichikawa, 1688-1758. Son of Danjuro Ichikawa I. Early name Kuzo (Ichikawa?). Last stage name: Danjuro Ichikawa II.
667		Ebizo Ichikawa, 1791-1859. Debut 1794. Took name Ebizo 1832. Last stage name: Danjuro Ichikawa VII.
667		Ebizo Ichikawa IX, 1909-1965. Real name: Haruo Horikoshi. First son of Koshiro Matsumoto VII. Debut 1915 as Kintaro Matsumoto. Became Komazo Ichikawa in 1929 and Ebizo IX 1940. Danjuro XI since April 1962.
667		Enter here material on Ebizos unidentifiable by number or date. Establish separate heading for each numbered (or dated) Ebizo, e.g. ICHIKAWA, EBIZO, 1688-1758, with see alsos to real name if known and to all other known stage names.
667		Data contributed by the Dance Heritage Coalition for the New York Public Library Dance Collection.
670		*R-Oriental Japan biographical encyclopedia and who's who. 3rd ed, 1964-65.
670		Theatre Coll. Obituaries. Ichikawa, Danjuro
670		MWEL (Japan) Miyake. Kabuki. p 71.
670		MWEL (Japan) Scott. The Kabuki theatre of Japan. p 187.

#### **Kabuki Actors and Variant Names**

046 #f 1955-05-30 #g 2012-12-05 #2 edtf 400 1 Nakamura, Kankurō, +c V, +d 1955-2012 400 1 Namino, Noriaki, #d 1955-2012 400 1 +w nnea +a Nakamura, Kanzaburō , +d 1955-400 1 中村勘三郎, +c XVIII, +d 1955-2012 400 1 中村勘九郎, +c V, +d 1955-2012 400 1 波野哲明, +d 1955-2012 Machine-derived non-Latin script reference project. 667 667 Non-Latin script references not evaluated. 667 Non-Latin script references reviewed in NACO CJK Funnel References Project. 670 His Nakamura-ya sandai, yakusha no seishun, 1987: +b t.p. (Nakamura Kankurō ) colophon (r; real name: Namino Noriaki; b. Shō wa 30; kabuki actor) 670 Nakamura Kanzaburô XVIII Web site, Oct. 6, 2005 +b (Nakamura Kanzaburô XVIII, Nakamura Kankurô V; real name: Namino Noriaki; b. May 30, 1955; Apr. 1959: first appearance on stage receiving the name Nakamura Kankurô V; Mar. 2005: Nakamura Kankurô V takes the name of Nakamura Kanzaburô XVIII) New York times WWW site, Dec. 7, 2012 +b (in obituary published Dec. 670 6: Kanzaburo Nakamura XVIII; b. 1955; first performed under the name Kankuro; d. Wednesday [Dec. 5, 2012], Tokyo, aged 57; star of Japan's Kabuki theater who broke from tradition to bring that stylized art form to younger generations and audiences overseas)



- O If an individual has more than one identity, choose the name associated with each identity as the preferred name for that identity
- O Use subfield \$i and "r" in subfield \$w when recording pseudonymous relationships for personal names in cases where a person uses one real name and one pseudonym
- O In all other cases involving pseudonyms, continue to follow the guidance provided in the FAQ – LC/PCC practice for creating NARs for persons who use pseudonyms:

http://www.loc.gov/catdir/cpso/pseud.pdf

# One pseudonym, one real name

```
100 0 Songmu
400 0 松木
500 1 +w r +i Real identity: +a Cai,
Zhenxing #4
http://rdaregistry.info/Elements/a/P50429 +0
http://id.loc.gov/authorities/names/n85074063
#1 http://id.loc.gov/rwo/agents/n85074063
      100 1 Cai, Zhenxing
      400 1 蔡振兴
      400 1 蔡振興
      500 0 +w r +i Alternate identity: +a Songmu
      #4 http://rdaregistry.info/Elements/a/P50428
      #0
      http://id.loc.gov/authorities/names/no2020132
      131 \pm 1
      http://id.loc.gov/rwo/agents/no2020132131
                                                 59
```

### One pseudonym, one real name

```
100 1 Ren, Pingsheng, #d 1979-
       Tea--Therapeutic use #2 lcsh #0
372
http://id.loc.gov/authorities/subjects/sh2010115719
       chi = 0 http://id.loc.gov/vocabulary/languages/chi
377
400 1 任平生, #d 1979-
500 1 +w r +i Real identity: +a Kan, Wenwen, +d 1979- +4
http://rdaregistry.info/Elements/a/P50429 #0
http://id.loc.gov/authorities/names/no2014047744 +1
http://id.loc.gov/rwo/agents/no2014047744
    100 1 Kan, Wenwen, #d 1979-
           Chinese literature #2 lcsh #0
    372
    http://id.loc.gov/authorities/subjects/sh85024323
           Shandong nong ye da xue #2 naf #0
    373
    http://id.loc.gov/authorities/names/n88649843 #1
    http://id.loc.gov/rwo/agents/n88649843
    373
           Zhongquo zhi gong dang #2 naf #0
    http://id.loc.gov/authorities/names/n78032727 #1
    http://id.loc.gov/rwo/agents/n78032727
    374
           College teachers #2 lcsh #0
    http://id.loc.gov/authorities/subjects/sh85028378
    377
           chi #0 http://id.loc.gov/vocabulary/languages/chi
    400 1 阚文文, #d 1979-
    500 1 +w r +i Alternate identity: +a Ren, Pingsheng, +d 1979-
    #4 http://rdaregistry.info/Elements/a/P50428 #0
    http://id.loc.gov/authorities/names/no2014047752 #1
    http://id.loc.gov/rwo/agents/no2014047752
```

# More than two names – choose a "basic" heading

```
100 1 Hisao, Jū ran, +d 1902-1957
400 1 久生十蘭, +d 1902-1957
500 1 +w nnnc +a Abe, Masao, +d 1902-1957 +0
http://id.loc.gov/authorities/names/no2008136994 #1
http://id.loc.gov/rwo/agents/no2008136994Note the coding and field $663
500 1 +w nnnc +a Tanigawa, Hayashi, +d 1902-1957 +0
http://id.loc.gov/authorities/names/no2008137000 #1
http://id.loc.gov/rwo/agents/no2008137000
663
     For works of this author entered under other names, search also
under: +b Abe, Masao, 1902-1957 +b Tanigawa, Hayashi, 1902-1957
667
     Machine-derived non-Latin script reference project.
Non-Latin script reference not evaluated.
670 His Jū jigai, 1952: +b t.p. (Hisao Jū ran)
670
      Chosakuken daichō, 1985 +b (Hisao Jū ran; real name: Abe Masao; b.
4/6/Meiji 35; d. 10/6/Shō wa 32; novelist)
      Hisao Jū ran "Jū gun nikki," 2007: +b t.p. (久生十蘭 = Hisao Jū ran)
670
colophon, etc. (r; real name: 阿部正雄 = Abe Masao; first used pseud. Hisao
Jū ran in 1936)
      Wikipedia, Japanese, Sept. 18, 2008 +b (久生十蘭 = Hisao Jū ran; r;
real name initially used in separately published works: 阿部正雄 = Abe
Masao; also published under another pseud.: 谷川早 = Tanigawa Hayashi)
                                                                      61
```

# More than two names – related name #1

```
100 1 Abe, Masao, #d 1902-1957
400 1 阿部正雄, +d 1902-1957
500 \ 1 \ \pm w \ nnnc \pm a \ Hisao, Jū ran, \pm d \ 1902-1957 \pm 0
http://id.loc.gov/authorities/names/n88253273 #1
http://id.loc.gov/rwo/agents/n88253273
      Works by this author are entered under the name used in the item.
663
For a listing of other names used by this author, search also under: #b
Hisao, Jū ran, 1902-1957
Non-Latin script reference not evaluated
670 NDL database, Sept. 18, 2008 +b (hdg.: 阿部,正雄 (1902-1957) = Abe,
Masao (1902-1957); r; xx-ref.: 久生, 十蘭 (1902-1957) = Hisao, Jū ran (1902-
1957); r)
      Wikipedia, Japanese, Sept. 18, 2008 +b (久生十蘭 = Hisao Jū ran; r;
670
real name initially used in separately published works: 阿部正雄 = Abe
Masao; also published under another pseud.: 谷川早 = Taniqawa Havashi)
      Hisao Jū ran "Jū gun nikki," 2007: +b t.p. (久生十蘭 = Hisao Jū ran)
670
colophon, etc. (r; real name: 阿部正雄 = Abe Masao; first used pseud. Hisao
Jū ran in 1936; b. Apr. 6, 1902; d. Oct. 16, 1958)
```

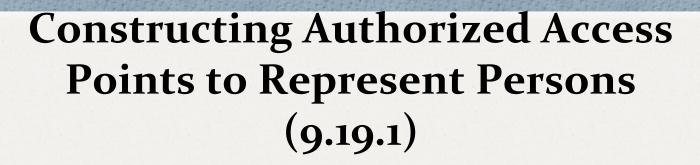
# Multiple Pseudonyms: Pseud. not Found on Published Works

Pseudonym FAQ: <a href="http://www.loc.gov/catdir/cpso/pseud.pdf">http://www.loc.gov/catdir/cpso/pseud.pdf</a> (A3)

O "RDA does not set any limits on how many names should be created for persons using pseudonyms. In a shared environment it can be assumed that all users of the database would benefit from authority work performed by others. This is especially true of public libraries which may have items under each name. However, given the reality of dwindling resources for creating NARs, catalogers may exercise judgment and limit the number of NARs created for authors with a large number of pseudonyms to just those pseudonyms for which there are works in the catalog and then document the decision in a 667 note. Once a decision is made to limit the number of NARs, add a 667 note listing all the names not established following the suggested text: Pseudonyms not found on published works: [list of identities not established]" 63

# When the Reading of Name unknown (Japanese)

- 100 1 Tenma, Masato, #d 1927-1994
- 400 1 天馬正人, +d 1927-1994
- 500 1 +w nnnc +a Takahashi, Eiichi, +d 1927-1994 +0 http://id.loc.gov/authorities/names/no2017066111 +1
  - http://id.loc.gov/rwo/agents/no2017066111
- Works by this author are identified by the name used in the item. For a listing of other names used by this author, search also under: #b Takahashi, Eiichi, 1927-1994
- Non-Latin script reference not evaluated.
- 670 Kagaku ninjatai Gatchaman II, 1978: +b unnumbered page 16 (天馬正人 = Tenma Masato; editor)
- 670 Web NDL authorities, searched May 9, 2017 +b (heading: 天馬正人, 1927- = Tenma Masato; variant (real name): 高橋英一 = Takahashi Eiichi; see also: ルーニア高橋 = Rū nia Takahashi) +u http://id.ndl.go.jp/auth/ndlna/00683076
- Wikipedia (Japanese), May 9, 2017 +b (たつみ勝丸 = Tatsumi Katsumaru; r.; b. 1927, Chiba, Japan; d. 1994; comics writer, screenwriter, television program writer; pseudonyms, 大田加英二 = Ō taka Eiji, 高橋 一夫 = Takahashi Kazuo, 天馬 正人 = Tenma Masato, 阿蘭 脱人 = Aran Tatsuhito [possible pronunciation]; variant pronunciations: Aran Tatsuto, Aran Tatsujin, Aran Datto; ルーニア高橋 = Rū nia Takahashi) +u
  - https://ja.wikipedia.org/wiki/%E3%81%9F%E3%81%A4%E3%81%BF%E5%8B%9D%E4%B8%B8

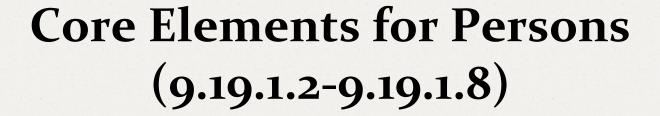


OUse a preferred name for a person as the basis for the AAP. Make additions to the name as instructed at 9-19.1.2-9.19.1.8, as applicable.



#### Always record if the information is known

- O Title of the person (a word or phrase indicative of royalty, nobility, ecclesiastical rank or office, a term of address for a person of religious vocation)
- O Date of birth
- O Date of death
- Other designation associated with the person
- O Profession or occupation\*
- O Identifier for a person



### Record if necessary to distinguish

- OTitle of the person (another term indicative of rank, honor, or office)
- OFuller form of name
- O Profession or occupation
- OPeriod of activity of the person



- O Use the 1<sup>st</sup> two digits of the hundred year span when recording a century in the 046 field (e.g., use "17" to represent the 18<sup>th</sup> century, 1700-1799)
- O An approximate century (e.g., active approximately 12<sup>th</sup> century) cannot be recorded in the 046 field

046 ## \$s 17 100 1# Wang, An, \$d active 18<sup>th</sup> century



- O When encoding date information, give the fullest information about the date that is readily available (the date in 046 may be more precise than a date used in the 100 subfield \$d).
- O When revising existing authority records, record dates in 046 even if the heading itself does not have dates in 100 subfield \$d, when the information is readily available.
- O When recording dates in field 046, use the Extended Date Time Format (EDTF) schema in all cases except for centuries; supply dates using the pattern yyyy, yyyy-mm, or yyyy-mm-dd. See date table in LC-PCC PS 9.3.1.3.
- O In some situations, resources consulted may present conflicting dates (e.g., conflicting birth or death dates for a person, or start or end dates for a corporate body). In these situations, the o46 field should reflect the cataloger's evaluation of the conflicting date information. It is not necessary to record all the conflicting dates using field o46, nor is it necessary to give a o46 field for each resource consulted. Evaluation of the conflicting dates should generally result in a single o46 field, containing the date(s) the cataloger judges to be most accurate in representing the entity. At the cataloger's discretion, the date(s) recorded in that o46 field may incorporate the conflicting date information and need not correspond exactly to the date(s) selected for the authorized access point.
- O Similarly, any dates added to the authorized access point should reflect the cataloger's evaluation of the conflicting date information, recorded in conformance with the instructions in RDA and the LC/PCC Policy Statements. If one date predominates in the resources consulted, that date may be considered the most appropriate to use in the authorized access point. If a single date does not predominate, the cataloger may decide to use the "approximately yyyy", "yyyy?", or "yyyy or yyyy" format in the authorized access point. In some cases, dates corresponding to the period of activity of the person (RDA 9.19.1.5) may be considered the best choice.



O Record in the 046 field the Gregorian date if the person's birth or death date is presented according to Lunar calendar 046## \$f 1904-11-16 \$g 1969-12-26 \$2 edtf 1001# Gao, Zhanfei, #d 1904-1969 670## Bai du bai ke website, April 8, 2016 \$b (高占非 = Gao Zhanfei; orig. name: 高执欧 = Gao Zhiou; born 10<sup>th</sup> day of the 10<sup>th</sup> lunar month, 1904 [Nov. 16, 1904] in Tianjin; died Dec. 26, 1969; actor)

Reference source: Bai du bai ke (百度百科): 高占非, 出生日期: 1904年阴历十月初十, 逝世日期: 1969年12月26日



046## \$g 1516-08-19 \$2 edtf 670 \_\_ Whoplus, Mar. 27, 2017 †b (...; <u>d. 11th day of the 7th lunar month</u>, 1516, [Julian calendar] Aug. 9, 1516 [Gregorian calendar, Aug. 19, 1516]) Reference source: Whoplus, Mar. 27, 2017:【没】永正13年7月11日(1516年8月9日)

O Note: When Julian date is the only available source and different from Gregorian date, it should be cited. In the example above, "(1516年8月9日)" in the Julian calendar appears in the reference source, and optionally recorded by the cataloguer when it is different from the Gregorian date "1516-08-19."

# o46, Special Coded Dates: Period of Activity

O Record period of activity of a person under field 046 \$s

```
046 \pm s 1730 \pm 2 edtf
100 1 Shen, Mengjian
370
      Deging Xian (China) #2 naf #0
http://id.loc.gov/authorities/names/nr94004890 #1
http://id.loc.gov/rwo/agents/nr94004890
400 1 Shen, Yanpu
400 1 Shen, Futing
400 1 沈孟堅
400 1 沈研圃
400 1 沈賦亭
Non-Latin script references not evaluated.
670 Yue lü biao wei, 1763: +b prefaces (沈孟堅 = Shen Mengjian;
[沈]研圃 = [Shen] Yanpu)
      Weiji bai ke, viewed April 25, 2017 +b (沈孟堅 = Shen
670
Mengjian; zi [courtesy name]: 賦亭 = Futing, 研圃 = Yanpu; native of
Zhejiang Deqing; [Qing] Yongzheng 8 nian [1730] jin shi; official
of Qing dynasty)
                                                               72
```



O How to record 046 if you have two different birth or death dates?

```
046    $f [1982, 1983] $2 edtf
100 1# $a Tanaka, Hanako, $d 1982 or 1983-
```

Multiple birth or death dates



O Conflicting dates: In some cases, 046 should reflect the cataloger's evaluation of the conflicting date information, not necessary to record all conflicting dates in 046, but in 670

046		#f 1887
100	1	Gu, Zhi, ‡d 1887-
400	1	Ku, Chih, ‡d 1887- ‡w nne
400	1	Gu, Shuanghua, ‡d 1887-
400	1	Gu, Cengbing, ‡d 1887-
400	1	Gu, Gongyu, ‡d 1887-
400	1	Ku, Shuang-hua, ‡d 1887-
400	1	Ku, Ts'eng-ping, ‡d 1887-
400	1	Ku, Kung-yü, ‡d 1887-
400	1	古直, ‡d 1887-
667		Machine-derived non-Latin script reference project.
667		Non-Latin script reference not evaluated.
670		His Ts'eng-ping t'ang wu chung, 1984: ‡b colophon (Ku Chih) p. 608, etc. (Shuang-hua; hsüeh ming Chih; Ts'eng-ping)
670		LC Manual auth. cd. ‡b (hdg.: Ku, Chih, 1887-; t. Kung-yü; h. Ts'eng-ping)
675		Chung-hua ta tz'u tien; ‡a Tz'u hai, 1979.

On the page 1 of preface of the book being cataloged, the author's birth and death dates are presented as: 古直(一八八五至一九五九). How should you handle the different date info.?

O cite conflicting dates in 670
O keep date

O keep date decided in 046



# o46, Special Coded Dates: Special Cases for Discussion (9.3.1.3, DCM Z1 046)

Uncertain date resulting from date calculations

046		#f [1981,1982] #2 edtf
100	1	Yang, Yang, ‡d 1981 or 1982-
400	1	Yang, Yang, ‡d 1981 or 2- ‡w nnea
400	1	杨洋, ‡d 1981 or 1982-
667		Non-Latin script reference not evaluated.
670		Dui hua Yang Yang, 2008: ‡b title (杨洋 = Yang Yang) her interview (19 years old and sophomore
		at Beijing da xue, 2001; organizer of three Beijing gay and lesbian film festivals between 2001 and
		2007)

Information from the resource: 19 years old in 2001.

# o46 Special Coded Dates : Special Cases for Discussion (9.3.1.3)

Can you record the information in 046 if you have a person who was born in the 1970s without a definite date?

-- 197X ‡2 edtf no longer code: 197u ‡2 edtf

# 368 Other Attributes of Person or Corporate Body Examples (9.19.1.8)

```
100 0# Huike, $c da shi, $d 487-573
368 ## $d da shi

100 1# #a Chu, Tony #c (Fictitious character)
368 ## #c Fictitious characters #2 lcsh #0
http://id.loc.gov/authorities/subjects/sh99004183
374 ## #a Detectives #2 lcsh#0
http://id.loc.gov/authorities/subjects/sh85037288
374 ## #a Psychics #2 lcsh#0
http://id.loc.gov/authorities/subjects/sh88004444

100 0# #a Kiritsubo, #c Emperor of Japan (Fictitious character)
368 ## #c Fictitious characters #2 lcsh #0
http://id.loc.gov/authorities/subjects/sh99004183
368 ## #d Emperor of Japan
```

## 370 Associated Place (9.8-11)

O Use the authorized access point form as found. The form may differ from the form of place name added to a preferred name of place or an access point. If no NAR exists in the LC/NACO Authority File for the jurisdiction, it is not necessary to create a NAR in order to code the 370 field

#### Form of name in NAF:

```
151 ## $a Jiangsu Sheng (China)
```

#### Form of name in 370:

```
370 ## +a Jiangsu Sheng (China) +2
naf +0
http://id.loc.gov/authorities/names/
n81022196 +1
http://id.loc.gov/rwo/agents/n810221
96
```

## 370 Associated Place (9.8-11)

O For non-jurisdictions prefer names from an authorized vocabulary such as LCSH; if not found in an authorized vocabulary, record it in 370 without giving \$2

```
Geographic name in LCSH:
151 ## $a Hisaka Island (Japan)
Form of name in 370:
370 ## ‡a Hisaka Island (Japan) ‡2 lcsh ‡0
http://id.loc.gov/authorities/subjects/sh2008002817
```

- O 370 use place name during the time the person was associated
- O 370 \$f for "XX 人," "原/祖籍 ..."

```
370 ## +a Singapore +2 naf +0
http://id.loc.gov/authorities/names/n79059023 +1
http://id.loc.gov/rwo/agents/n79059023
370 ## +f Chaozhou Shi (China) +2 naf +0
http://id.loc.gov/authorities/names/n88109985 +1
http://id.loc.gov/rwo/agents/n88109985
670 ## +a ... p. 4 of cover (b. 1942 in Singapore, native of Chaozhou ... )
```

79

#### 370 Associated Place (9.8-11)

- ORecording subfield \$c associated country to facilitate copyright review
- OOrganizations are using authority record data to help with copyright review, most notably, HathiTrust (<a href="https://www.hathitrust.org/copyright-review">https://www.hathitrust.org/copyright-review</a>)

#### 372 Field of Activity (9.15)

- O Prefer controlled vocabulary, such as LCSH or MeSH, record the source in subfield \$2
- O Capitalize the first term in each subfield \$a
- Overall best practice: Repeat the MARC field when needed for clarity

#### Geographic name in LCSH:

151 ## \$a Southeast Asia \$x Foreign relations \$z China Form in 372:

372 ## +a Southeast Asia--Foreign relations--China +2 lcsh +0 http://id.loc.gov/authorities/subjects/sh2008117040

#### Topical term in LCSH:

150 Korean language \$x Foreign words and phrases

#### Form in 372:

372 ## +a Korean language--Foreign words and phrases +2 lcsh +0 http://id.loc.gov/authorities/subjects/sh2009128079

## 374 Occupation

List of controlled vocabulary and source code list greatly expanded

- Occupation Term Source Codes https://www.loc.gov/standards/sourcelist/occupation.html

  - Dictionary of occupational titles \$2 dot
     LC Demographic Group Terms \$2 lcdgt
  - and more ...
- O Subject Source Codes https://www.loc.gov/standards/sourcelist/subject.html
  - O Hong Kong Chinese Authority File (Name) \$2 hkcan
  - O Kihon kenmei hyômokuhyô = [Japan Library Association] Basic subject headings **\$2 jlabsh**
  - and more ...

# What's More in 374 Occupation? (9.16.1.3)

#### August 2016 release of LC-PCC PS:

- O Use terms without indication of the person's <u>gender</u>, <u>nationality</u>, <u>religion</u>, etc., unless such characteristics are part of the definition of the term itself
- O Prefer gender-neutral terms to gender-specific terms when possible
- O Information not included as part of the profession or occupation term may be appropriate for other elements, such as Other designation associated with the person (9.6.1.9)

```
374 ## +a Novelists +2 lcsh +0 http://id.loc.gov/authorities/subjects/sh850928 63
```

Not 374 ## \$a Novelists, Japanese \$2 lcsh Not 374 ## \$a Women novelists \$2 lcsh

## Using Period of Activity of Person to Distinguish one AAP from another (9.19.1.5)

```
046
       #s 1193 #2 edtf
100 1 Dong, Wei, #d jin shi 1193
       #f Dexing Xian (China) #2 naf #0
370
http://id.loc.gov/authorities/names/n82243239 #1
http://id.loc.gov/rwo/agents/n82243239
       chi #0 http://id.loc.gov/vocabulary/languages/chi
377
400 1 董煟, +d jin shi 1193
400 1 Dong, Jixing, #d jin shi 1193
                                                      7 Dong, Wei [100]
400 1 董繼興, #d jin shi 1193
                                                      8 Dong, Wei, ‡d 1923- [100]
400 1 Dong, Nanyin, #d jin shi 1193
400 1 董南隱, #d jin shi 1193
                                                      9 Dong, Wei, ‡d 1954- [100]
400 0 Nanyin, #d jin shi 1193
                                                     10 Dong, Wei, ‡d 1974- [100]
400 0 南隱, #d jin shi 1193
                                                     11 Dong, Wei ‡c (College teacher) [100]
400 1 Dong, Shangyin, #d jin shi 1193
400 1 董尚隱, +d jin shi 1193
                                                     12 Dong, Wei, ‡d jin shi 1193 [100]
400 0 Shangyin, #d jin shi 1193
400 0 尚隱, #d jin shi 1193
       Machine-derived non-Latin script reference project.
667
667
       Non-Latin script references not evaluated.
667
       Non-Latin script references reviewed in NACO CJK Funnel References
Project.
670
       Jiu huang huo min shu [in Si ku quan shu, v. 662], 1987: +b caption (董
煟 = Dong Wei, of Song dyn.)
       Song ren zhuan ji zi liao suo yin, 1974-1976: +b p. 3214 (董煟 = Dong
670
Wei; zi: 季興 = Jixing, 繼興 = Jixing; hao: 南隱 = Nanyin, or 尚隱 = Shangyin; 84
native of Dexing; shao xi 4 nian [i.e. 1193] jin shi)
```

## Using Profession or Occupation to Distinguish one AAP from another (9.19.1.6)

```
Record Description

1 Sin, Ch'ang-sun [100]

2 Sin, Ch'ang-sun ‡c (College teacher) [100]
```

```
100 1 Sin, Ch'ang-sun #c (College teacher)
370
       #c China #2 naf #0 http://id.loc.gov/authorities/names/n79091151 #1
http://id.loc.gov/rwo/agents/n79091151
      Korean language #2 lcsh #0
372
http://id.loc.gov/authorities/subjects/sh85073088
      Korean literature \pm 2 lcsh \pm 0
372
http://id.loc.gov/authorities/subjects/sh85073097
      Hŭ ngyonggang Taehakkyo
373
377 chi +0 http://id.loc.gov/vocabulary/languages/chi
377
      kor #0 http://id.loc.gov/vocabulary/languages/kor
400 1 신 창순 +c (College teacher)
400 1 申昌順 +c (College teacher)
400 1 Shen, Changshun +c (College teacher)
667
      Non-Latin script references not evaluated.
670
      Sin, Ch'ang-sun. Chung-Han sosŏ l ŭ i yŏ sŏ ng hyŏ ngsang pigyo yŏ n'gu,
2010: +b title page (신창순 = 申昌順 = Sin Ch'ang-sun) front flap (grad.,
Yŏ nbyŏ n Taehakkyo, Chomun Hakpu; Ph.D., Sŏ nggyun'gwan Taehakkyo; prof.,
Hungyonggang Taehakkyo, Han'gugŏ Hakkwa)
                                                                            85
```



```
Record Description

1 Deng, Daozhong [100]

2 Deng, Jintao [100]

3 Deng, Tao ‡c (Graduate of Michigan State University) [100]

4 Deng, Tao ‡c (Law editor) [100]

5 Deng, Tao ‡c (Of Shanghai jiao tong da xue) [100]

6 Deng, Tao ‡c (Paleontologist) [100]

7 Deng, Tiantao [100]

8 Deng, Tietao [100]

9 Deng, Yingtao [100]
```

100 1 Deng, Tao \*\*c (Of Shanghai jiao tong da xue)
373 Shanghai jiao tong da xue \*\*2 naf \*\*0
http://id.loc.gov/authorities/names/n80138712 \*\*1
http://id.loc.gov/rwo/agents/n80138712
670 Advances in structures, properties and applications of biological and bioinspired materials, 2014: \*\*b t.p. (Tao Deng, Shanghai Jiao Tong University, Shanghai University)

#### Order of Subfield \$2

# OBest practice: put subfield \$2 after the last element to which it applies

```
372 ## ‡a Biotechnology ‡2 lcsh ‡s 2008 ‡0
http://id.loc.gov/authorities/subjects/sh850
14263
372 ## ‡a Genetic engineering ‡2 lcsh ‡s 2008
‡0
http://id.loc.gov/authorities/subjects/sh850
53855
not:
372 ## $a Biotechnology $a Genetic
```

engineering \$s 2008 \$2 lcsh



- OFollow the basic citation principles apply to 670 subfield \$a (Source citation)
- OUsed to justify 046, 3XX
- ONo need to cite usage information if it is the same on the source
- OSubfield \$v precedes \$u if \$u is used

#### Subfield \$v : Example

```
100 1 Li, Xiangning, #d 1957-
       Xi'an Shi (China) #2 naf #v Qhwriter.com, viewed Jan. 3, 2012 #u
370
http://www.qhwriter.com/qb/vipdic/60.html #0 http://id.loc.gov/authorities/names/n80118482 #1
http://id.loc.gov/rwo/agents/n80118482
       Chinese literature #2 lcsh #v Ohwriter.com, viewed Jan. 3, 2012 #u
http://www.qhwriter.com/gb/vipdic/60.html #0 http://id.loc.gov/authorities/subjects/sh85024323
       Qinghai Sheng zuo xie \pm 2 naf \pm v Qhwriter.com, viewed Jan. 3, 2012 \pm u
http://www.qhwriter.com/gb/vipdic/60.html +0 http://id.loc.gov/authorities/names/n85272407 +1
http://id.loc.gov/rwo/agents/n85272407
374
       Authors #2 lcsh #v Qhwriter.com, viewed Jan. 3, 2012 #u
http://www.qhwriter.com/qb/vipdic/60.html #0 http://id.loc.gov/authorities/subjects/sh85009793
       chi #0 http://id.loc.gov/vocabulary/languages/chi
377
400 1 李向宁, #d 1957-
       Non-Latin script reference not evaluated
667
       Tian lu zhi hun, 2011: +b t.p. (李向宁 = Li Xiangning)
670
100 1 Li, Xiangning, #d 1957-
      Xi'an Shi (China) #2 naf #0 http://id.loc.gov/authorities/names/n80118482 #1
370
http://id.loc.gov/rwo/agents/n80118482
      Chinese literature #2 lcsh #0 http://id.loc.gov/authorities/subjects/sh85024323
372
      Qinghai Sheng zuo xie +2 naf +0 http://id.loc.gov/authorities/names/n85272407 +1
http://id.loc.gov/rwo/agents/n85272407
374
      Authors #2 lcsh #0 http://id.loc.gov/authorities/subjects/sh85009793
      chi #0 http://id.loc.gov/vocabulary/languages/chi
377
400 1 李向宁, #d 1957-
      Non-Latin script reference not evaluated
667
      Tian lu zhi hun, 2011: +b t.p. (李向宁 = Li Xiangning)
670
670
       Qhwriter website, viewed ... +b (李向宁 = Li Xiangning; b. Xi'an, China; writer of
Chinese literature; with Qinghai Sheng xuo xie) +u http://www.ghwriter.com/gb/vipdic/60.html
```



- O Not core
- O Cataloger's judgment
- O Give variant access points where it's useful
- O Make additions to the name, if considered important for identification

100 1# \$a Lee, Chau Min 400 1# \$a Li, Zhaomin \$c (Actress)

100 o# \$a Rushuaizheshi 400 1# \$a Pan, Yang, \$d 1980-

#### **Variant Access Point Example**

```
100 1 Ba, Jin, #d 1904-2005
                                           667 Machine-derived non-Latin script
400 0 Ba Jin, +d 1904-2005
                                           reference project.
       Ba, Kim, \pm d 1904-2005
                                           667 Non-Latin script reference not evaluated.
400 0 Ba T´S`zin', #d 1904-2005
                                           670 His Nuit glacée, 1978: +b t.p. (Pa Kin)
       Ba, T´S`zin', #d 1904-2005
400 1
                                           p. 4 of cover (b. 1904; Tchengtou, Seutchouan;
400 0 Bajin, #d 1904-2005
                                           Li Fei-kan took pseud. Pa Kin)
400 1
       Chin, Pa, #d 1904-2005
400 1 Ha, Kin, #d 1904-2005
                                           670 His Pom, 1981: +b t.p. (P'a Kŭ m [in
                                           Korean]) colophon (Pa Chin)
400 1
       Jin, Ba, #d 1904-2005
400 1 Kin, Pa, #d 1904-2005
                                           670 His Random thoughts, 1984: #b t.p. (Ba
400 1 +w nnaa +a Li, Fei-kan, +d 1905-
                                           Jin)
400 1 Li, Feigan, #d 1904-2005
                                           670 Muñoz, V. Li Pei Kan and Chinese
400 1 Li, Fu-gan', #d 1904-2005
                                           anarchism, 1976.
400 1 Li, Pei Kan, #d 1904-2005
                                           670 Ch'en, S.H. Pa Chin lun kao, 1986: +b
400 1 Li, Yao-t'ang, #d 1904-2005
                                           colophon (Bajin)
400 1 Li, Yaotang, #d 1904-2005
                                           670 His T'an so chi, 1986: +b t.p. (Pa Chin)
400 1 Lý, Nghiê u Đường, ‡d 1904-2005
                                           cover p. 4 (b. 1904; orig. name Li Yao-t'ang)
400 1 Pa, Chin, #d 1904-2005
400 0 Pa Chin, #d 1904-2005
                                           670 Tùy tưởng lục, 1998: +b t.p. (Ba Kim) p.
       P\bar{a} , Jin , \pm d 1904-2005
                                           5 (real name: Lý Nghiê u Đường, tự Phê Cam; b.
400 1
                                           1904)
400 0 Pa Kin, #d 1904-2005
400 1 Pa, Kin, #d 1904-2005
                                           670 Shinsei, 1940: +b t.p. (Ha Kin) p. 1 (b.
400 1 P'a, Kŭ m, +d 1904-2005
                                           1905 in Shisenshō; Pa Chin is a pen name)
      Pā jin, #d 1904-2005
400 0
                                           670 Chinanews.sina.com WWW Home page, Oct.
       Phê, Cam, +d 1904-2005
                                           17, 2005 +b (Bajin, b. Nov. 25 1904; d. Oct.
400 1 T'S'zin', Ba, #d 1904-2005
                                           17, 2005)
400 1 巴金, +d 1904-2005
                                           670 Kutumpam, 1999: +b t.p. (Pā jin)
```

# Variant Access Points for Characters with Multiple Pronunciations

```
100 1 Xiao, Wupo, #d 1979-
400 1 萧无陂, #d 1979-
400 1 Xiao, Wubei, #d 1979-
400 1 Xiao, Wupi, ‡d 1979-
400 1 Xiao, Ping, #d 1979-
400 1 肖平, #d 1979-
Non-Latin script references not evaluated
670 Chuan xi lu jiao shi, 2012: +b t.p. (萧无陂 = Xiao Wupo;
variant pronunciations: Xiao Wubei, Xiao Wupi)
670
      HKCAN, June 15, 2013 +b (萧无陂 = Xiao Wupo)
      Guang ming wang WWW site, June 15, 2013 +b article, Dec.
670
20, 2013 (萧无陂 = Xiao Wupo; original name, 肖平 = Xiao Ping; male;
born 1979; native of Changsha, Hunan Sheng, China; Ph.D. in
philosophy; lecturer, Hunan shi fan da xue gong gong guan li xue
yuan zhe xue xi; research interest: ancient Chinese philosophy) ‡u
http://www.gmw.cn/xueshu/2012-12/20/content 6090139.htm
```

#### \$w nne and \$w nnea

```
DLC #b eng #c DLC #d DLC #d OCoLC
040
100 1
        Akiyama, Yōko, ‡d 1942-
400 1
       秋山洋子, ‡d 1942-
667
        Machine-derived non-Latin script reference project.
        Non-Latin script reference not evaluated.
667
        Her Onnatachi no Mosukuwa, 1983: ‡b t.p. (Akiyama Yōko) colophon (r; b. 1942; sp.: Chinese
670
        mod. lit.)
                                                    Make a see reference for the old valid form
                                                    of heading, with $w nnea, unless the
                                                    reference itself is a valid RDA variant
040
         access point, in which case use $w nne.
         #f 1942 #g 2016-08-26 #2 edtf
046
                                                     Use of subfield $w nnea is recommended
100 1 Akiyama, Yō ko, #d 1942-2016
                                                    when providing a reference from a former
400 1 Qiushan, Yangzi, +d 1942-2016
                                                    authorized access point with an open date,
400 1 = w nnea = a Akiyama, Yō ko, =d 1942 when the authorized access point is
400 1 秋山洋子, +d 1942-2016
                                                    updated to close the date
667
         Machine-derived non-Latin script reference project.
         Non-Latin script reference not evaluated.
667
670
         Her Onnatachi no Mosukuwa, 1983: +b t.p. (Akiyama
 Yō ko) colophon (r; b. 1942; sp.: Chinese mod. lit.)
         Femi shishi nō to, 2016: +b (秋山洋子 = Akiyama Yō ko)
670
 colophon (Jpn reading [in kana]; b. 1942; d. Aug. 26, 2016)
```



040	CU-S +b eng +c CU-S
100 1	Yang, Yang, ‡d 1981 or 2-
400 1	杨洋, ‡d 1981 or 2-
667	Non-Latin script reference not evaluated.
670	Dui hua Yang Yang, 2008: ‡b title (杨洋 = Yang Yang) her interview (19 years old and
	sophomore at Beijing da xue, 2001; organizer of three Beijing gay and lesbian film festivals
	between 2001 and 2007)

Ser num	n <u>Name</u>	а	Subdiv tp	n	Rules z		
		III					
010	no2008137999			M	Make a see reference for the old		
040	CU-S ‡b eng ‡e rda ‡c Cl	J-S ‡d DLC		va	valid form of heading, with <b>\$w</b>		
046	#f [1981,1982] #2 edtf				<b>nnea</b> , unless the reference itself		
100 1	Yang, Yang, ‡d 1981 or 1982-				is a valid RDA variant access		
<mark>400</mark> 1	Yang, Yang, ‡d 1981 or 2- ‡w nnea				point, in which case use <b>\$w nne</b> .		
400 1	杨洋, ‡d 1981 or 1982-			1			
667	Non-Latin script reference	not evaluated.					
670	Dui hua Yang Yang, 2008	years old and					
	sophomore at Beijing da	sbian film festivals	94				
	between 2001 and 2007)						



- O Family NAR is for a specific family in a specific place and for a specific period of time, unlike subject family name
- O Families as Creators
- O Families as Contributors
- O Families as Subjects

667 SUBJECT USAGE: This name is not valid for use as a subject; use a family name heading from LCSH

"LCSH policy change <u>proposal</u> to allow the use of RDA family name authorized access points as subject access points" (
https://www.loc.gov/aba/cataloging/subject/Family NARs-white-paper.pdf ) released, but no decision officially announced yet

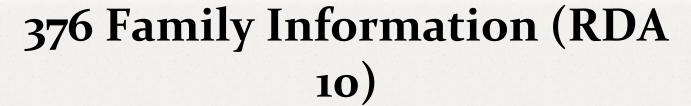


Always core if the information is known

- O Preferred name for the family (MARC field 100 3#)
- O Type of family (MARC field 376 \$a)
- O Date associated with the family (MARC field 046 \$s)

Core if necessary to distinguish

- O Place associated with the family (MARC field 370 \$f)
- O Prominent member of the family (MARC field 376 \$b)



- O Type of family (subfield \$a)
- O Name of Prominent Member (subfield \$b)
  - O Give the form for the person as found in the 100 field of the NAR for the family
  - O Do not include any internal subfield coding in \$b
- O Hereditary title (subfield \$c)
- O Start period (subfield \$s)
- O End period (subfield \$t)

#### Family NAR Example

```
120301n■ aznnnabbn
                                 ■a ana
100 3 Liu (Family : #g Liu, Yong, 1826-1899)
370
       #c China #2 naf #0 http://id.loc.gov/authorities/names/n79091151 #1 http://id.loc.gov/rwo/agents/n79091151
       #e Nanxun Zhen (China) #2 naf #0 http://id.loc.gov/authorities/names/n97033743 #1
http://id.loc.gov/rwo/agents/n97033743
376
       Families #2 lcsh #0 http://id.loc.gov/authorities/subjects/sh85047009
       #b Liu, Yong, 1826-1899 #2 naf #0 http://id.loc.gov/authorities/names/no2012030826 #1
376
http://id.loc.gov/rwo/agents/no2012030826
377
       chi #0 http://id.loc.gov/vocabulary/languages/chi
500 1 +w r +i Progenitor: +a Liu, Yong, +d 1826-1899 +4 http://rdaregistry.info/Elements/a/P50055 +0
http://id.loc.gov/authorities/names/no2012030826 #1 http://id.loc.gov/rwo/agents/no2012030826
667
       SUBJECT USAGE: This heading is not valid for use as a subject; use a family name heading from LCSH.
       Online archive of California, viewed Feb 23, 2012 +b collection guide, PDF (A collection of early twentieth-century
land deeds and contracts from the Zhejiang region of China offer a valuable glimpse into China's real estate market from the
1880s to the 1930s) +u https://oac.cdlib.org/findaid/ark:/13030/kt4w10329x/
678 0 The Liu family lived in Nanxun, a thriving town and center of commerce during the Ming and Qing Dynasties (1644-
1911). Liu Yong (1826-1899), the family patriarch and a prestigious Qing Dynasty merchant, built his fortune on cotton,
silk, salt, and real estate. His legacy includes Nanxun's historic landmark, the Xiao Lian Zhuang (Little Lotus Garden
Villa), built in 1885. The Liu family collection was most recently in the hands of Rosie Chang, the great- granddaughter of
Liu Yong and Sheng Xuanhuai (1844-1916), the former minister of transportation.
```

```
046
      #f 1826 #g 1899 #2 edtf
100 1 Liu, Yong, #d 1826-1899
      +c China +2 naf +0 http://id.loc.gov/author family name (because of how $g is defined
370
http://id.loc.gov/rwo/agents/n79091151
      ## Nanxun Zhen (China) #2 naf #0 http://id. currently)
370
http://id.loc.gov/rwo/agents/n97033743
```

## Possibility on multiple NARs for same

Merchants #2 lcsh #0 http://id.loc.gov/authorities/subjects/sh85083783 374 377 chi #0 http://id.loc.gov/vocabulary/languages/chi 500 3 +w r +i Descendants: +a Liu (Family: +g Liu, Yong, 1826-1899) +4 http://rdaregistry.info/Elements/a/P50099 +0 http://id.loc.gov/authorities/names/no2012030825 +1 http://id.loc.gov/rwo/agents/no2012030825 670 Online archive of California, viewed Feb 23, 2012 +b collection guide, PDF (Liu Yong (1826-1899) [in rom.])

678 0 The Liu family lived in Nanxun, a thriving town and center of commerce during the Ming and Qing Dynasties (1644-1911). Liu Yong (1826-1899), the family patriarch and a prestigious Qing Dynasty merchant, built his fortune on cotton, silk, salt, and real estate. His legacy includes Nanxun's historic landmark, the Xiao Lian Zhuang (Little Lotus Garden Villa), built in 1885. The Liu family collection was most recently in the hands of Rosie Chang, the great-granddaughter of Liu Yong and Sheng Xuanhuai (1844-1916), the former minister of transportation. ‡u 98 http://cdn.calisphere.org/data/13030/9x/kt4w10329x/files/kt4w10329x.pdf

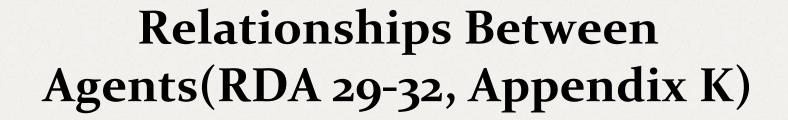
## MARC Subfield Coding of Hereditary Title in VAP (10.2.3.5)

```
+s 1894 +2 edtf
046
100 3 Shijō (Family: #d 1894-)
370
       #c Japan #2 naf #0 http://id.loc.gov/authorities/names/n78089021 #1
http://id.loc.gov/rwo/agents/n78089021
       Families #2 lcsh #s 1894 #0 http://id.loc.gov/authorities/subjects/sh85047009
376
      #b Shijō , Takatoshi, 1841-1911 #2 naf #s 1894 #0
376
http://id.loc.gov/authorities/names/no2014047214 +1
http://id.loc.gov/rwo/agents/no2014047214
376
       ≠c Danshaku ≠s 1898
400 3 Shijō , Danshaku (Family : #d 1894-)
400 3 Shijō , Barons (Family : #d 1894-)
400 3 四條 (Family: +d 1894-)
500 1 \pmw r \pmi Progenitor: \pma Shijō , Takatoshi, \pmd 1841-1911 \pm4
http://rdaregistry.info/Elements/a/P50055 +0
http://id.loc.gov/authorities/names/no2014047214 #1
http://id.loc.gov/rwo/agents/no2014047214
       SUBJECT USAGE: This heading is not valid for use as a subject; use a family name
heading from LCSH.
      Non-Latin script reference not evaluated
667
       Shijō Danshaku-ke kankei bunsho, 2013: +b t.p. (in title: 四條男爵家 = Shijō
670
Danshaku-ke) p. 360, 2nd group (四條隆平 = Shijō Takatoshi branched out of 四條家 (侯爵) =
Shijō -ke (Kō shaku [Marquess]), Meiji 27 [1894] and accorded 男爵 = Danshaku [Baron]
                                                                                    99
title, July 20, Meiji 31 [1898])
```



## Relationships in RDA (RDA 18-32, Appendix I, J, K)

- Relationship Designators: Relationships between a Work, Expression, Manifestation, or Item and Agents Associated with the Resource
- J: Relationship Designators: Relationships between Works, Expressions, Manifestations, and Items
- \* K: Relationship Designators: Relationships between Agents



O PCC guidelines for the application of relationship designators in NACO authority records (

https://www.loc.gov/aba/pcc/rda/PCC %20RDA%20guidelines/PCC%20SCS% 20SCT%20Relationship%20Designators %20in%20NACO%20Authority%20Rec ords-2018-11.docx)



- Relationship designators should only be used to relate authority records in the LC/NACO Authority File
- O Do not use relationship designators in 4XX fields
- O Do not use a RD if a specific relationship cannot be determined. Instead use simple see-also from tracings (5XX). Consider adding a 667 field to indicate that research has been done

102

## Related Persons (RDA 30)

```
100 1# ‡a Endō , Rō gairō , ‡d 1881-1925
500 1# ‡w r ‡i Real identity: ‡a Endō , Rintarō
‡4 http://rdaregistry.info/Elements/a/P50429 ‡0
http://id.loc.gov/authorities/names/n88173546 ‡1
http://id.loc.gov/rwo/agents/n88173546

100 1# ‡a Endō , Rintarō
500 1# ‡w r ‡i Alternate identity: ‡a Endō ,
Rō gairō , ‡d 1881-1925 ‡4
http://rdaregistry.info/Elements/a/P50428 ‡0
http://id.loc.gov/authorities/names/no2014010
671 ‡1
http://id.loc.gov/rwo/agents/no2014010671
```

### Related Families (RDA 31)

```
100 1# ‡a Shijō , Takatoshi, ‡d 1841-
1911
500 3# ‡w r ‡i Descendants: ‡a Shijō
  (Family : ‡d 1894- ) ‡4
  http://rdaregistry.info/Elements/a/P5
  0099 ‡0
  http://id.loc.gov/authorities/names/n
  o2014047227 ‡1
  http://id.loc.gov/rwo/agents/no201404
  7227
```

#### Related Corporate Bodies (RDA 32)

100 1# +a Chen, Tong, +d 1962-

```
510 2# #w r #i Founded corporate body of
person: #a Cantonbon (Project) #4
http://rdaregistry.info/Elements/a/P50112 #0
http://id.loc.gov/authorities/names/no201515885
5 #1 http://id.loc.gov/rwo/agents/no2015158855

100 1# #a Cho, Minsuk, #d 1966-
510 2# #w r #i Founded corporate body of
person: #a Mass Studies (Firm) #4
http://rdaregistry.info/Elements/a/P50112 #0
http://id.loc.gov/authorities/names/no2008124866
6 #1 http://id.loc.gov/rwo/agents/no2008124866
```

105



- CJK NACO Best Practices (updated: March 12, 2022)
- CJK Pseudonyms Best Practices (May 15, 2019)
- o <u>CJK NACO Best Practices for Japanese</u> <u>Creative Names</u> (Aug. 22, 2019)
- <u>CJK NACO Best Practices for</u>
   <u>Chinese/Korean Personal names in Japanese</u>
   <u>Publications</u> (March 10, 2022)

#### References

- O PCC NACO Training material:
  - http://www.loc.gov/catworkshop/courses/naco-RDA/index.html
- O RDA Toolkit:
  - https://access.rdatoolkit.org/
- O Documentations on ClassWeb Plus--Cataloging documentation:
  - https://ipv6.classweb.org/Helpa/Docs/
    - O MARC 21 Format for Authority Data
    - O Descriptive Cataloging Manual Z1 and Z12
    - O NACO Participants' Manual
    - O Subject Headings Manual
- O PCC NACO Documentation & Updates: https://www.loc.gov/aba/pcc/naco/doc-updates.html

# Questions or Comments?

Jessalyn Zoom jiwu@loc.gov Hideyuki Morimoto hm2106@columbia.edu Shi Deng sdeng@ucsd.edu